

What causes voltage loss in solar cells?

Optimization of quantum structure for high efficiency
triple junction solar cells based on voltage loss analysis

Meita ASAMI

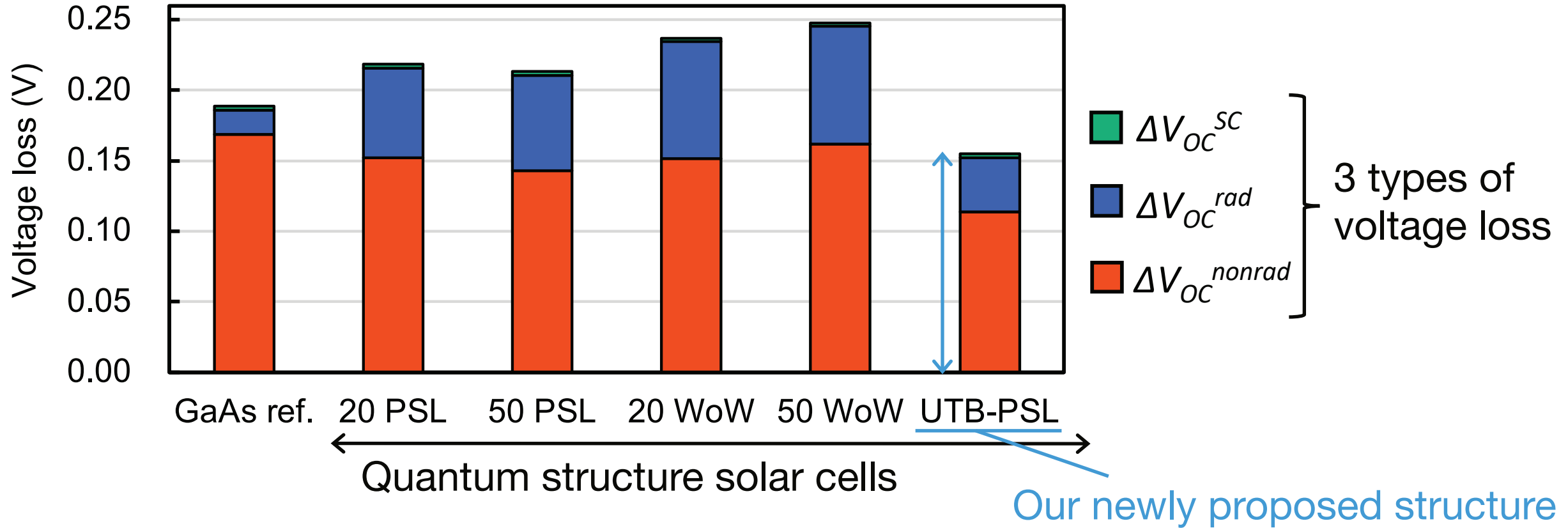
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Riko Yokota, Maui Hino, Li Gan, Kentaroh Watanabe, Yoshiaki Nakano, Masakazu Sugiyama



東京大学
THE UNIVERSITY OF TOKYO

Abstract



The lowest voltage loss was achieved by our new quantum structure solar cell

Outline

0. Abstract

1. Background, preceding studies

1-1. Why do we need an accurate voltage-loss analysis technique?

1-2. Voltage loss analysis based on detailed balance theory

2. Our work

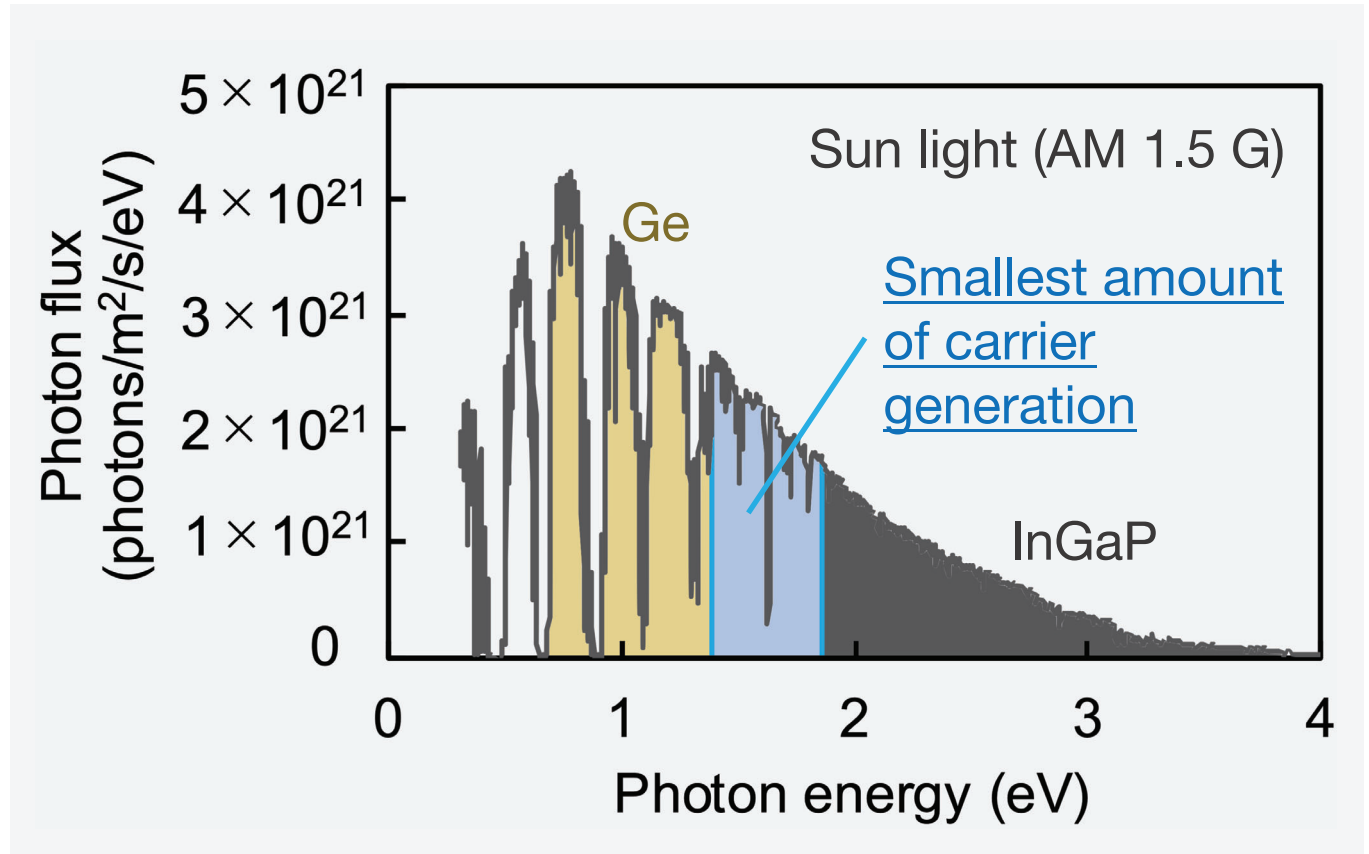
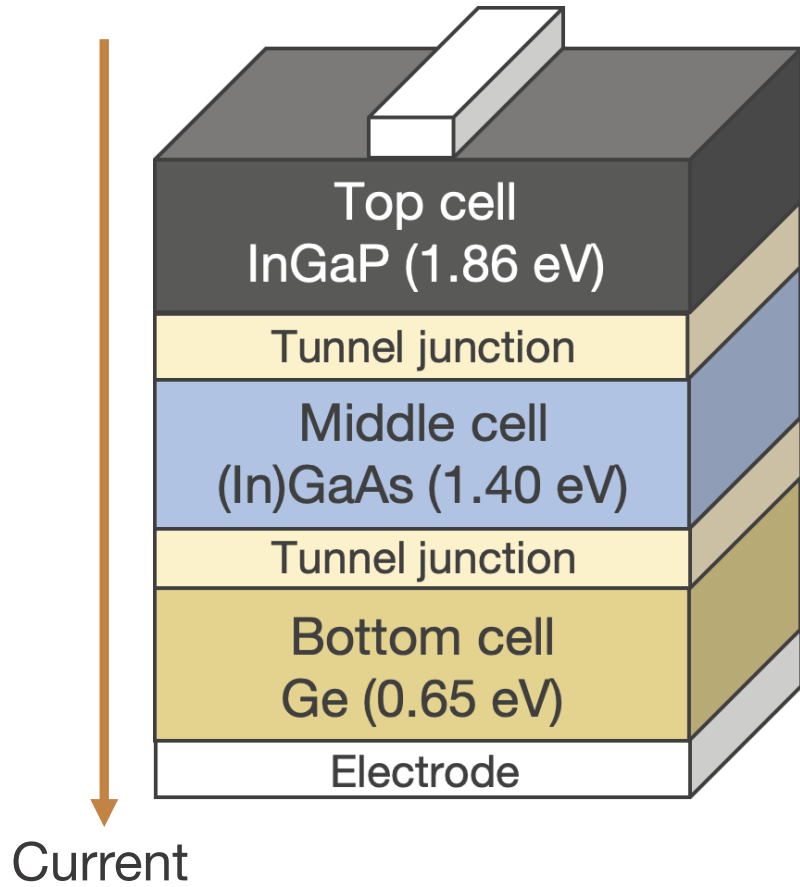
2-1. Definition of “bandgap” of quantum structure solar cells

2-2. Voltage loss analysis on quantum structure solar cells

2-3. How to reduce the voltage loss in quantum structure solar cells

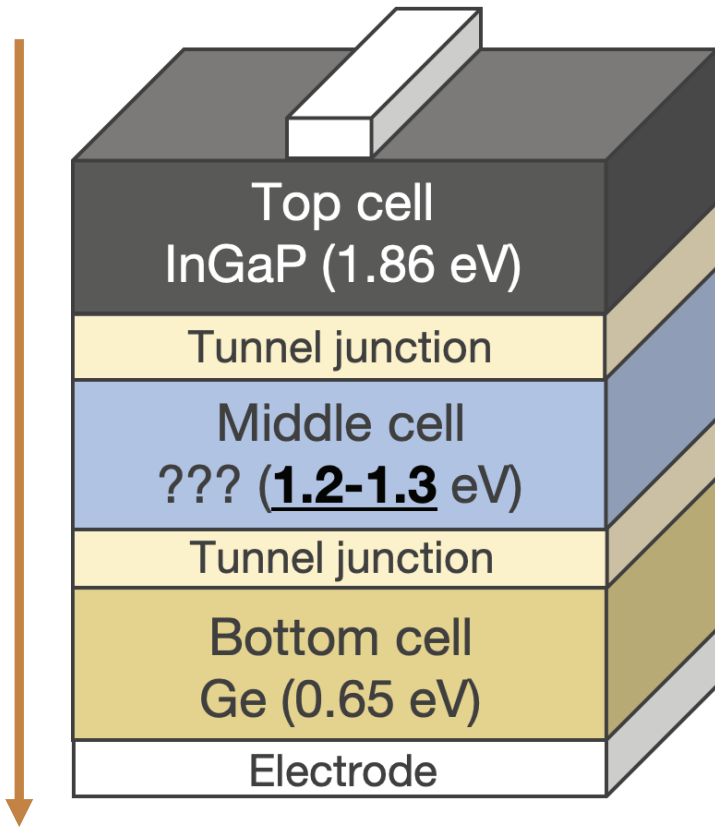
3. Conclusion

1-1 Background: Multi-junction solar cells

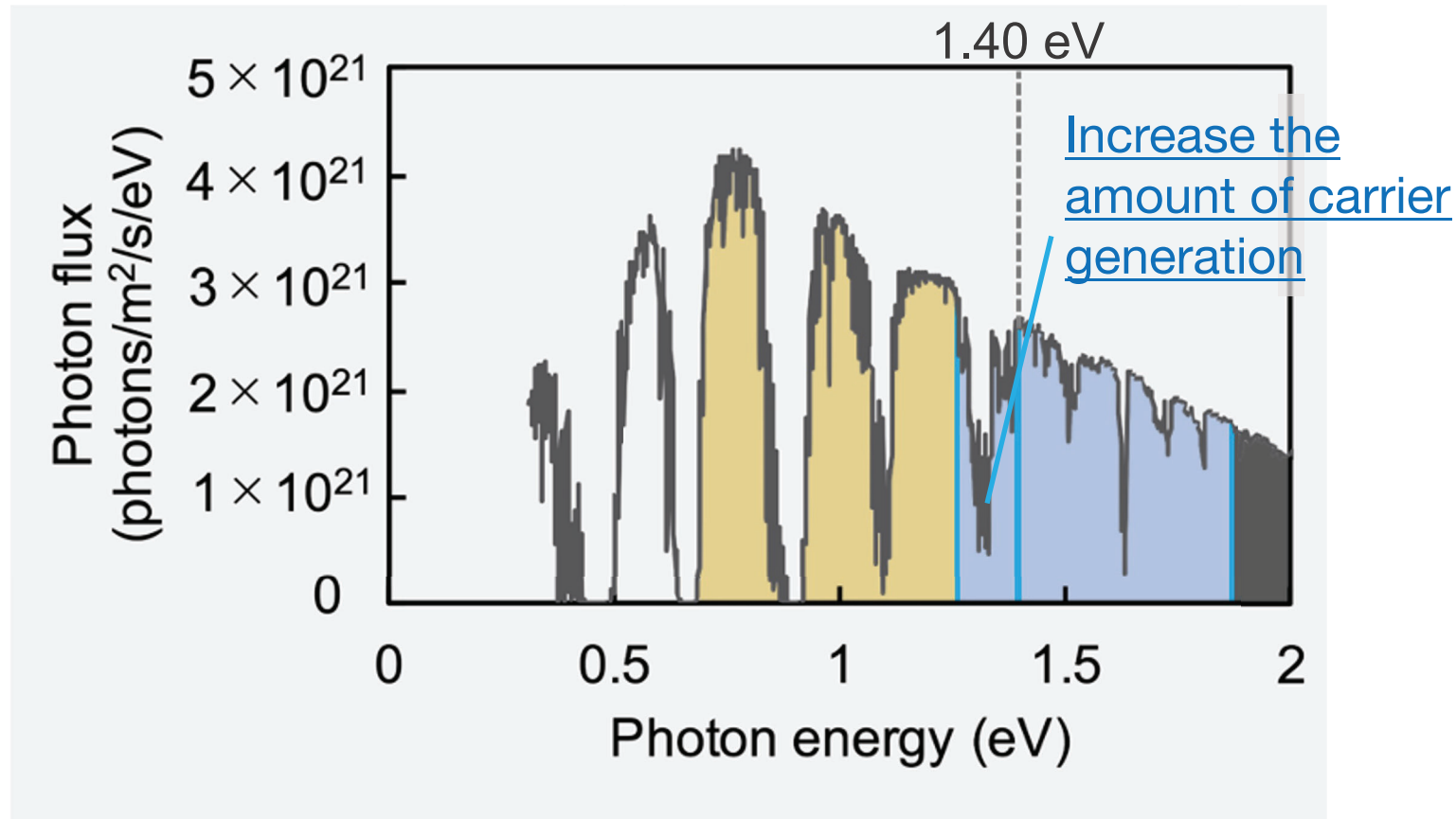


Current is restricted by the middle cell due to the excessively high bandgap, 1.40 eV.

1-1 Background: Multi-junction solar cells



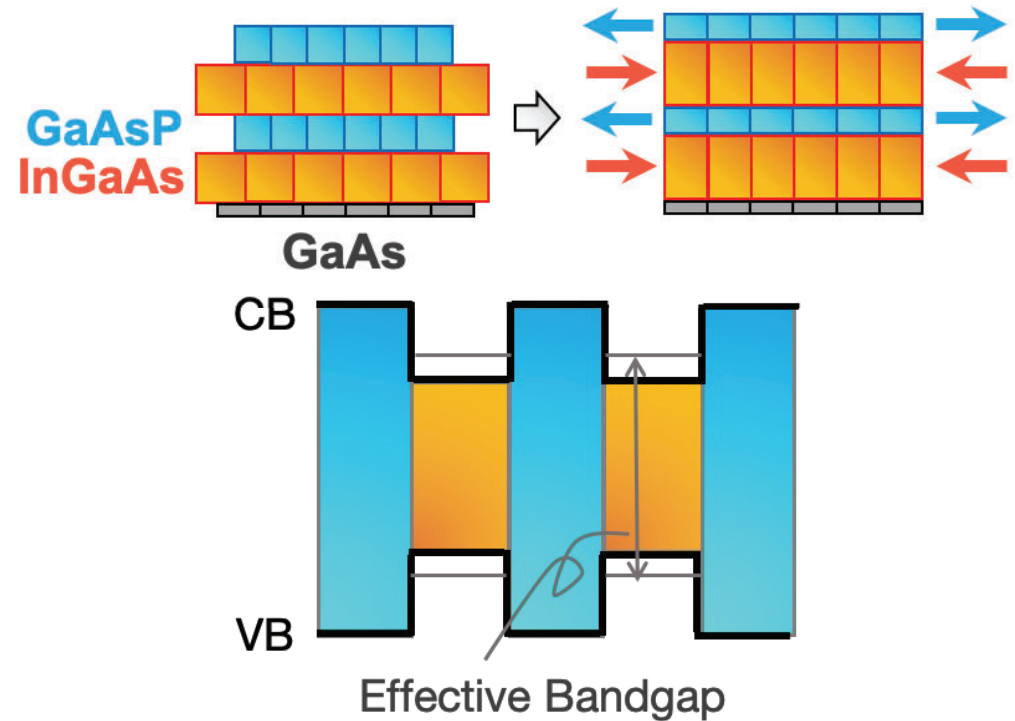
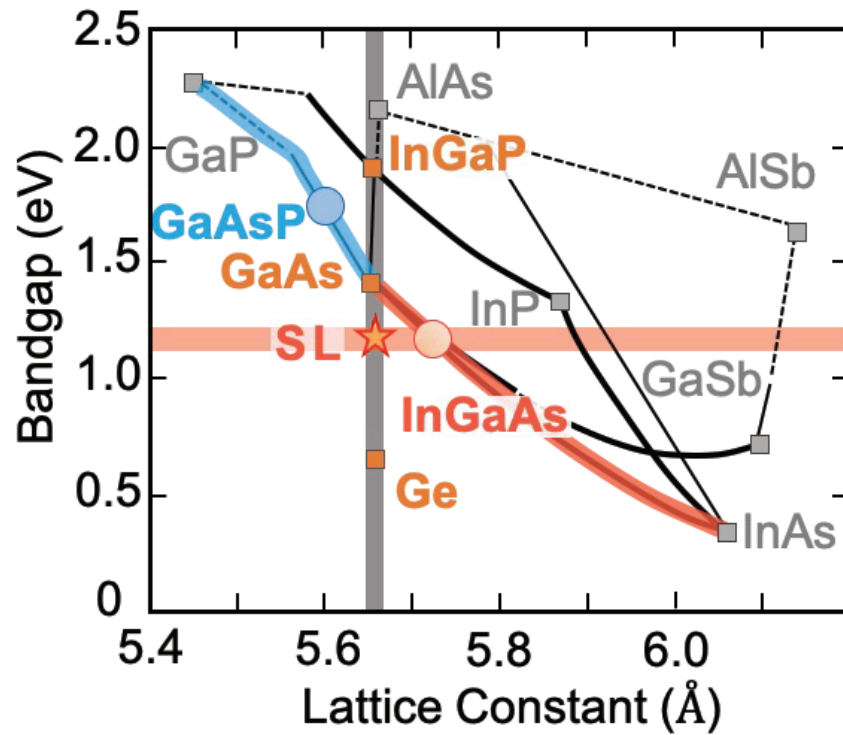
Enhanced current



Solution: Lattice-matched material whose bandgap is 1.20-1.35 eV

Efficiency 30% → 45%

1-1 Background: Bandgap adjustor

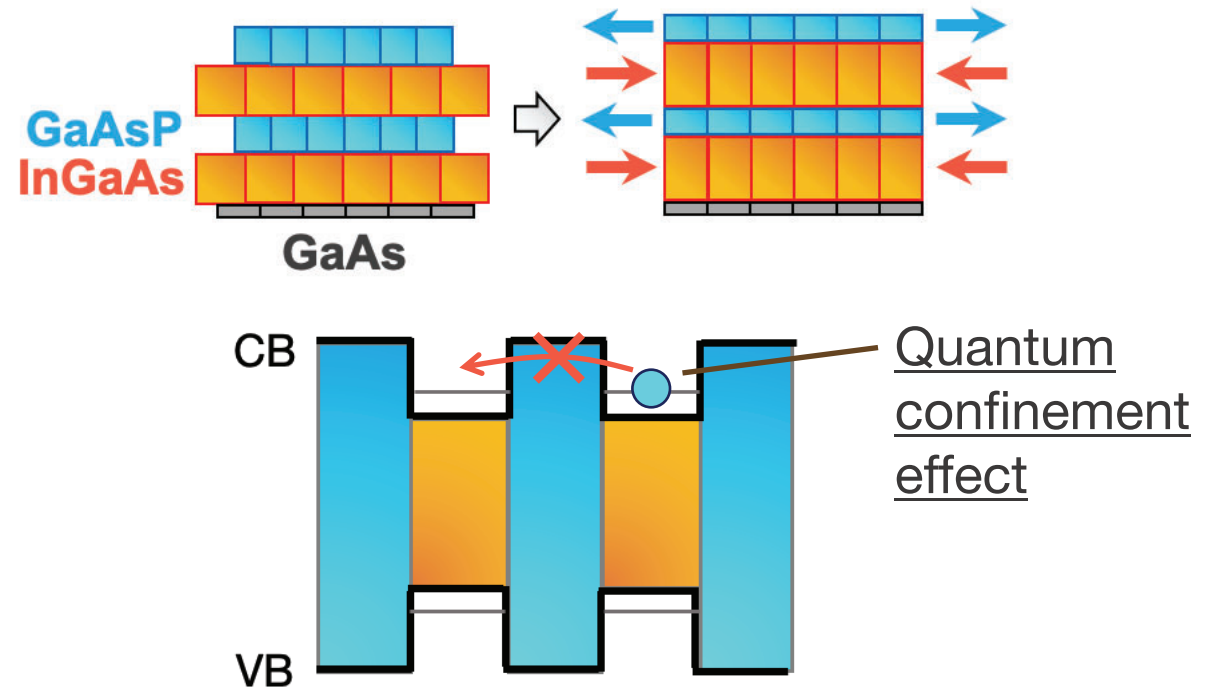
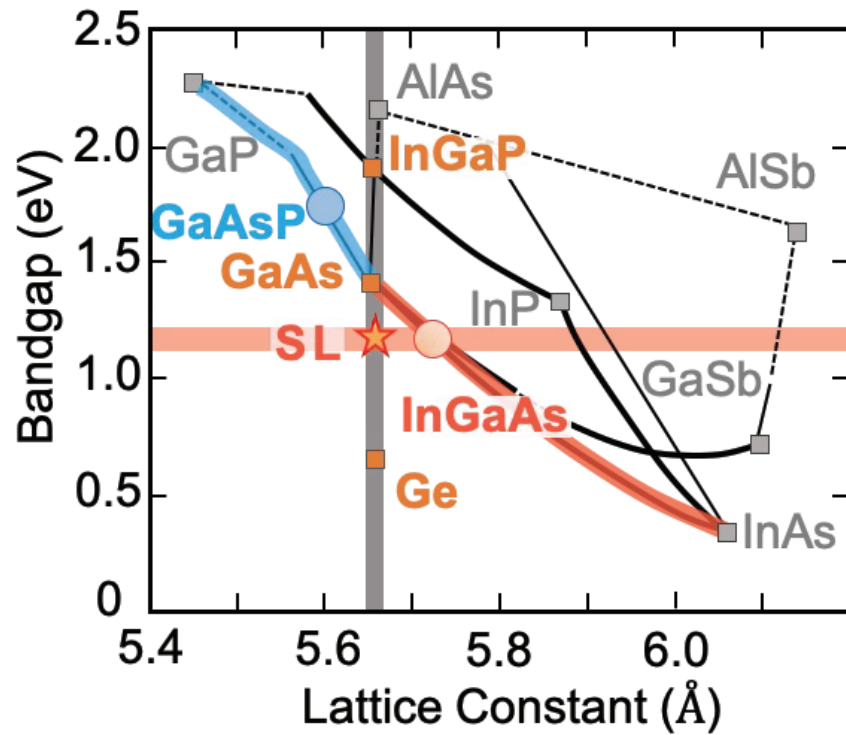


Strain-balanced superlattice (SB-SL)

- ☺ Superlattice (SL): **InGaAs** that has narrower bandgap than GaAs can be used.
- ☺ Crystal strain is compensated by growing InGaAs and GaAsP thinly and alternately.

Effective bandgap **1.20-1.35 eV**

1-1 Background: Bandgap adjustor

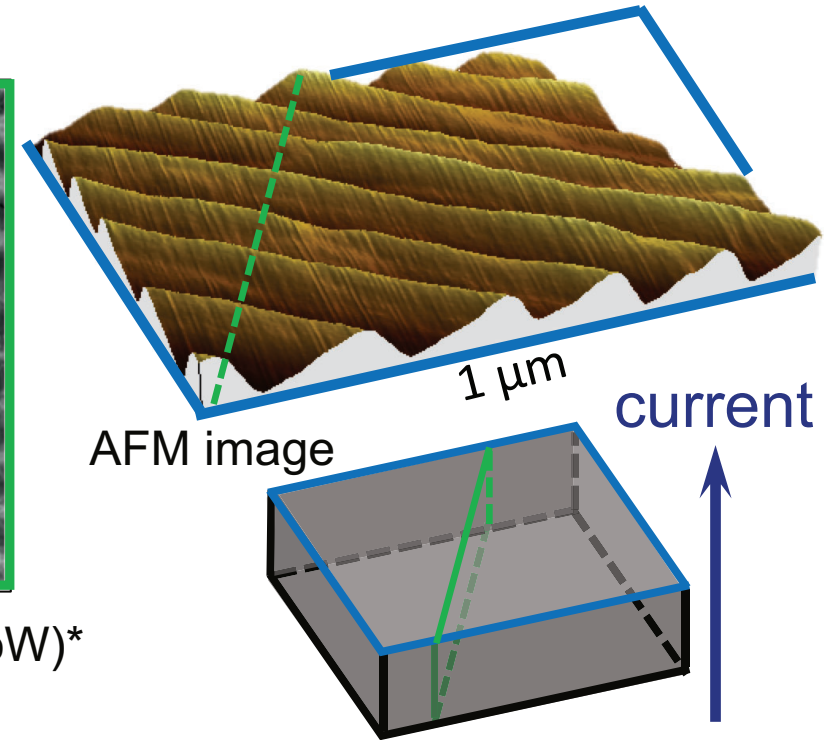
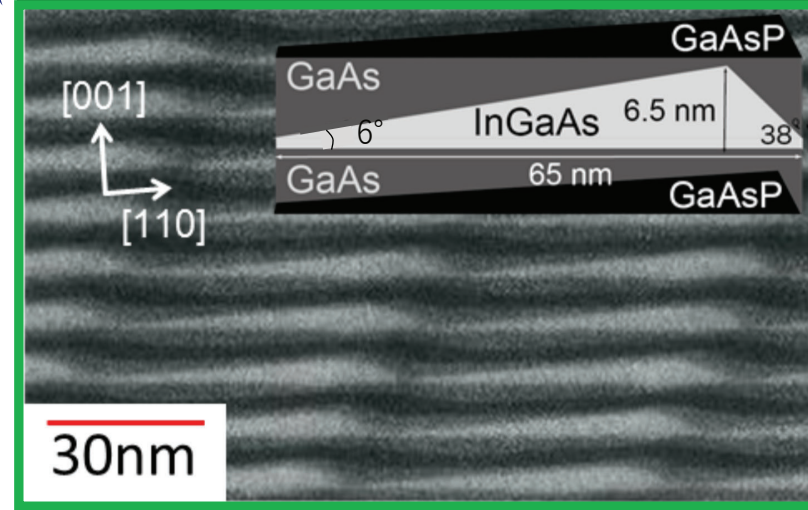
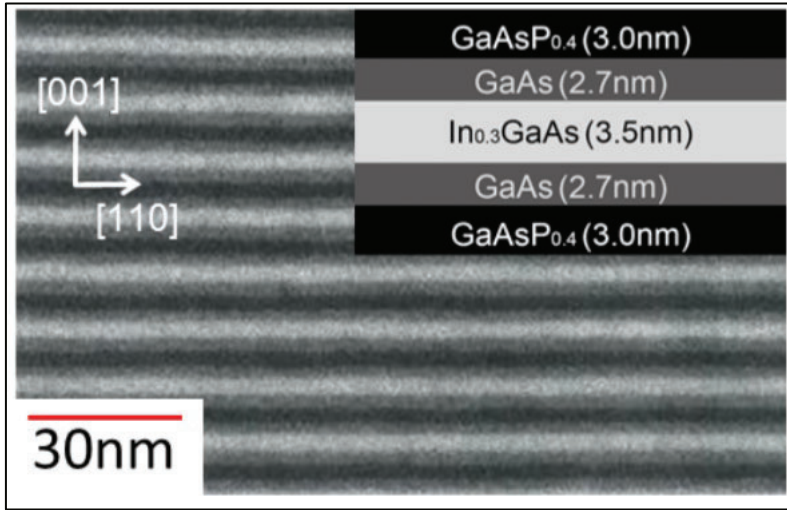


☹️ Quantum confinement effect hinders carrier extraction.

→ Low current density

1-1 Background: Undulated superlattice (WoW)

direction of crystal growth and current



STEM image of Planar Superlattice (PSL)* STEM image of Wire on Well (WoW)*

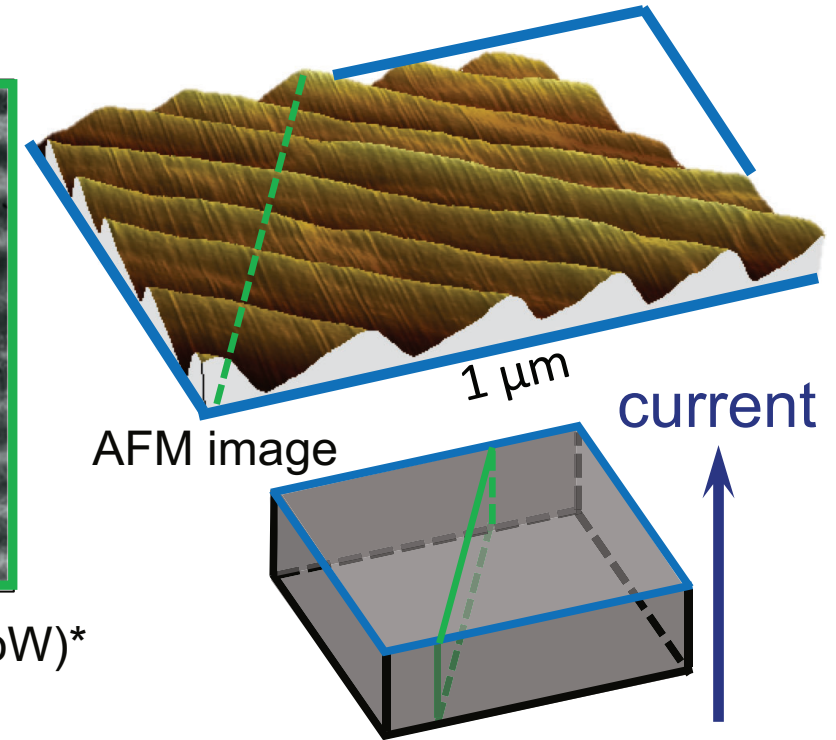
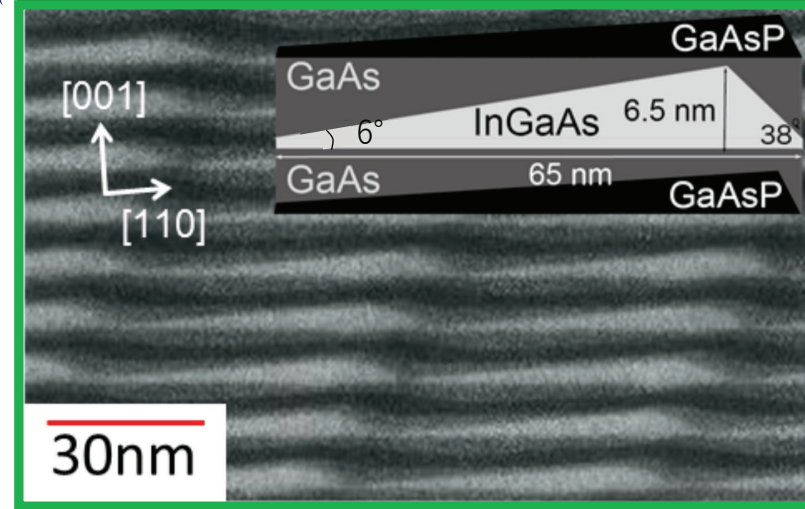
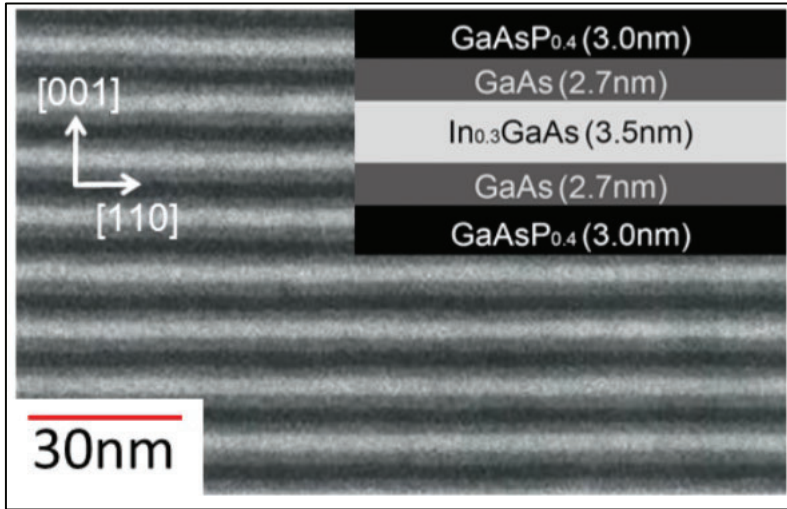
- WoW is grown on a 6° misoriented GaAs (0 0 1) substrate at relatively low temperature (530~550°C)
- PSL is grown on a GaAs (0 0 1) substrate

*M. Sugiyama *et al.*, *Prog. Photovolt: Res. Appl.* **24**, 2016.

1-1 Background: Undulated superlattice (WoW)

6

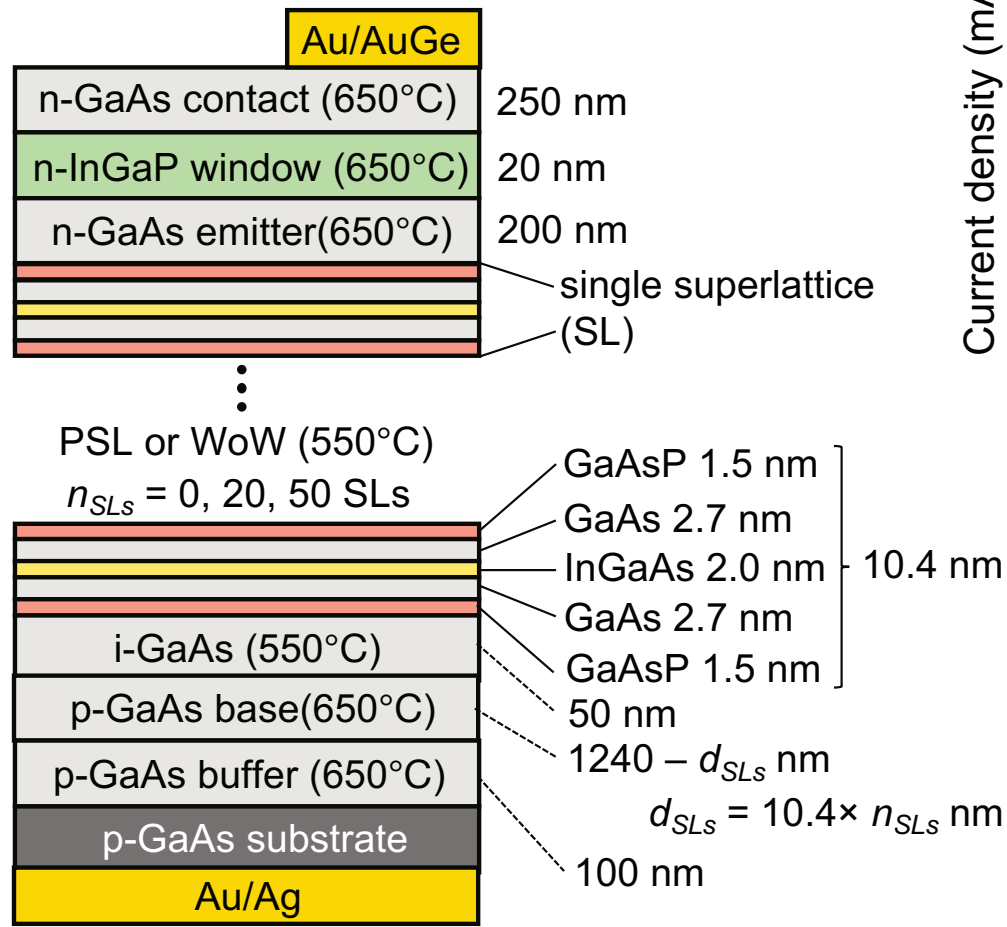
direction of crystal growth and current



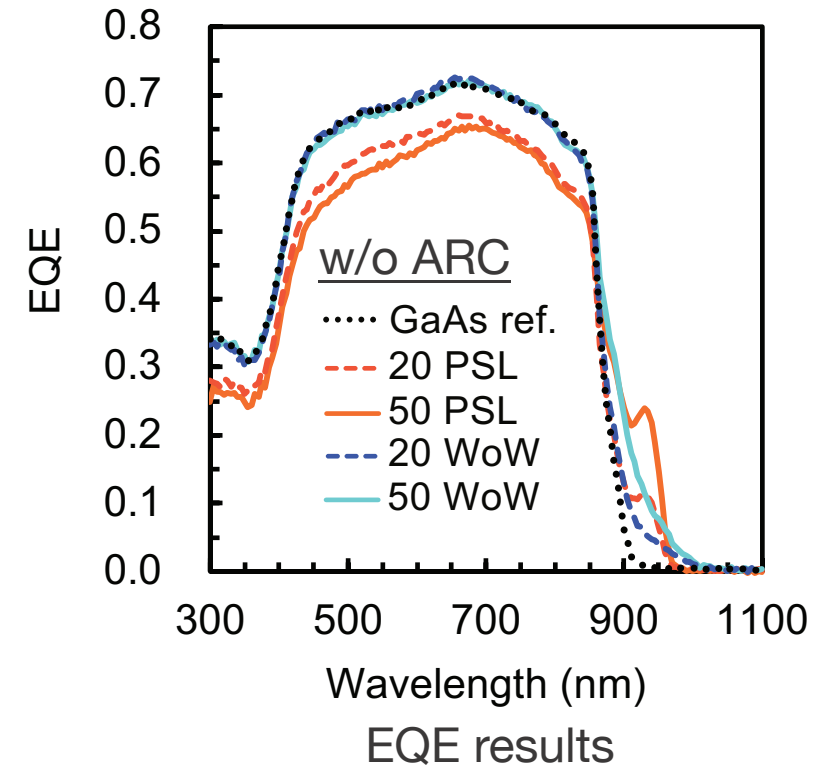
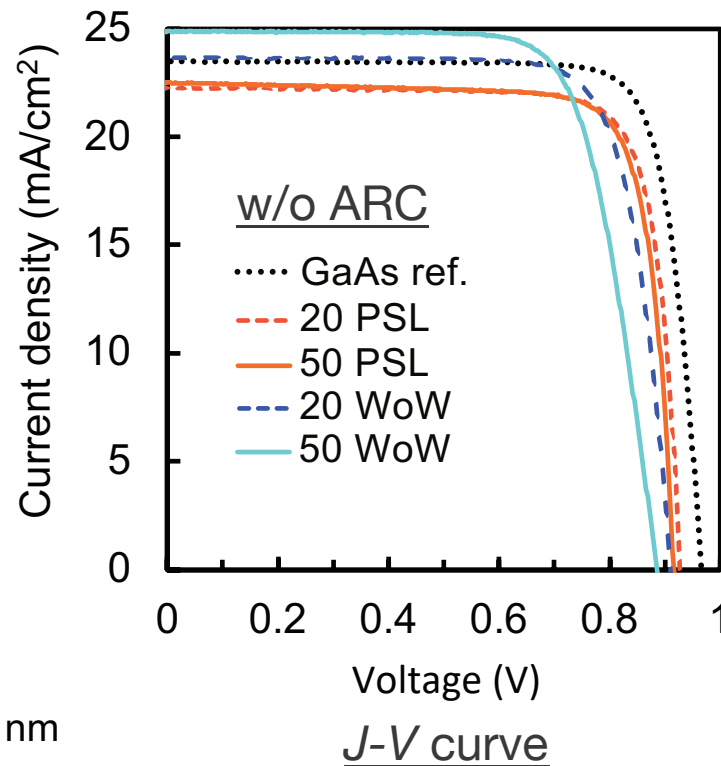
STEM image of Planar Superlattice (PSL)* STEM image of Wire on Well (WoW)*

- We expected that Wire on Well can achieve better carrier collection, since there are locally thin quantum barrier areas
→ Carrier tunneling effect can be enhanced at thin barrier

1-1 Background: Voltage of WoW SC is low



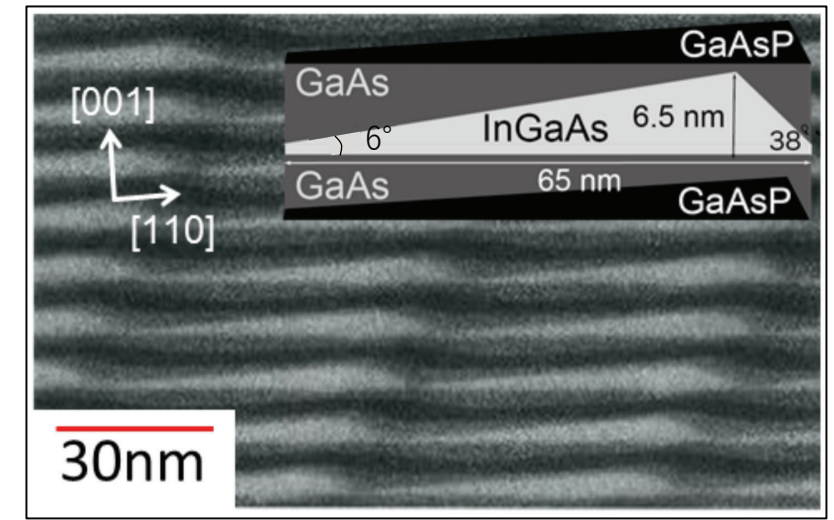
Structure of samples



Labels	J_{SC} (mA/cm ²)	V_{OC} (V)	FF
GaAs ref.	23.47	0.966	0.814
20 PSL	22.20	0.927	0.813
50 PSL	22.50	0.916	0.803
20 WoW	23.65	0.910	0.779
50 WoW	24.87	0.886	0.736

1-1 Background: Voltage of WoW SC is low

[*]	Carrier Mobility [cm ² /Vs]		
	electron μ_n	hole μ_p	average $\langle\mu\rangle=(\mu_n+\mu_p)/2$
WoW	5.10	2.67	3.89
PSL	1.21	1.45	1.33



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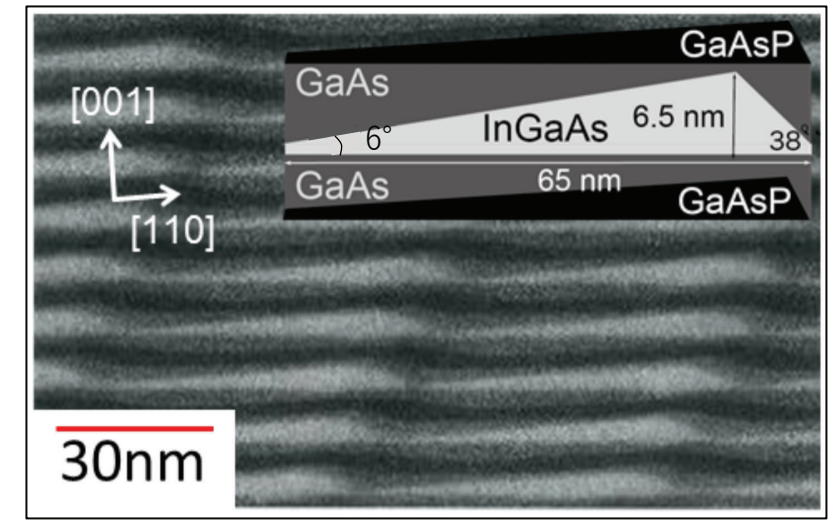
- Thinner barrier area may have boosted the tunneling probability of photo-generated carriers
- WoW solar cells achieved **high current density**
- However, **open circuit voltage of WoW solar cells is low**

[*] M. Asami *et al.*, *IEEE JPV* 10, 2020.

1-1 Background: Voltage of WoW SC is low

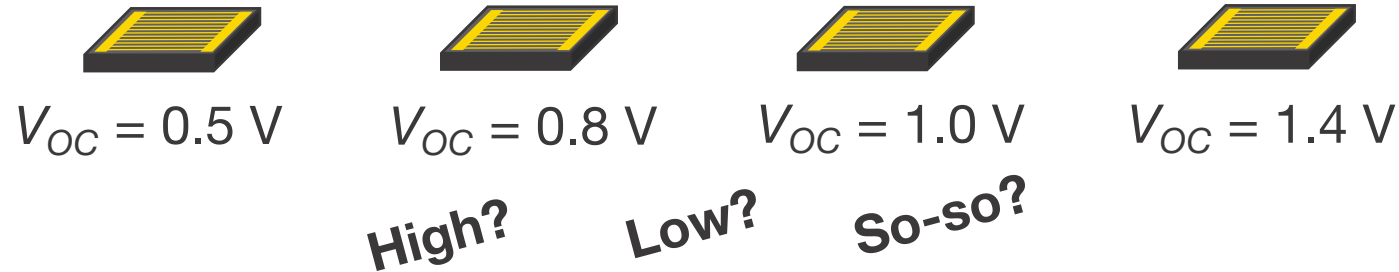
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Why is the open circuit voltage of WoW solar cells low?

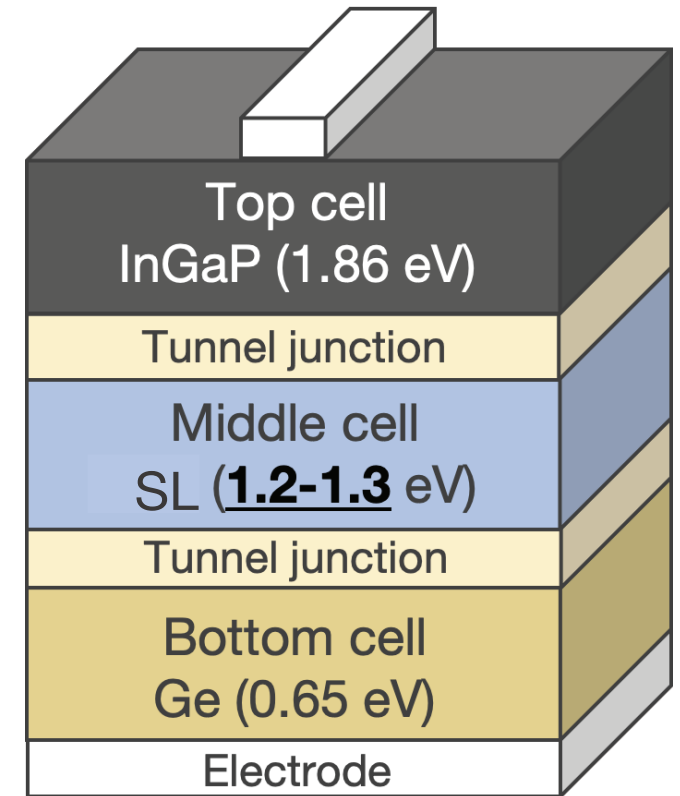
[*] M. Asami *et al.*, *IEEE JPV* 10, 2020.



Without the information of bandgap,
we cannot evaluate the “quality” of voltage

ambiguous

For the development of solar cells,
voltage loss must be evaluated accurately
and the cause of the loss must be clarified



SL: Superlattice

Various combinations of bandgap

Several voltage loss analysis techniques have been proposed in preceding studies

Bandgap offset $W_{OC} = \frac{E_g}{q} - V_{OC}$

☹️ W_{OC} must not be applied to quantum structure solar cells [*]

We found that

voltage loss analysis based on **detailed balance theory** can be **applied to quantum structure solar cells**

$$\begin{aligned}
 \underline{V_{OC}^{SQ}} - \underline{V_{OC}} &= \frac{kT}{q} \ln \frac{J_{SC}^{SQ}}{J_{em,0}^{SQ}} - \frac{kT}{q} \ln \frac{J_{SC} - J_{nr}}{J_{em,0}} = -\frac{kT}{q} \ln \frac{J_{em,0}^{SQ}}{J_{SC}^{SQ}} \times \frac{J_{SC} - J_{nr}}{J_{em,0}} = -\frac{kT}{q} \ln \frac{J_{SC}}{J_{SC}^{SQ}} \times \frac{J_{em,0}^{SQ}}{J_{em,0}} \times \frac{J_{SC} - J_{nr}}{J_{SC}} \\
 &= \left(-\frac{kT}{q} \ln \frac{J_{SC}}{J_{SC}^{SQ}} \right) + \left(-\frac{kT}{q} \ln \frac{J_{em,0}^{SQ}}{J_{em,0}} \right) + \left(-\frac{kT}{q} \ln \frac{J_{SC} - J_{nr}}{J_{SC}} \right) = \underline{\Delta V_{OC}^{SC}} + \underline{\Delta V_{OC}^{rad}} + \underline{\Delta V_{OC}^{nonrad}}
 \end{aligned}$$

Open circuit voltage at Shockley-Queisser (SQ) limit calculated from E_g $\Rightarrow V_{OC}^{SQ} = \frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} 1 \times \phi_{sun} dE}{\int_{E_g}^{\infty} 1 \times \phi_{bb} dE}$

J_{SC}^{SQ} : SC current at SQ limit, $J_{em,0}^{SQ}$: diode saturation current at SQ limit

$$J = 0 = J_{SC} - J_{em,0} \left(\exp \left(\frac{qV_{OC}}{kT} \right) - 1 \right) - J_{nr}$$

$$\therefore \underline{V_{OC}} = \frac{kT}{q} \ln \frac{J_{SC} - J_{nr}}{J_{em,0}}$$

$J_{em,0}$: diode saturation current, J_{nr} : non-radiative recombination current

- Three types of voltage loss

 - Short circuit current voltage loss
 - radiative recombination voltage loss
 - non-radiative recombination voltage loss

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 \end{aligned}$$

For the calculation of open circuit voltage at SQ limit, we have to define **effective bandgap** E_g of solar cells

Three types of voltage loss
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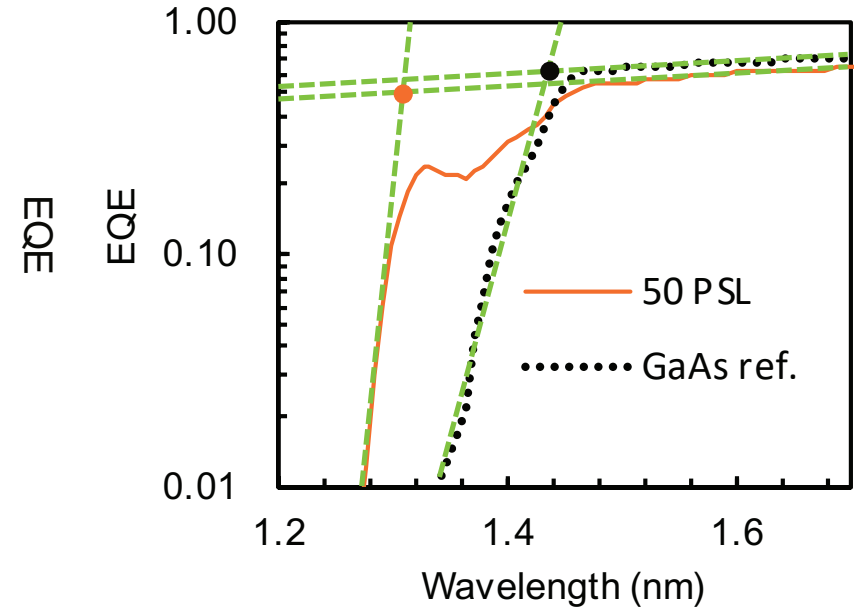
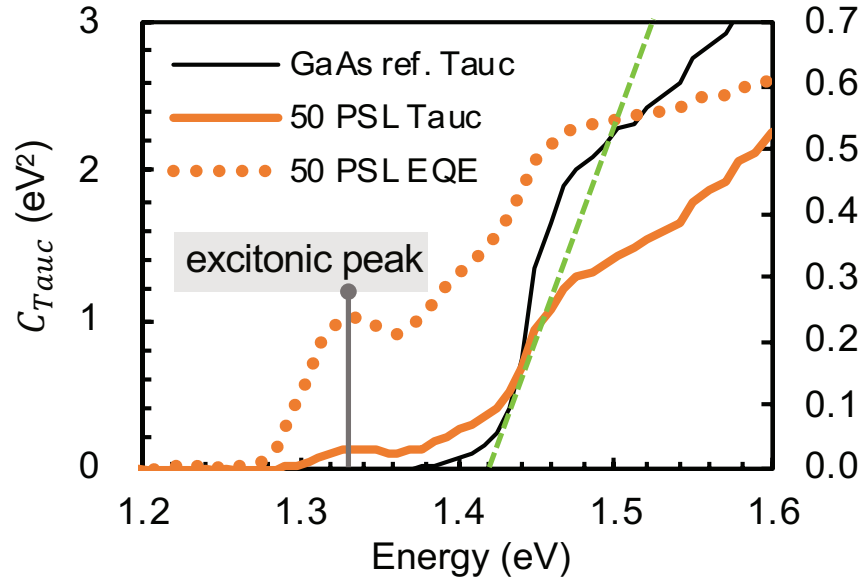
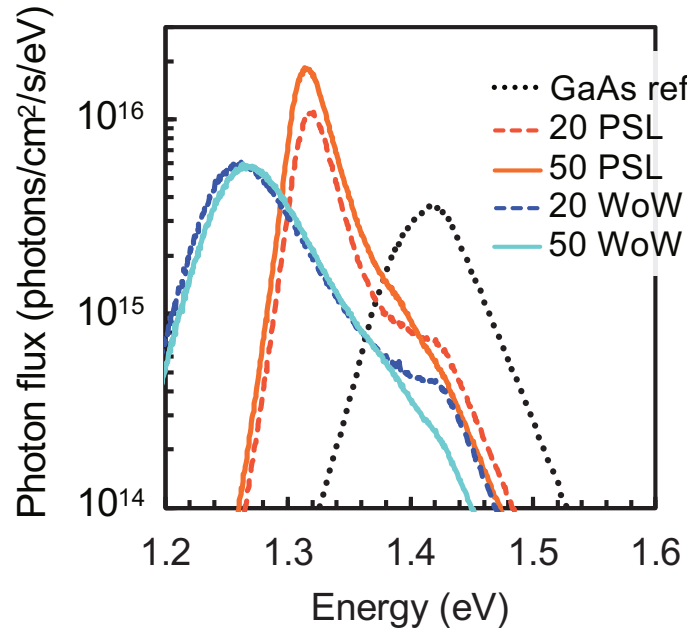
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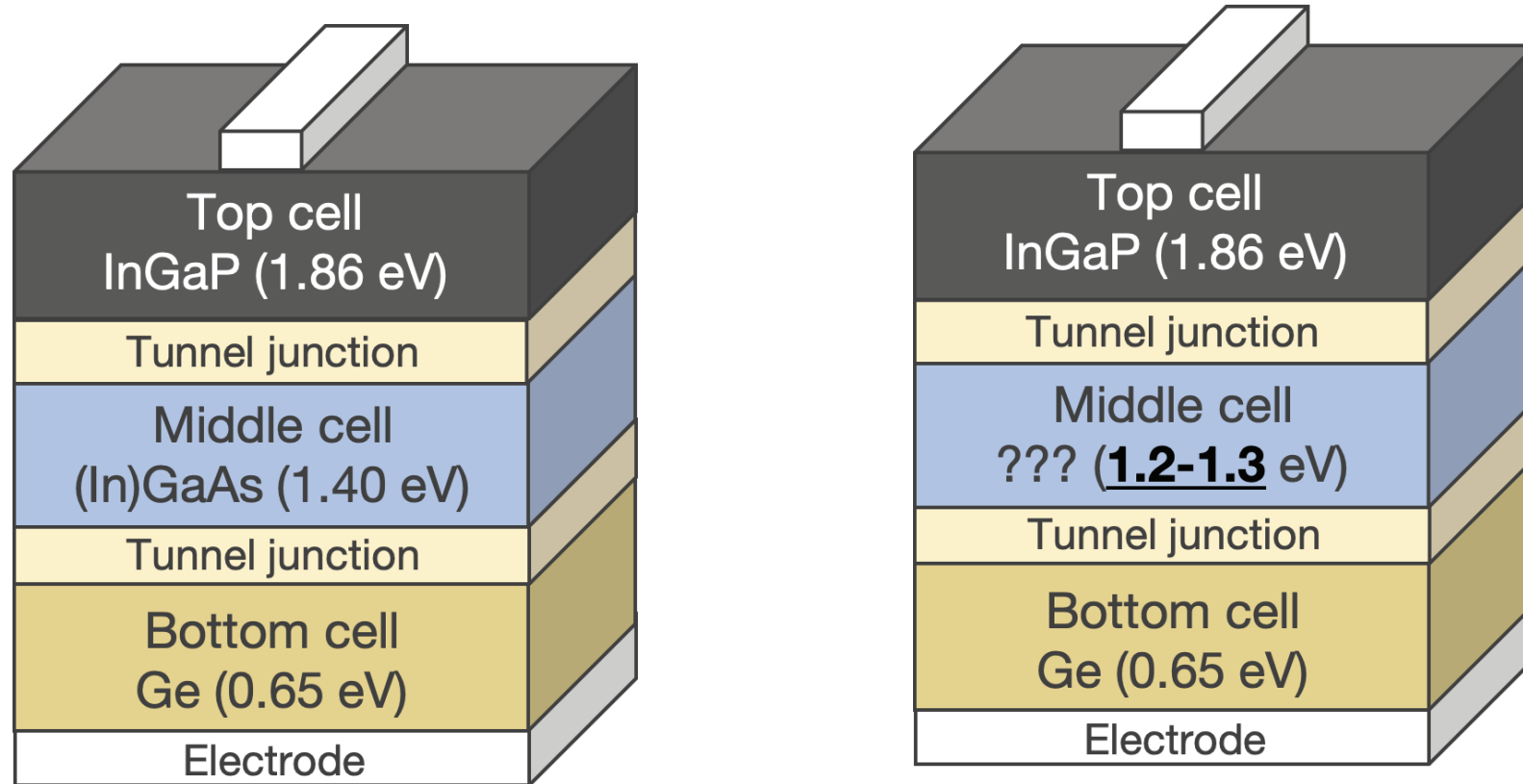
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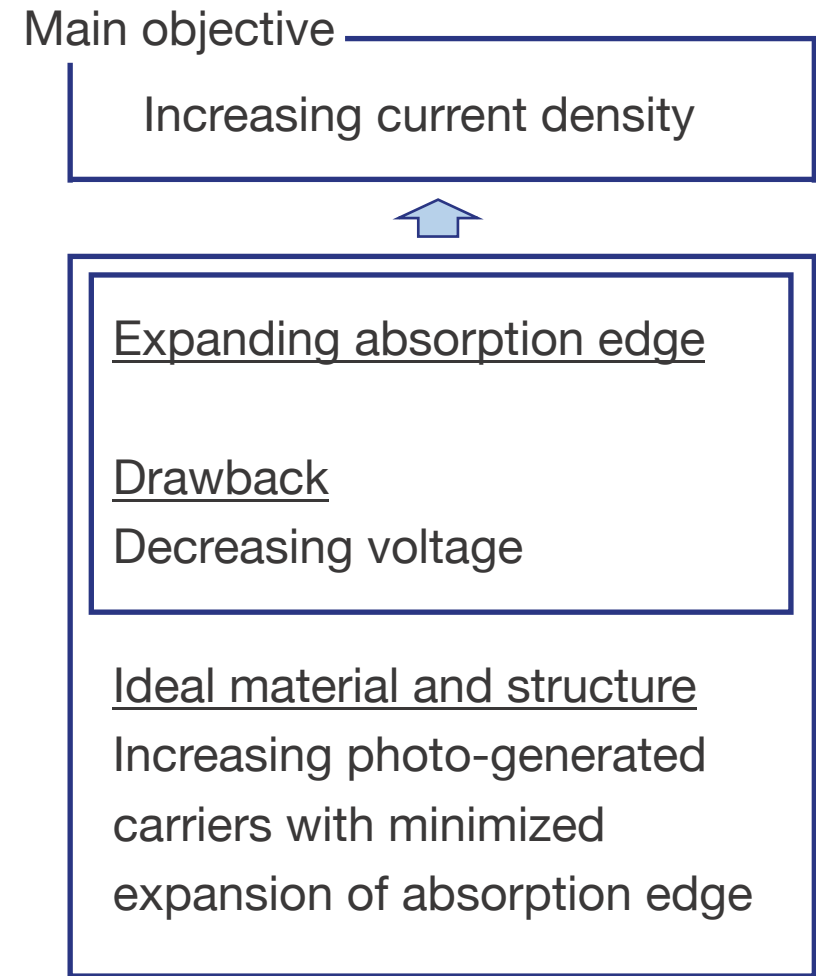
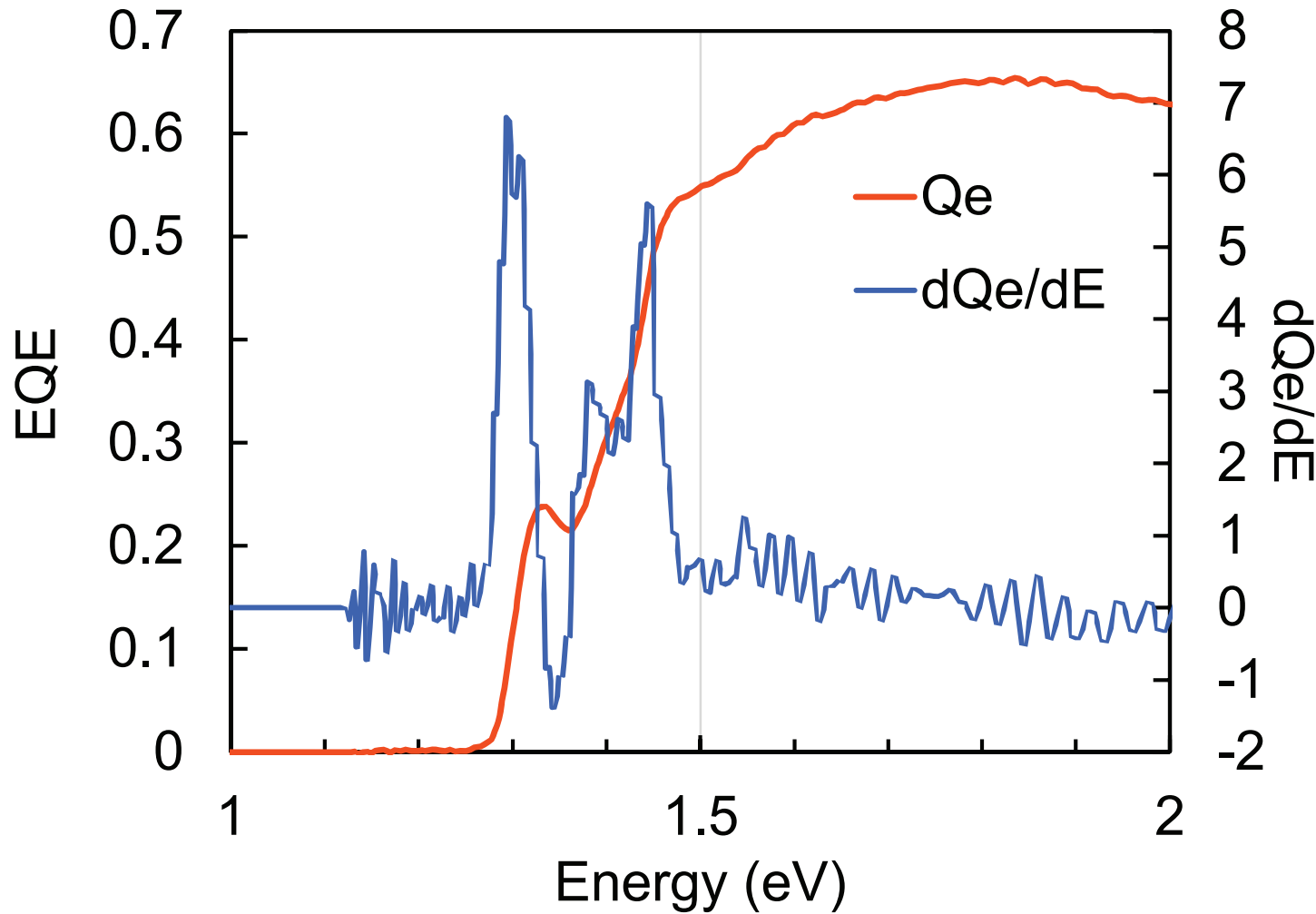
All of these methods are not applicable to quantum solar cells
cf. M. Asami *et al.*, *IEEE JPV* **13**, 2023.

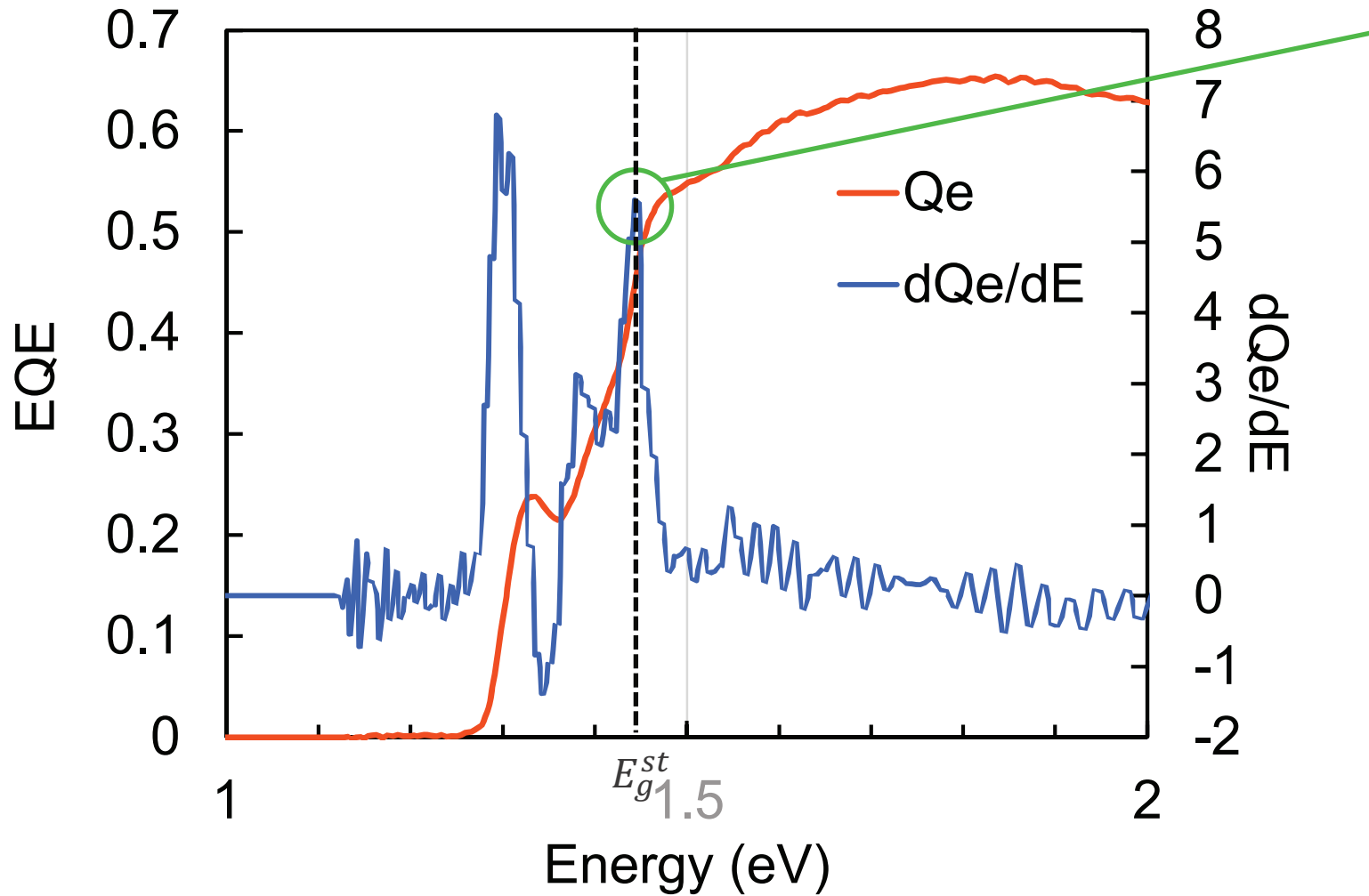


We propose a new method to define the “bandgap” of quantum structure solar cells

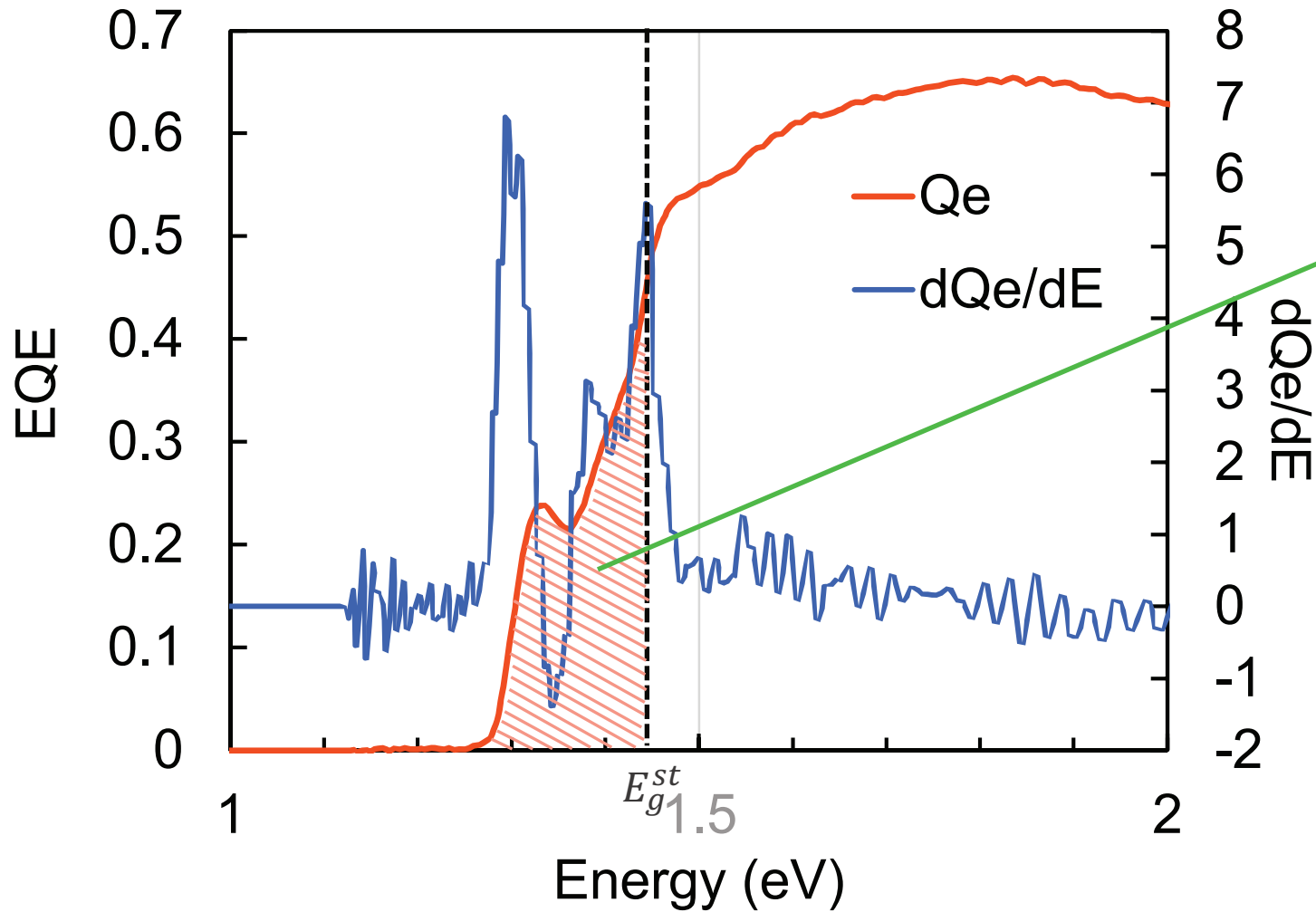


The main objective of lowering the bandgap of the middle cell is to enhance current density





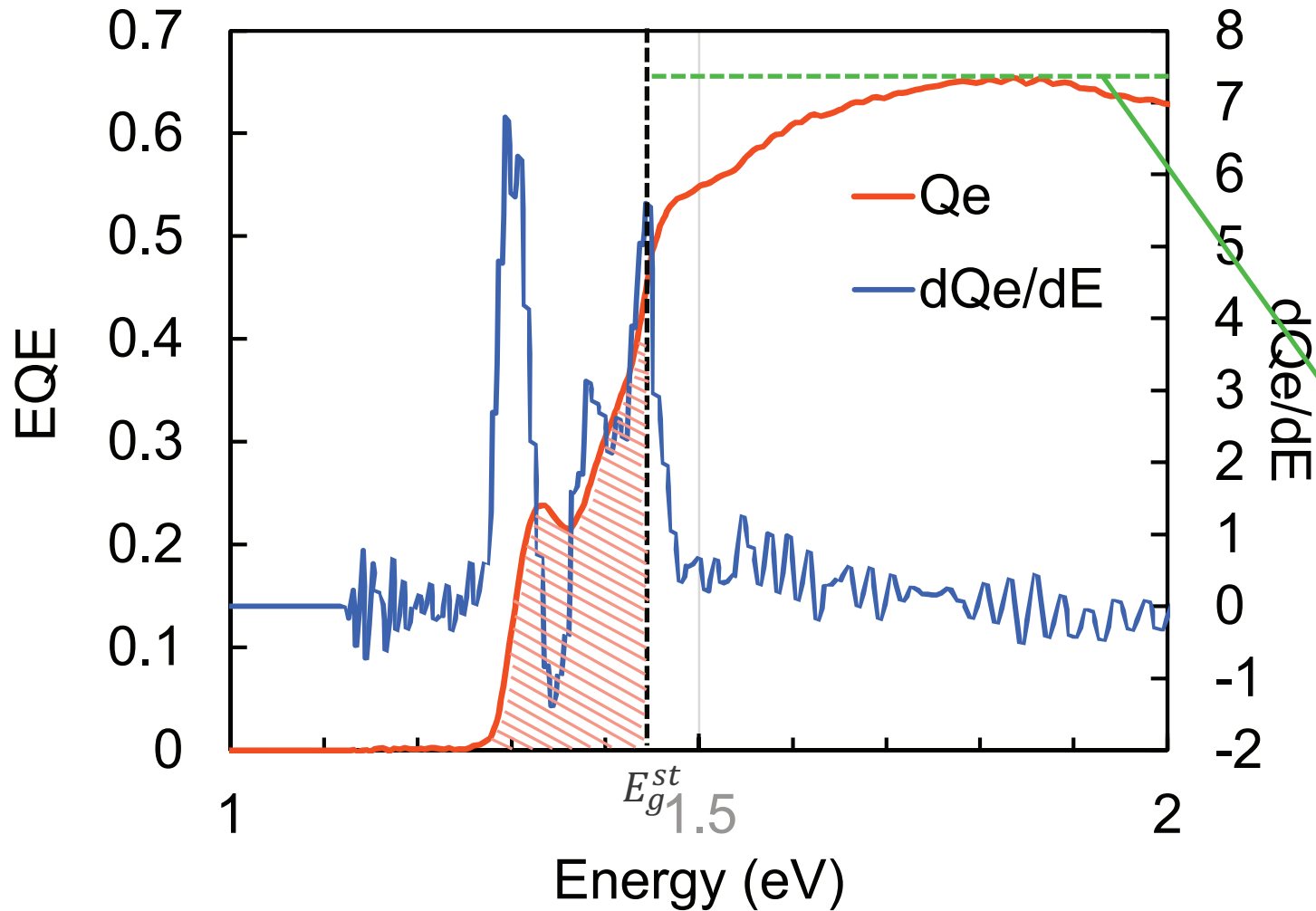
1. A peak at the highest energy is regarded as a standard bandgap E_g^{st}



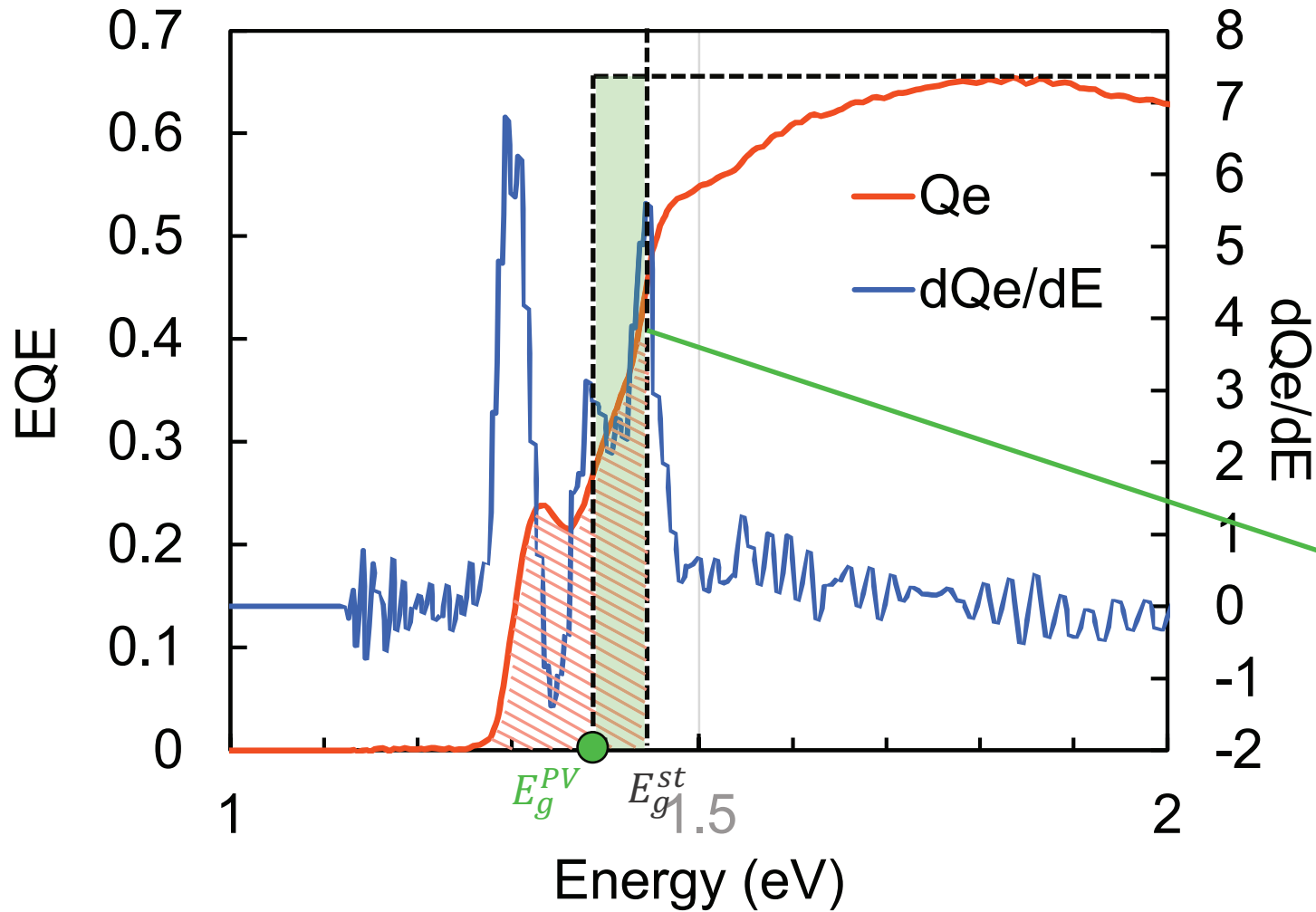
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2. Calculate the increased current density J_{exp} by expanding absorption edge from the standard bandgap to lower energy

$$J_{exp} = q \int_0^{E_g^{st}} Q_e \phi_{sun} dE$$

ϕ_{sun} : Photon flux of sun light



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2. Calculate the increased current density J_{exp} by expanding absorption edge from the standard bandgap to lower energy
3. Maximum value of EQE
4. Calculate E_g^{PV} from

$$q \int_{E_g^{PV}}^{E_g^{st}} \max\{Q_e\} \phi_{sun} dE = J_{exp}$$

$$\int \text{(green oval)} \phi_{sun} dE = \int \text{(red hatched oval)} \phi_{sun} dE$$

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$J_{em,0}$: diode saturation current, J_{nr} : non-radiative recombination current

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 \underline{V_{OC}^{SQ}} &= \frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} 1 \times \phi_{sun} dE}{\int_{E_g}^{\infty} 1 \times \phi_{bb} dE} = \frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{sun} dE}{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{bb} dE} = \frac{kT}{q} \ln \frac{J_{SC}^{max}}{J_{em,0}^{max}}
 \end{aligned}$$

We modified the conventional method

$$\underline{\Delta V_{OC}^{SC}} \equiv -\frac{kT}{q} \ln \frac{J_{SC}}{J_{SC}^{max}}, \underline{\Delta V_{OC}^{rad}} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}}, \underline{\Delta V_{OC}^{nonrad}} \equiv -\frac{kT}{q} \ln \frac{J_{SC} - J_{nr}}{J_{SC}}$$

Three types of voltage loss

Short circuit current voltage loss

radiative recombination voltage loss

non-radiative recombination voltage loss

This modification is needed for low EQE samples.

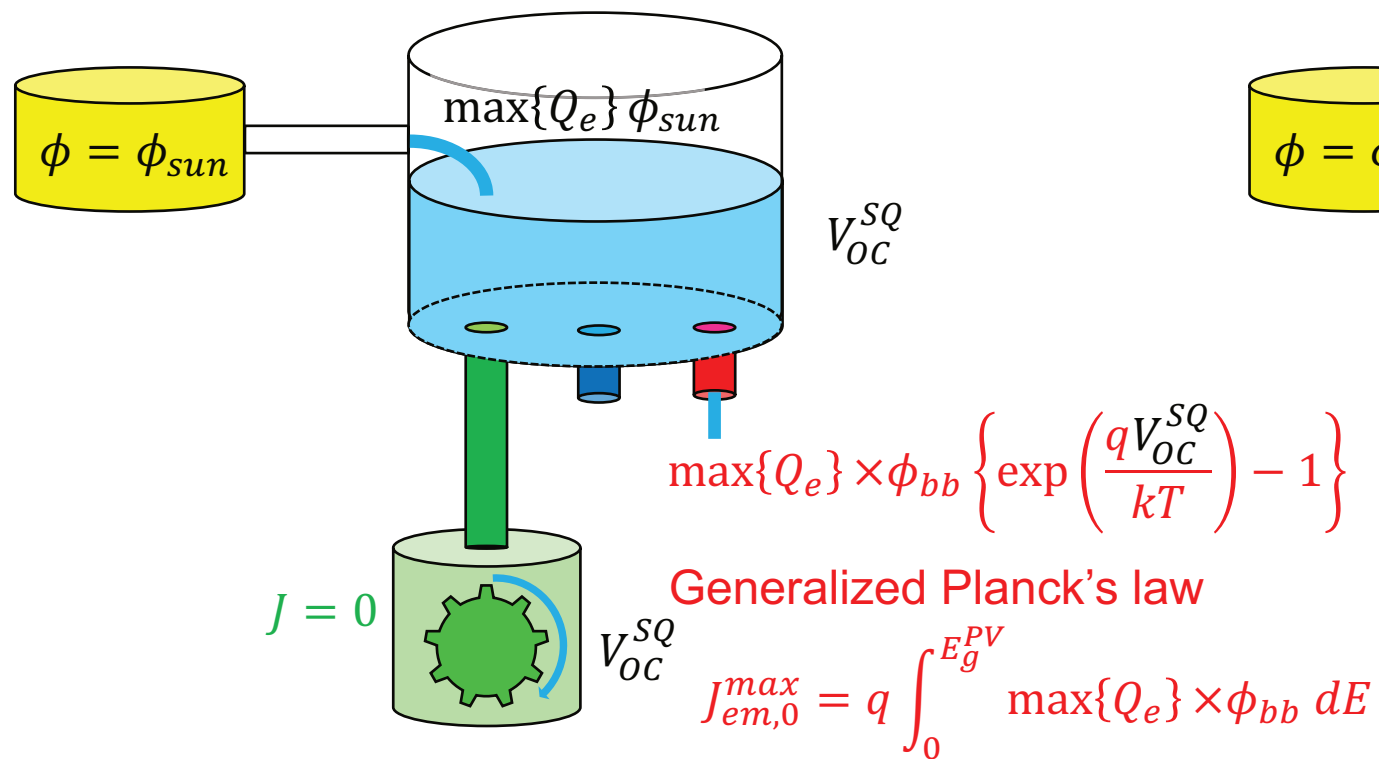
Without this modification, ΔV_{OC}^{rad} sometimes becomes negative value (unphysical situation)

$$V_{OC}^{SQ} = \frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} 1 \times \phi_{sun} dE}{\int_{E_g}^{\infty} 1 \times \phi_{bb} dE} = \frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{sun} dE}{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{bb} dE} = \frac{kT}{q} \ln \frac{J_{SC}^{max}}{J_{em,0}^{max}}$$

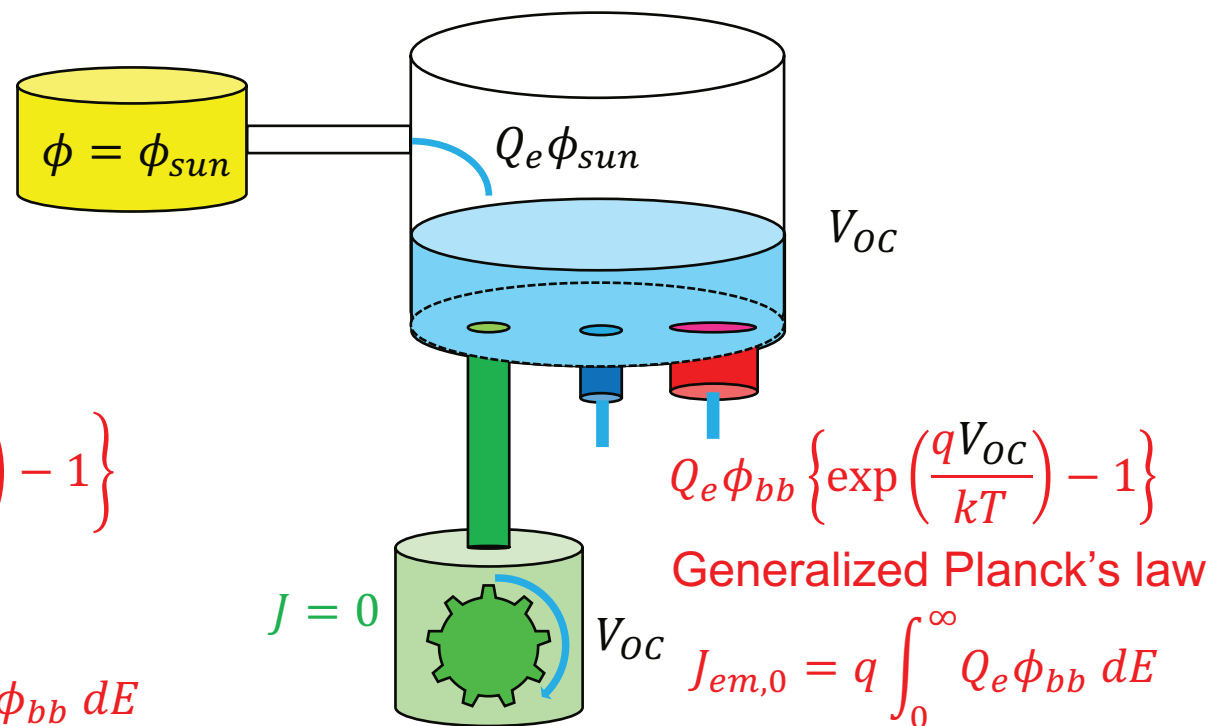
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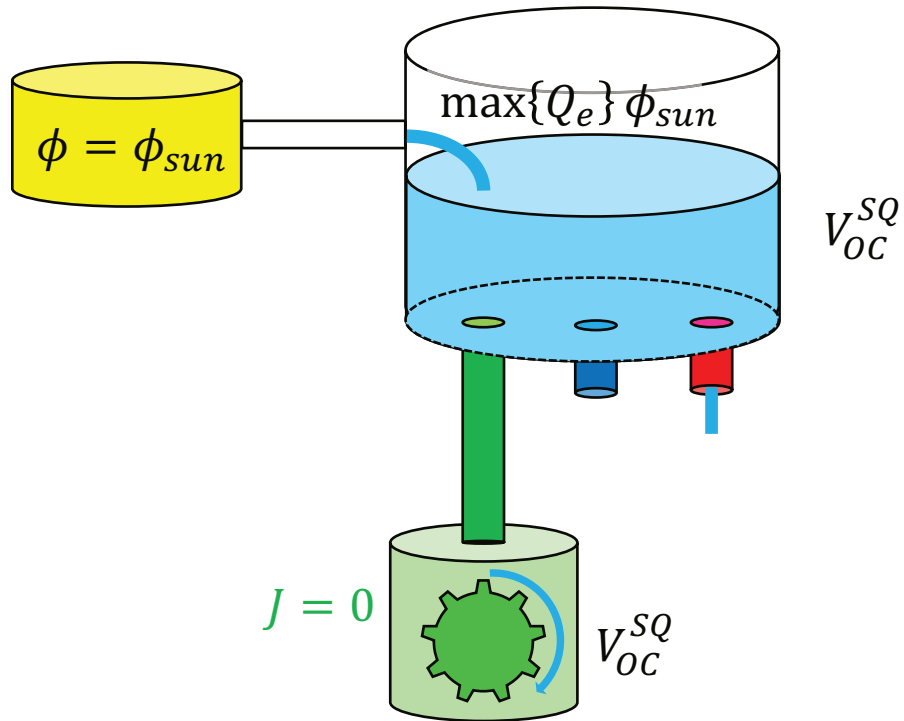


An ideal solar cell

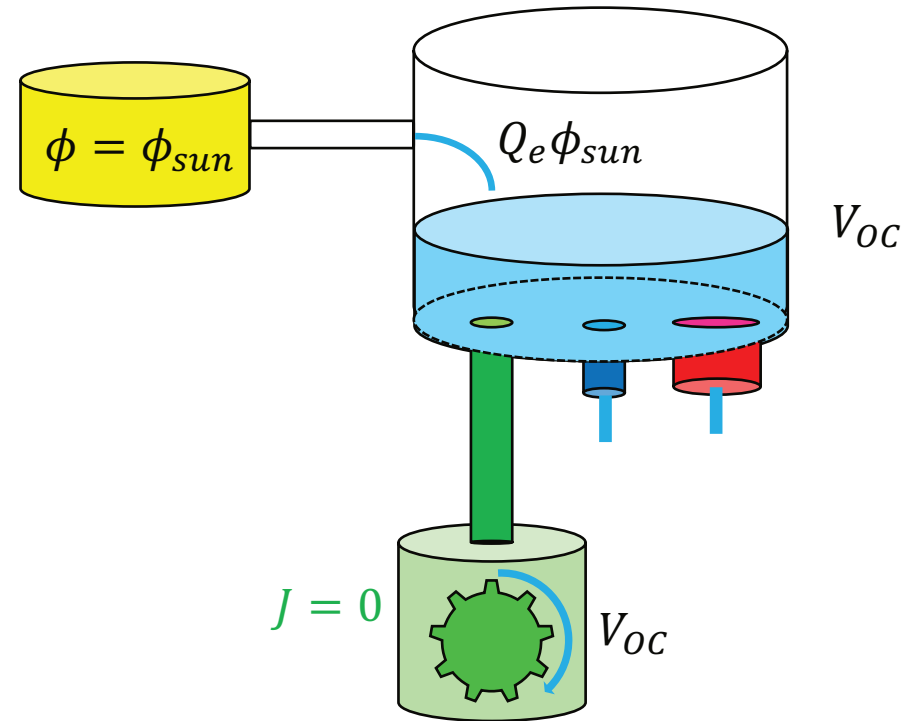


A quantum structure solar cell

generated current
 non-radiative recombination
 radiative recombination

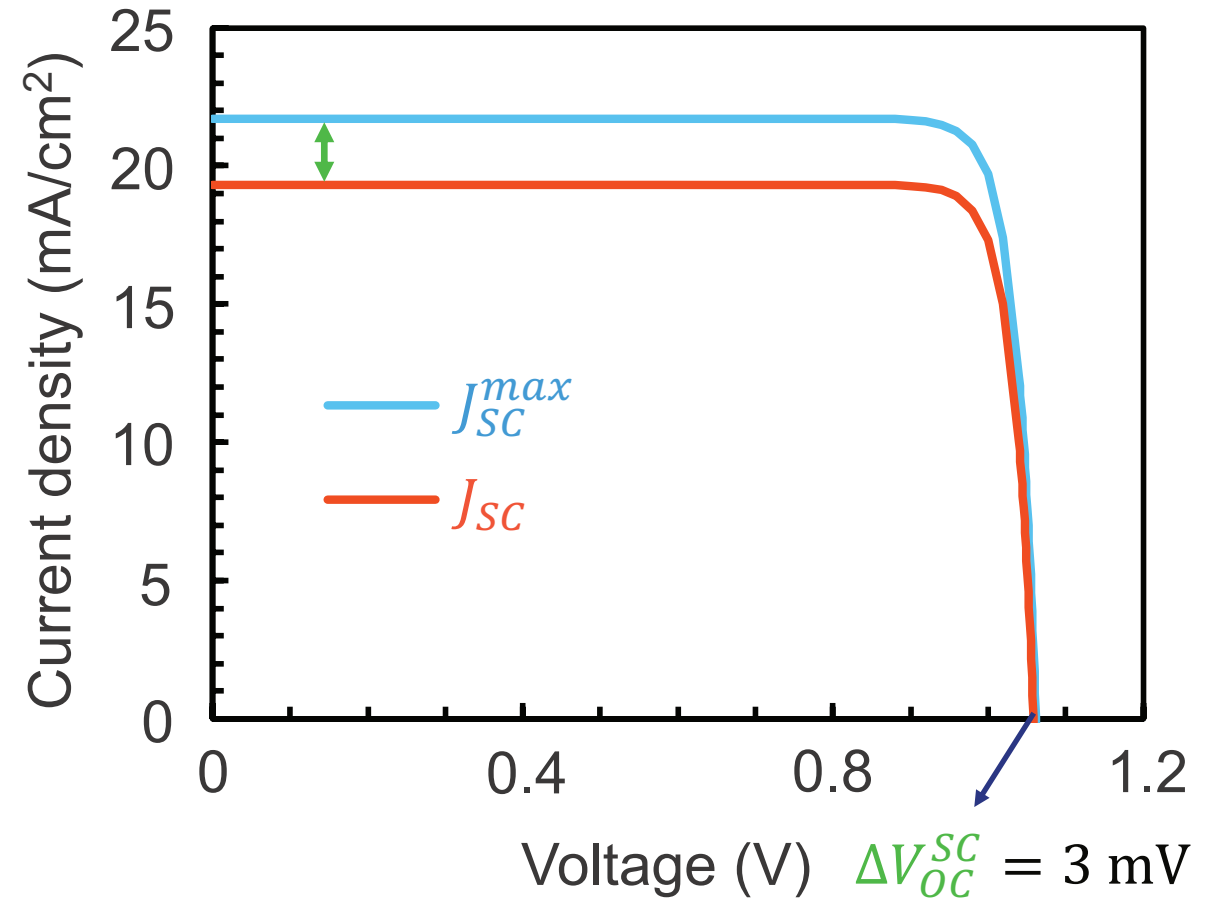
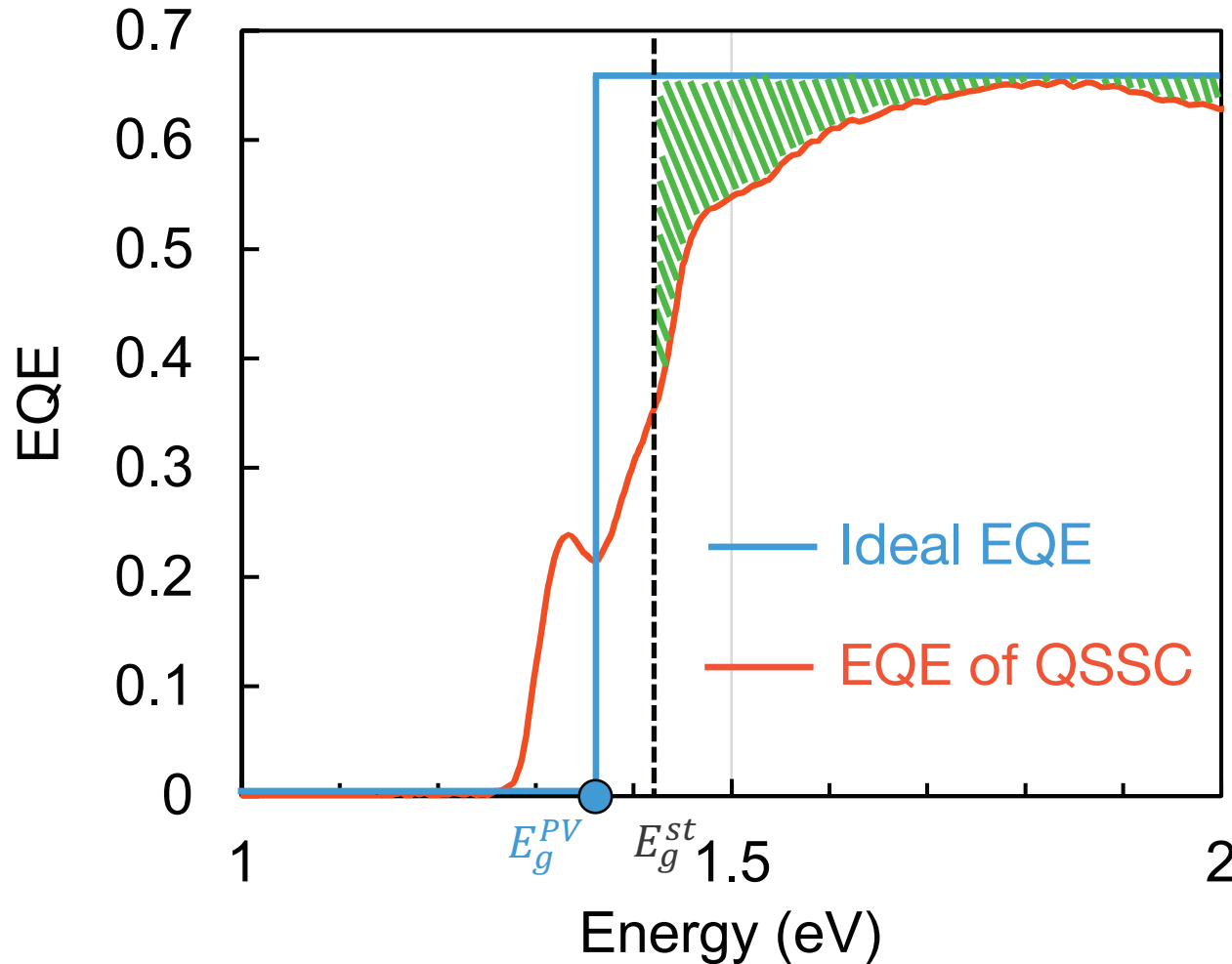


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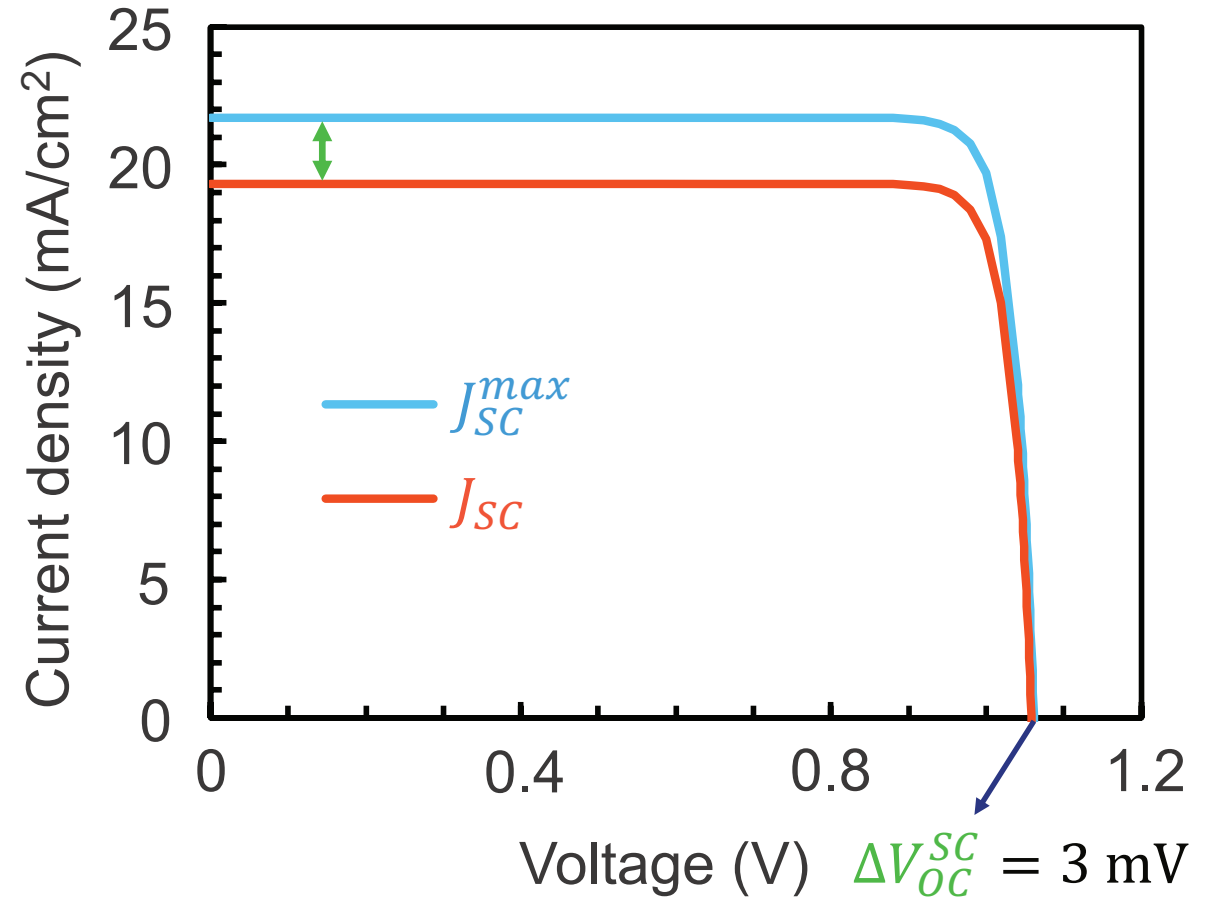
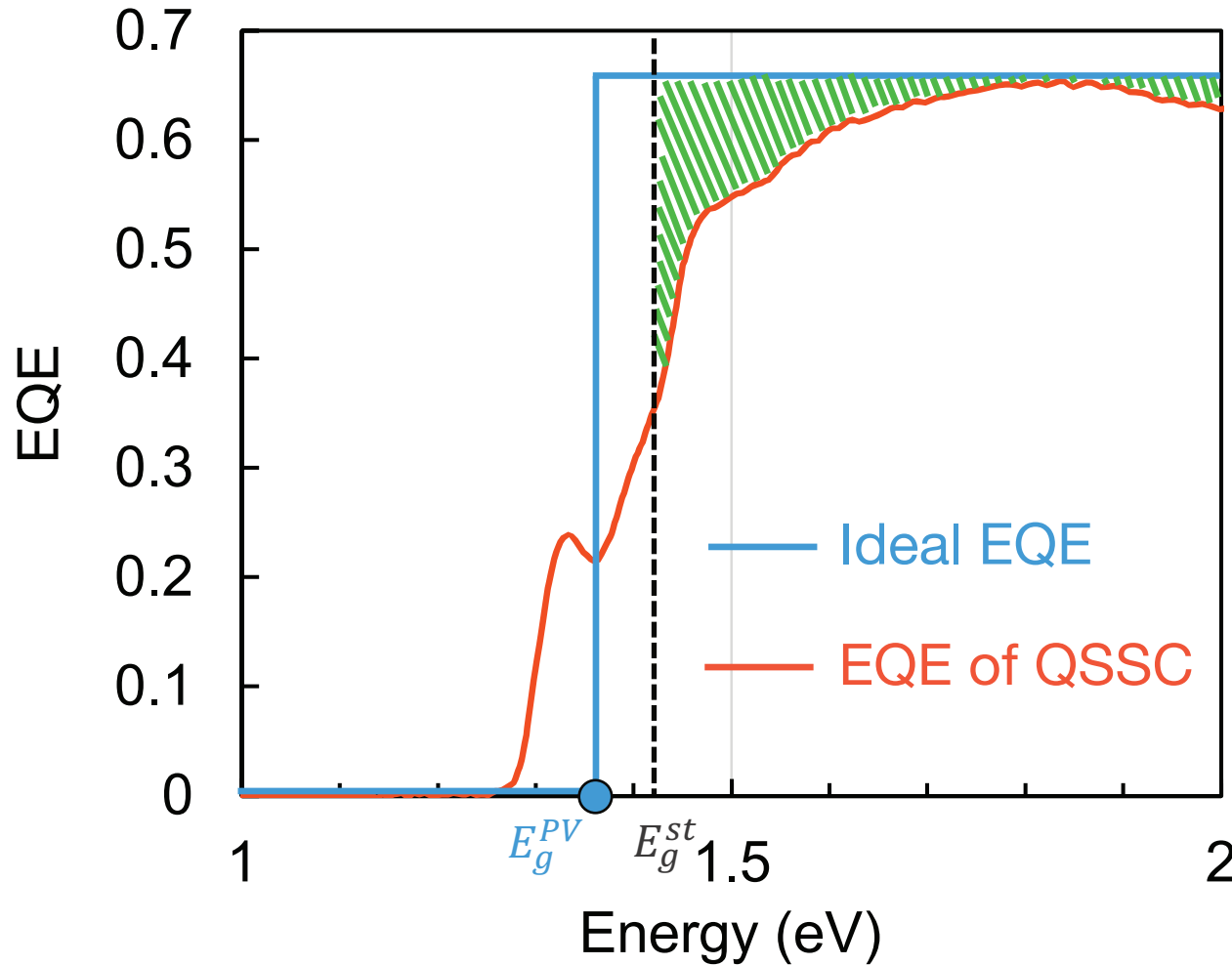


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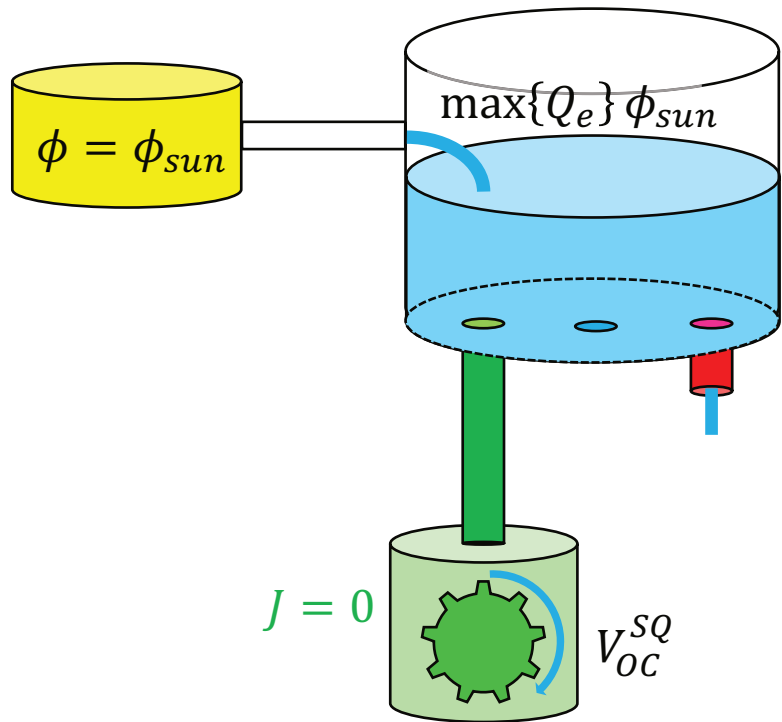
generated current cell
non-radiative recombination
radiative recombination



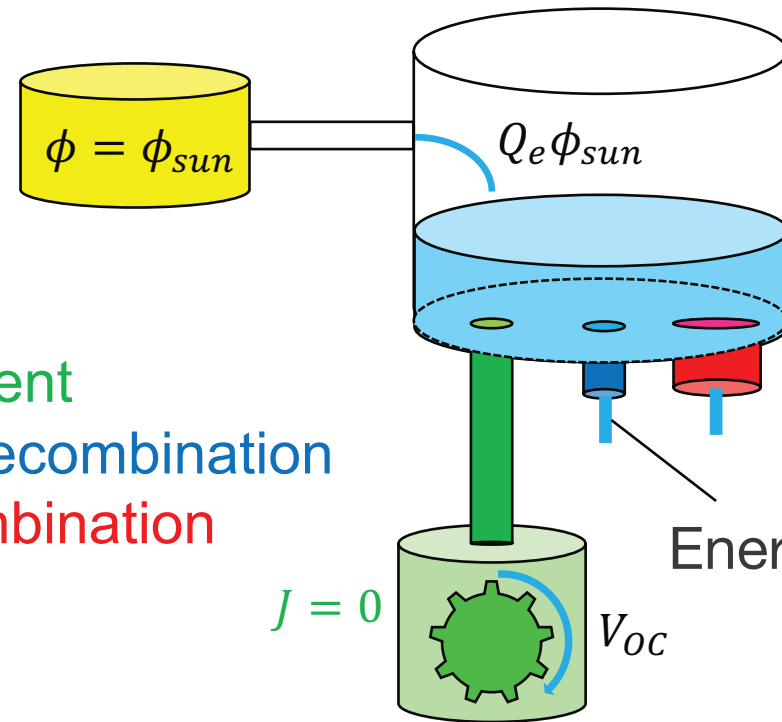
Short circuit current voltage loss is usually **negligibly small**



$$\Delta V_{OC}^{SC} \equiv -\frac{kT}{q} \ln \frac{J_{sc}}{J_{sc}^{max}}, \Delta V_{OC}^{rad} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}}, \Delta V_{OC}^{nonrad} \equiv -\frac{kT}{q} \ln \frac{J_{sc} - J_{nr}}{J_{sc}}$$



An ideal solar cell



A quantum structure solar cell

V_{oc}^{SQ}

generated current
non-radiative recombination
radiative recombination

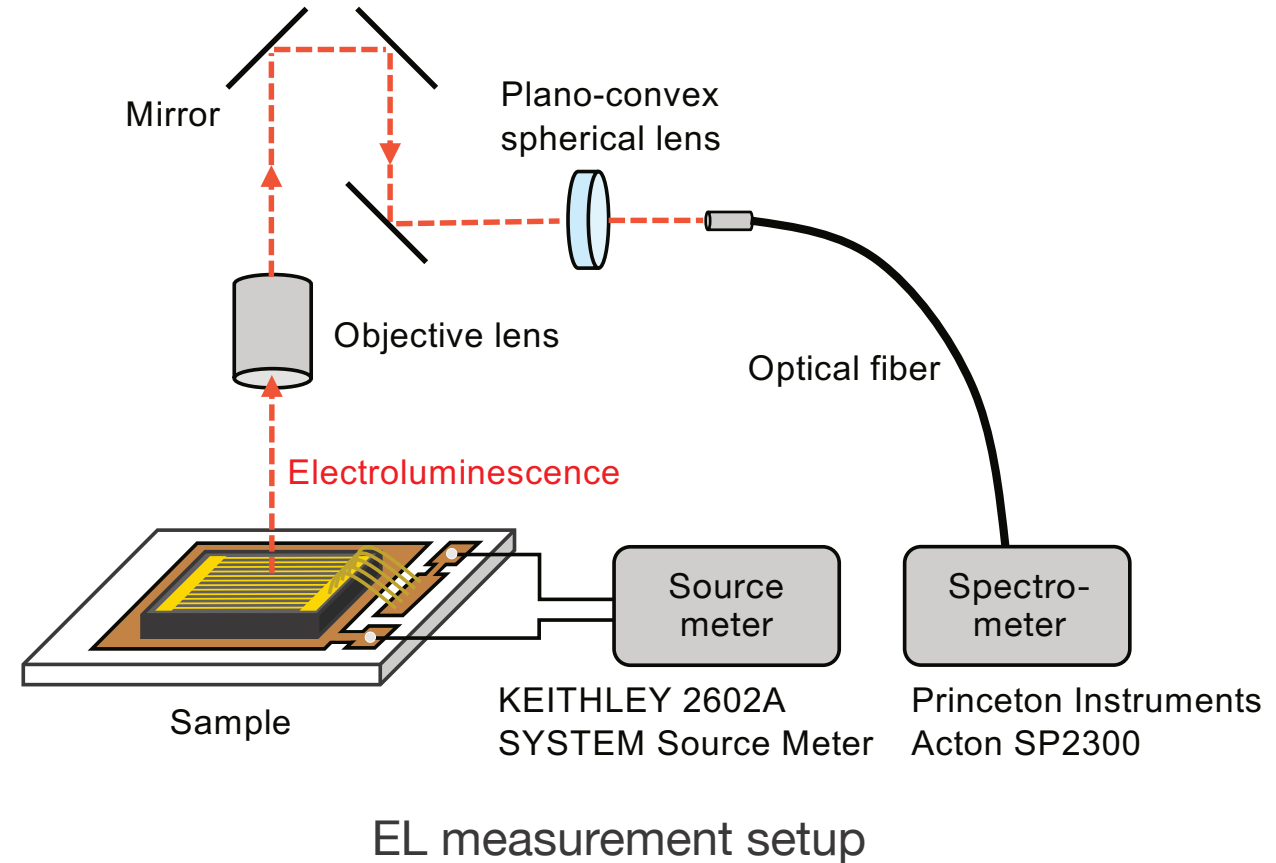
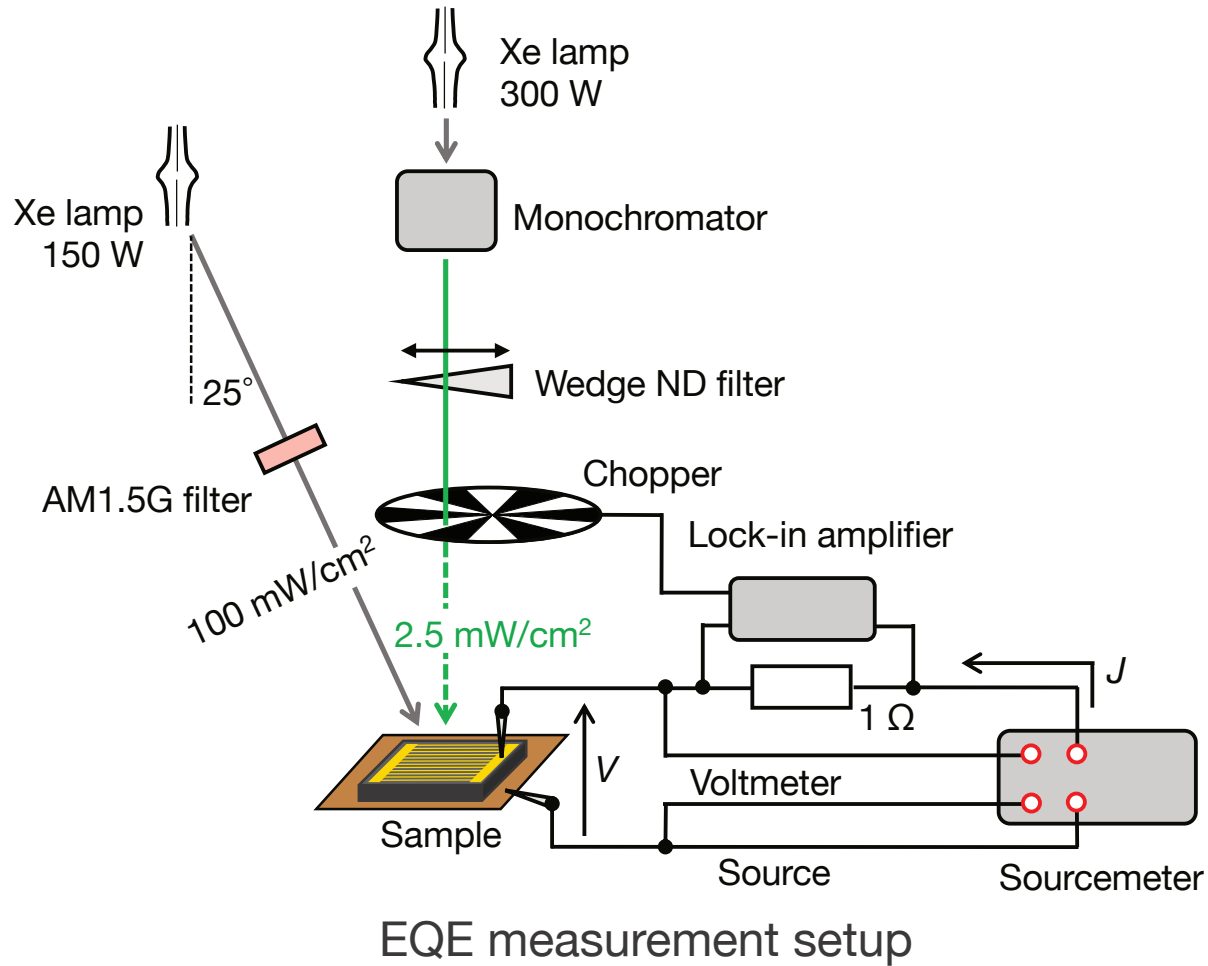
V_{oc}

Energy is lost as heat

$J = 0$

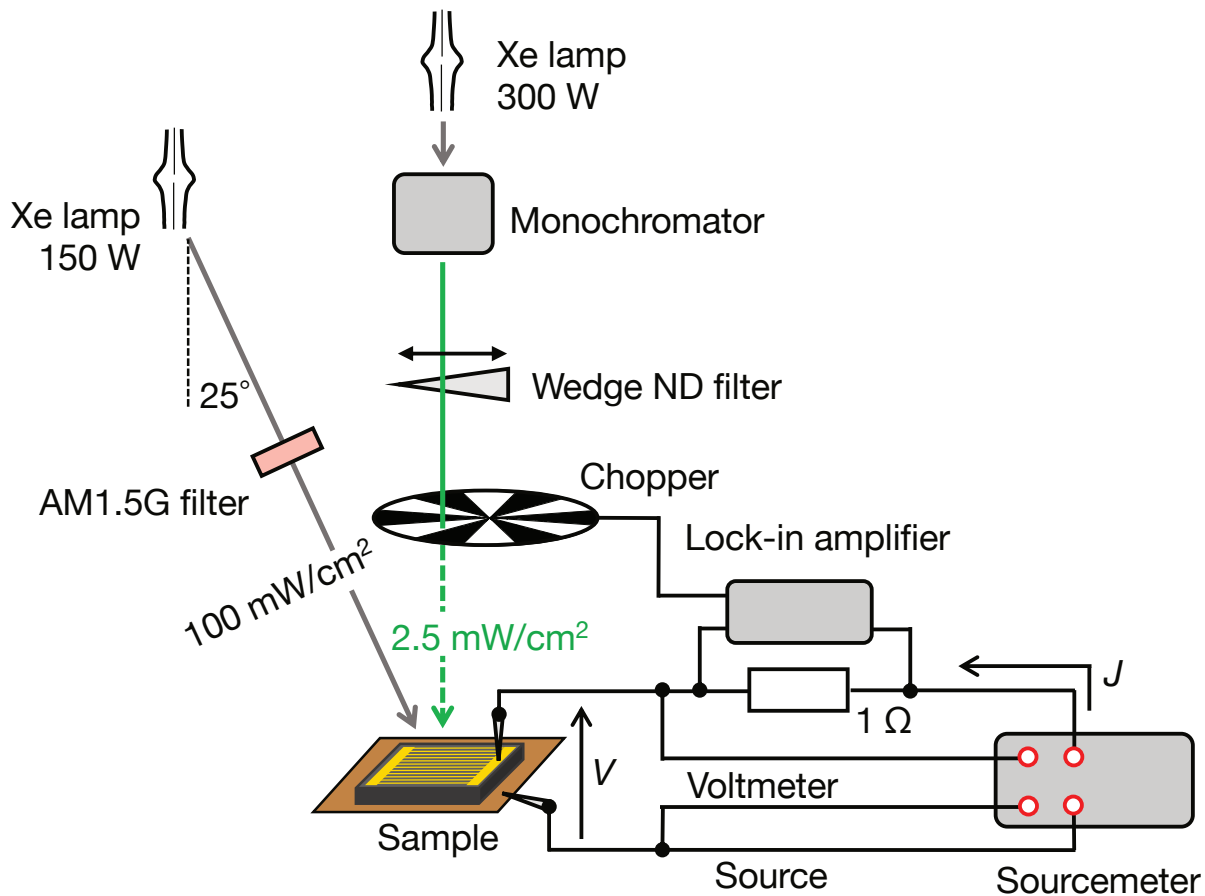
V_{oc}

An ideal solar cell does not have any non-radiative recombination voltage loss

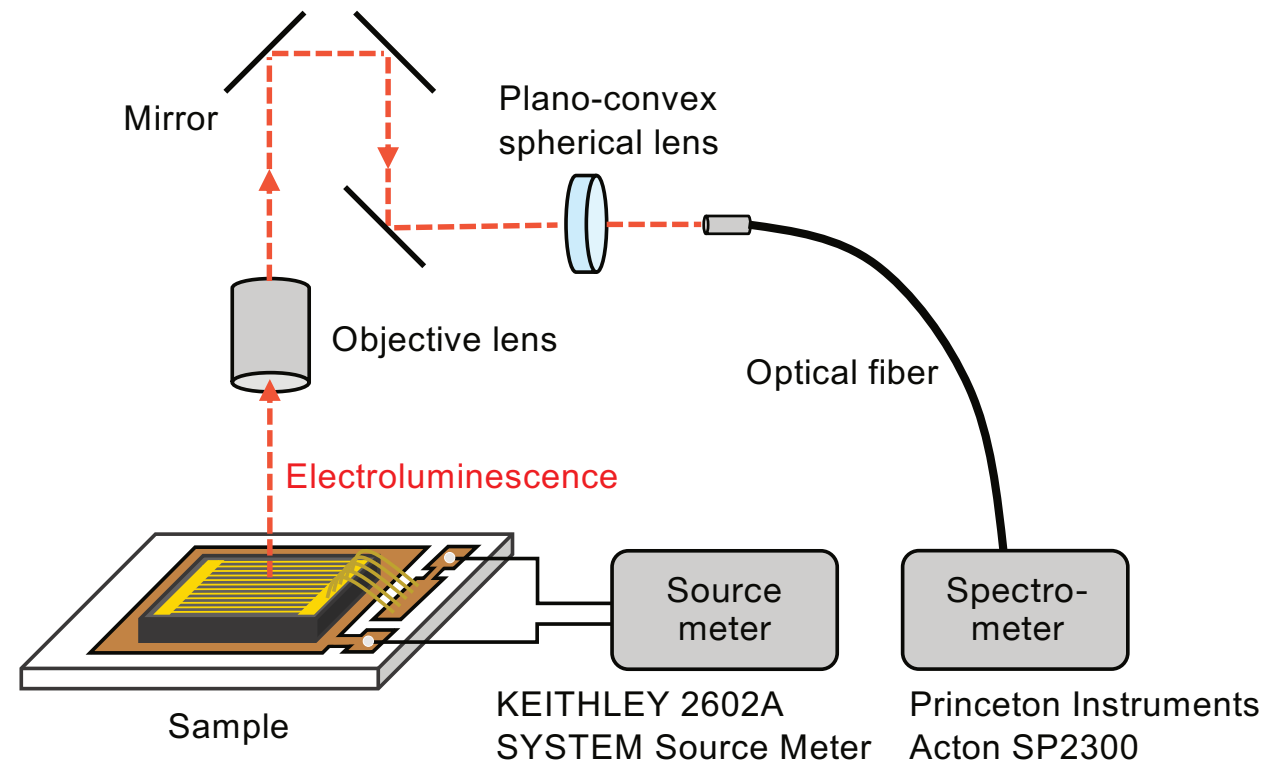


Non-radiative recombination voltage loss can be evaluated by either EQE or EL measurements [*]

[*] M. Asami *et al.*, *IEEE JPV* 13, 2023.

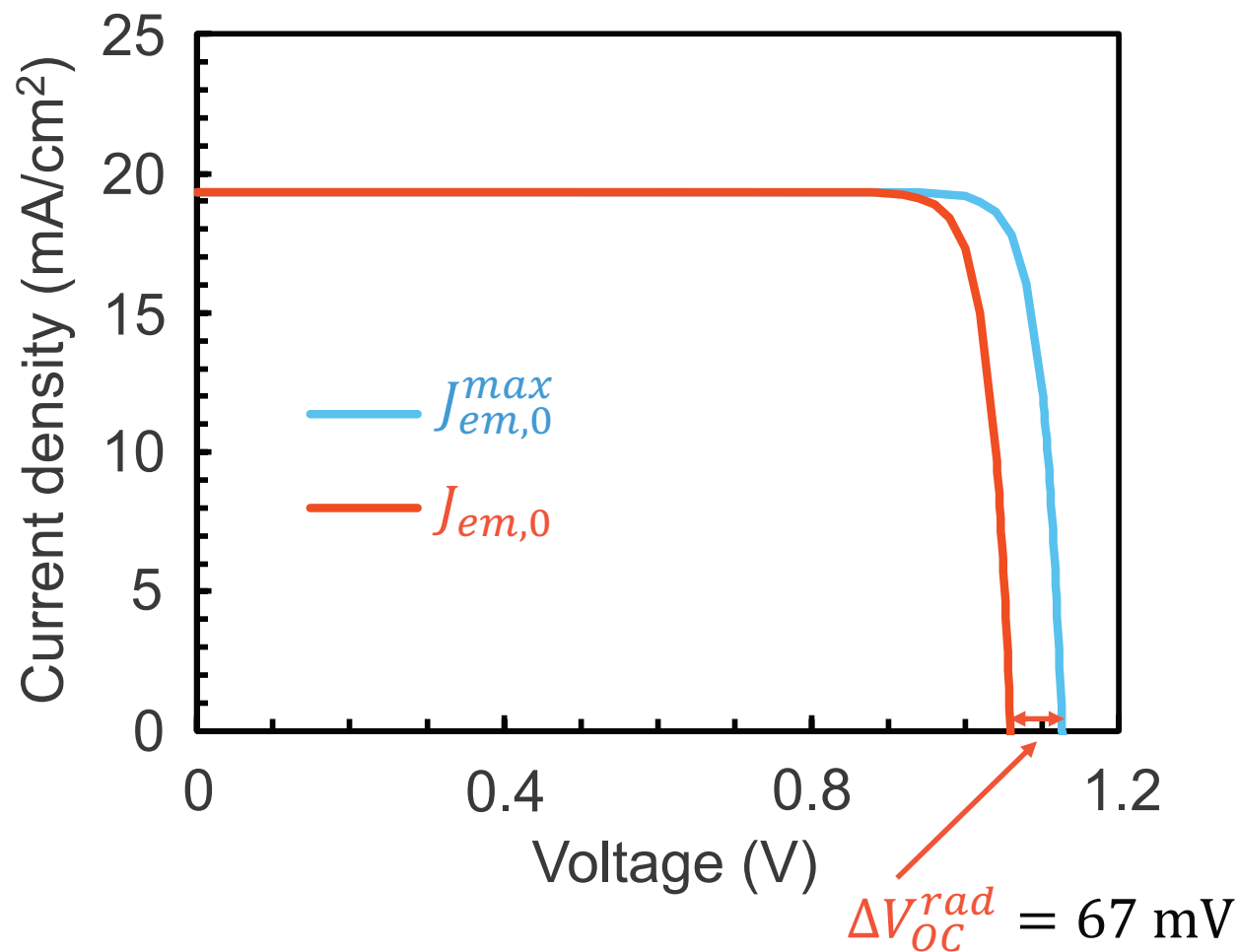
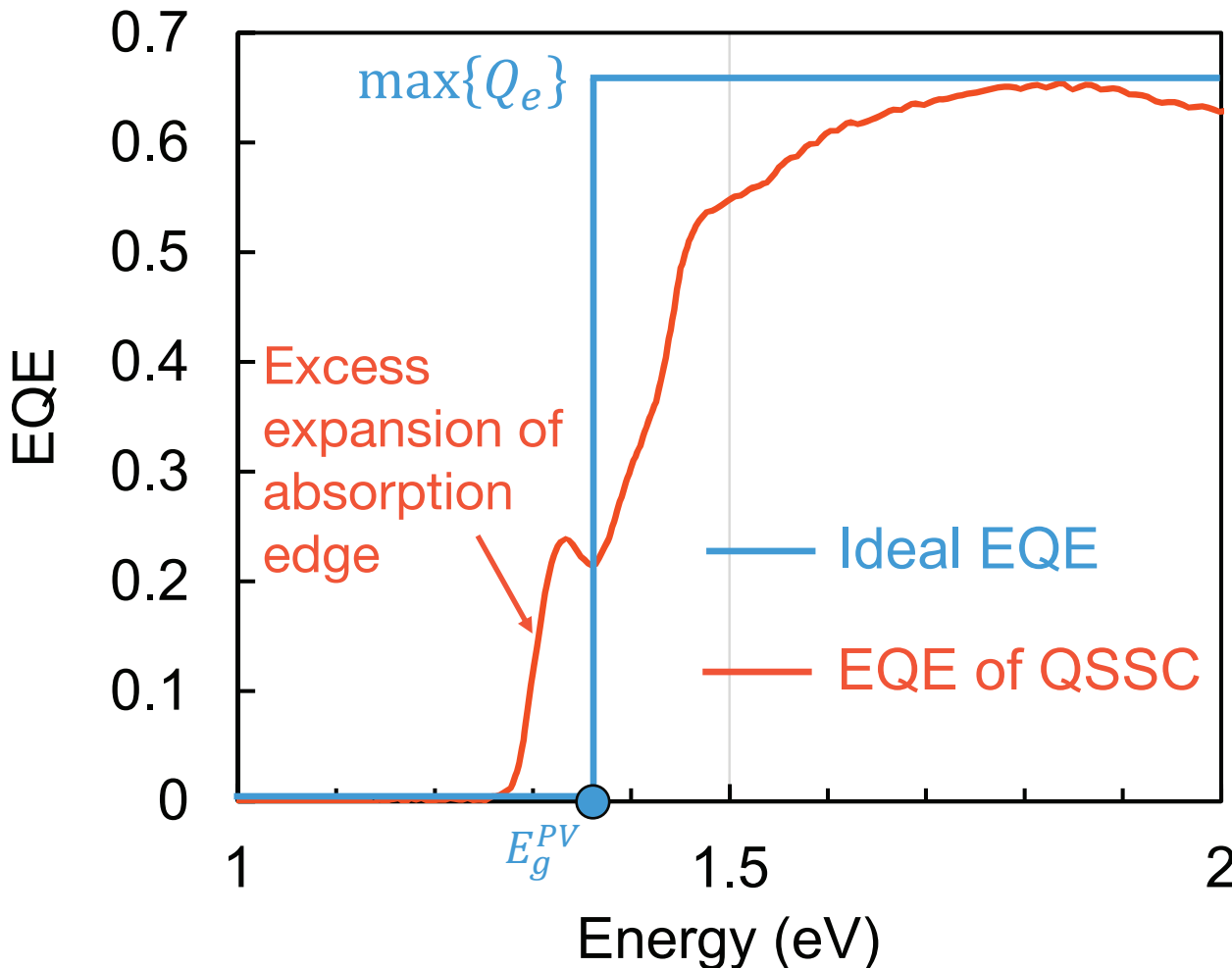


EQE measurement setup



EL measurement setup

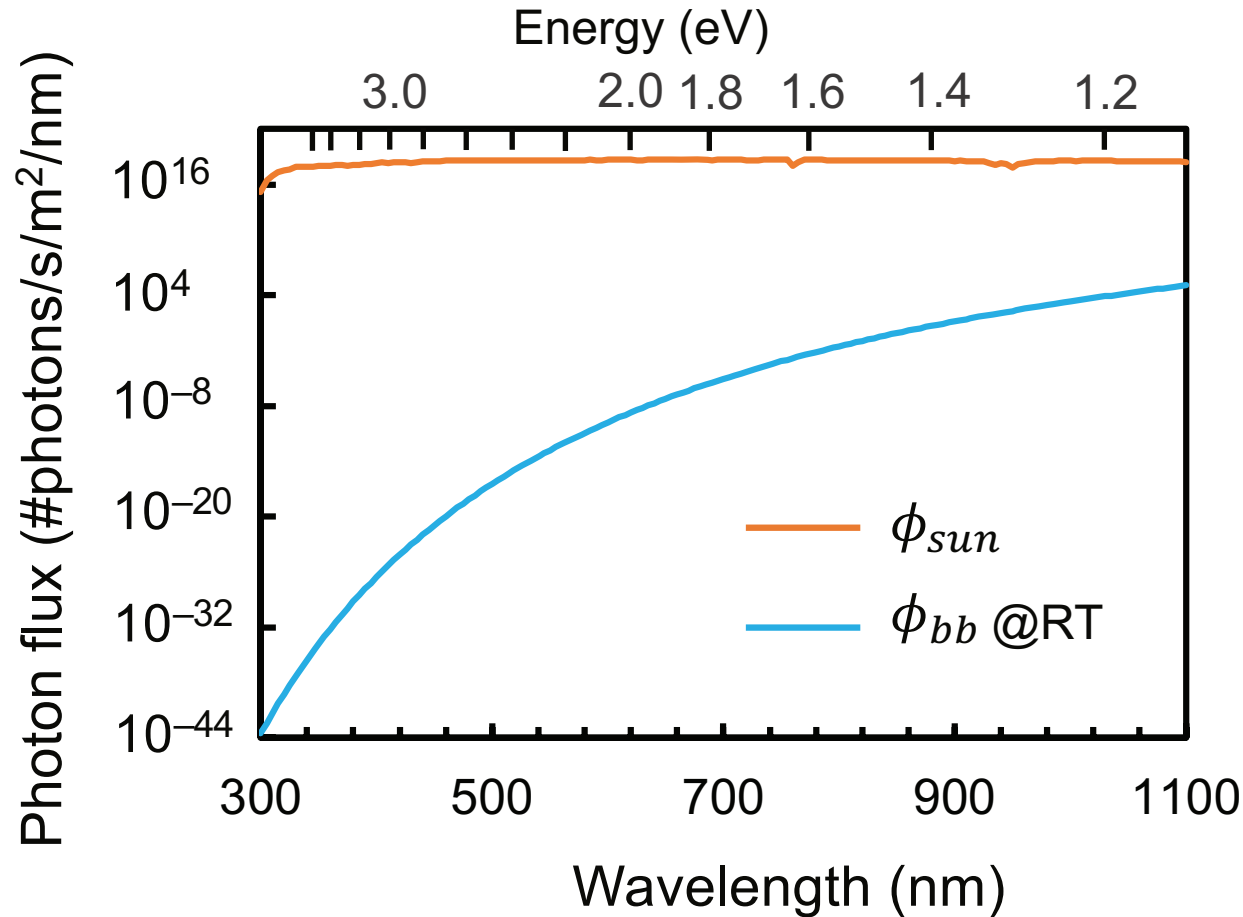
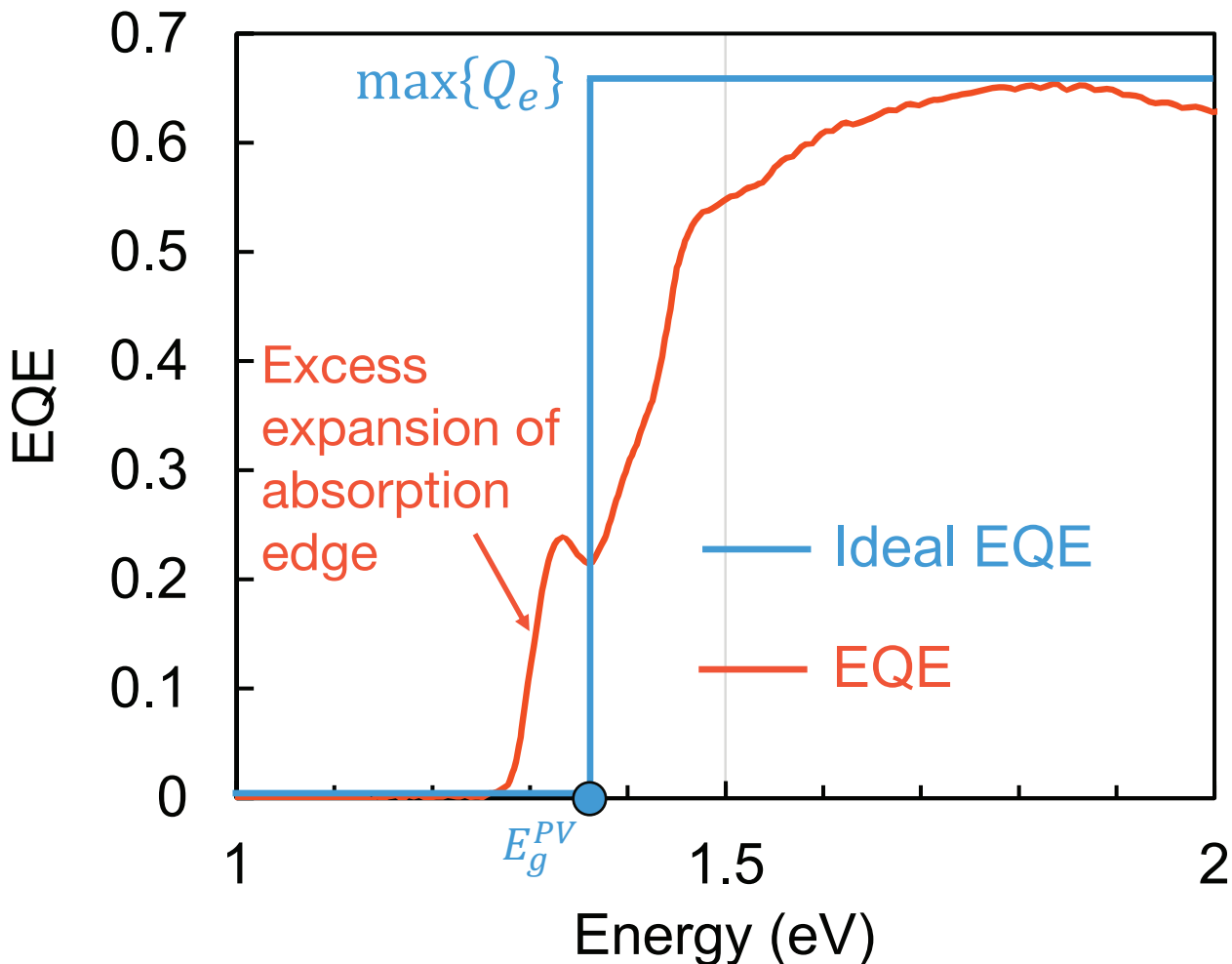
$$\Delta V_{OC}^{SC} \equiv -\frac{kT}{q} \ln \frac{J_{SC}}{J_{SC}^{max}}, \Delta V_{OC}^{rad} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}}, \Delta V_{OC}^{nonrad} \equiv -\frac{kT}{q} \ln \frac{J_{SC} - J_{nr}}{J_{SC}}$$



$$\Delta V_{OC}^{rad} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}} = -\frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{bb} dE}{\int_0^{\infty} Q_e \times \phi_{bb} dE}$$

Energy conversion eff. (w/o ARC)
 19.4% – 18.1% = 1.3 pt
 ~2 pt (w/ ARC)

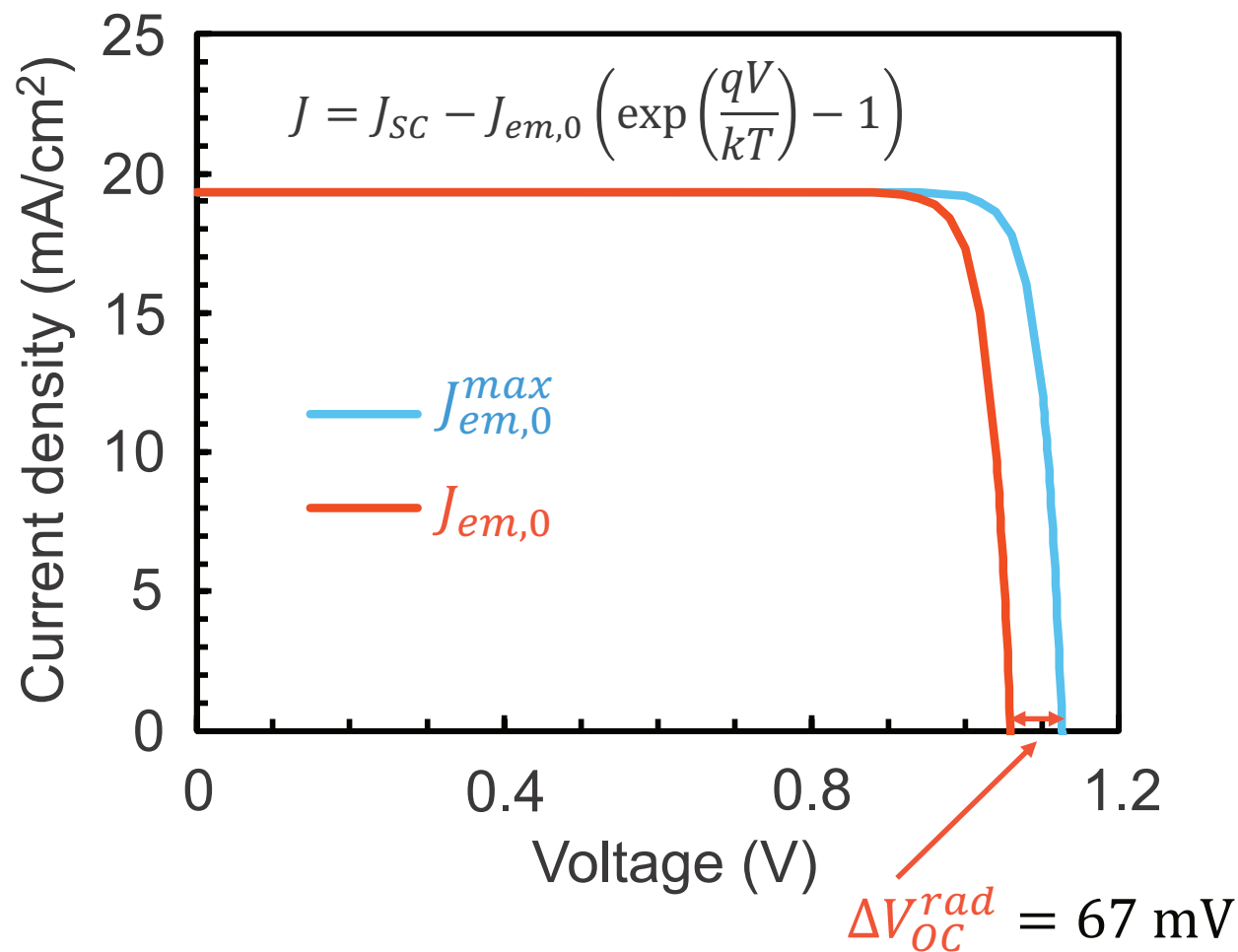
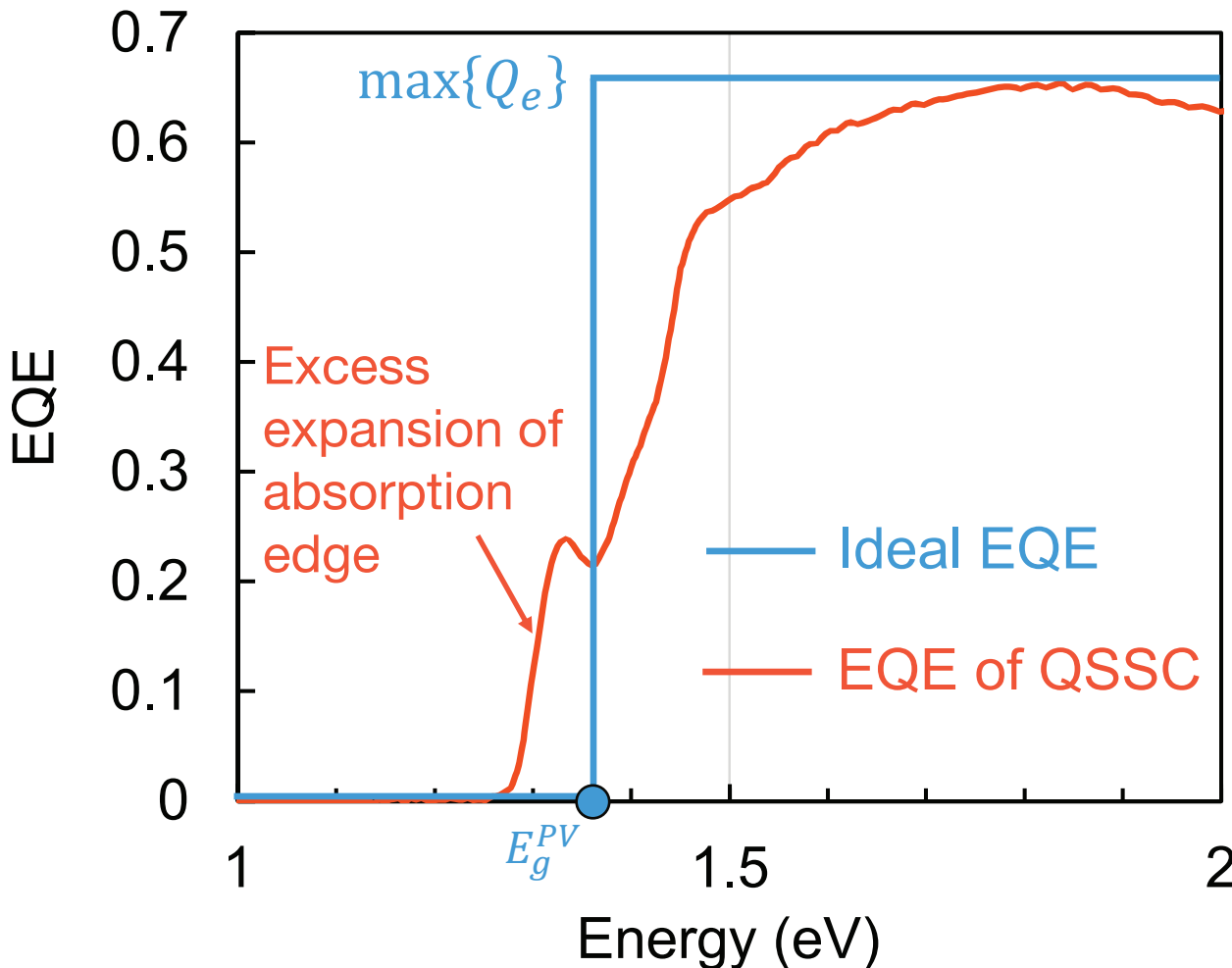
ϕ_{bb} : Photon flux of black body radiation



$$\Delta V_{OC}^{rad} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}} = -\frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{bb} dE}{\int_0^{\infty} Q_e \times \phi_{bb} dE}$$

ϕ_{bb} at lower energy is much higher

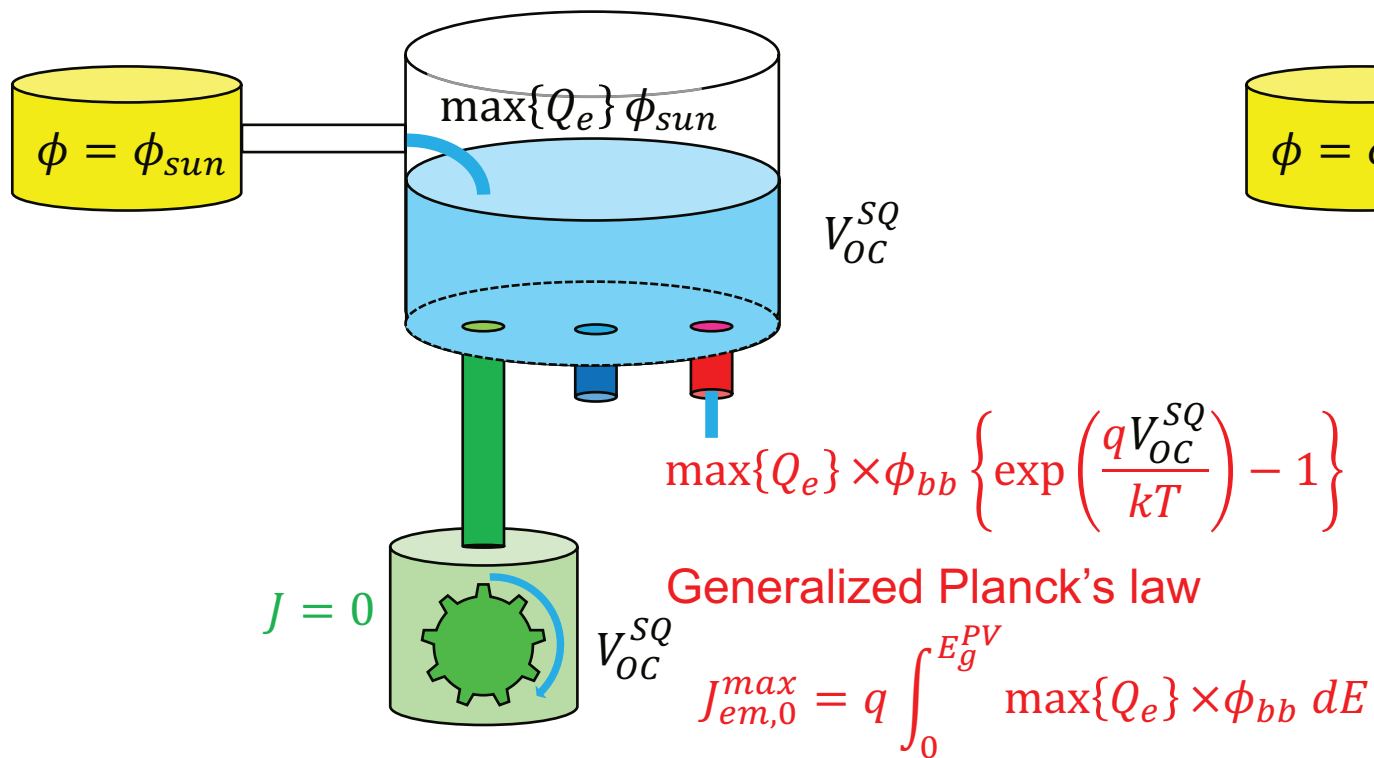
ϕ_{bb} : Photon flux of black body radiation



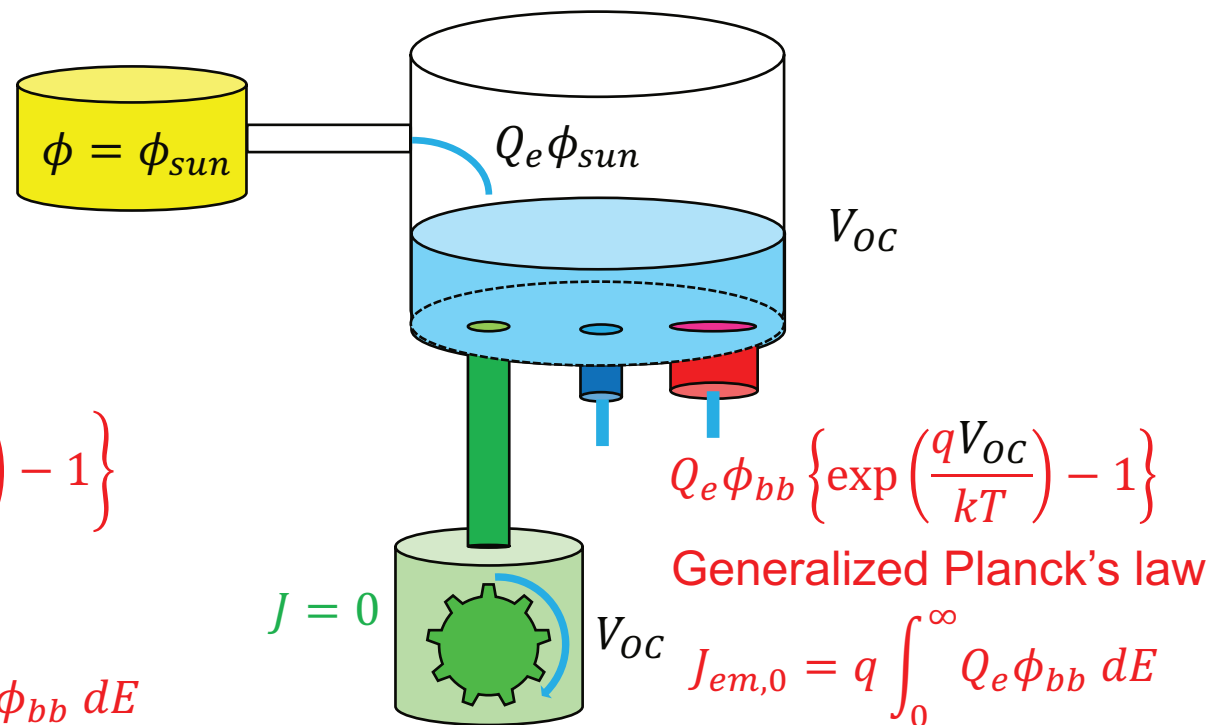
$$\Delta V_{OC}^{rad} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}} = -\frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{bb} dE}{\int_0^{\infty} Q_e \times \phi_{bb} dE}$$

$$\times 8.6 \left\{ \begin{aligned} J_{em,0}^{max} &= 5.46 \times 10^{-18} \text{ mA/cm}^{-2} \\ J_{em,0} &= 4.67 \times 10^{-17} \text{ mA/cm}^{-2} \end{aligned} \right.$$

ϕ_{bb} : Photon flux of black body radiation



An ideal solar cell



A quantum structure solar cell

generated current
 non-radiative recombination
 radiative recombination

Outline

0. Abstract

1. Background, preceding studies

1-1. Why do we need an accurate voltage-loss analysis technique?

1-2. Voltage loss analysis based on detailed balance theory

2. Our work

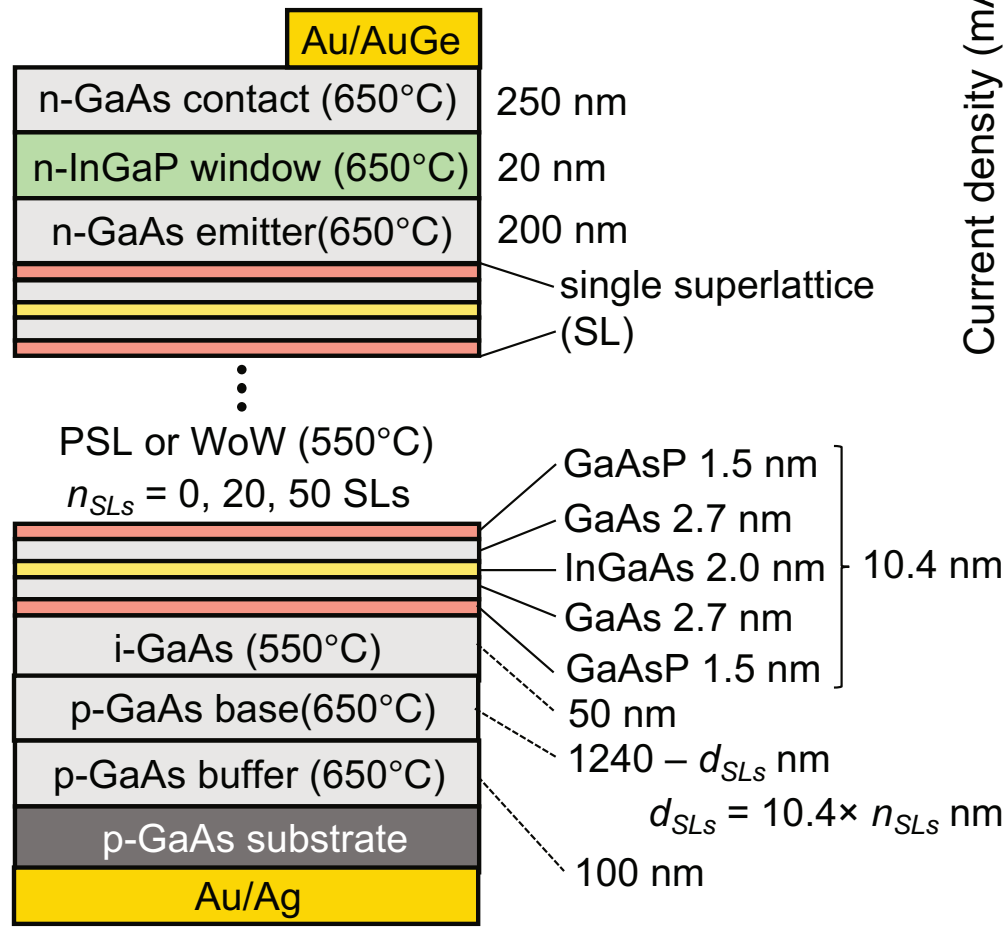
2-1. Definition of “bandgap” of quantum structure solar cells

2-2. Voltage loss analysis on quantum structure solar cells

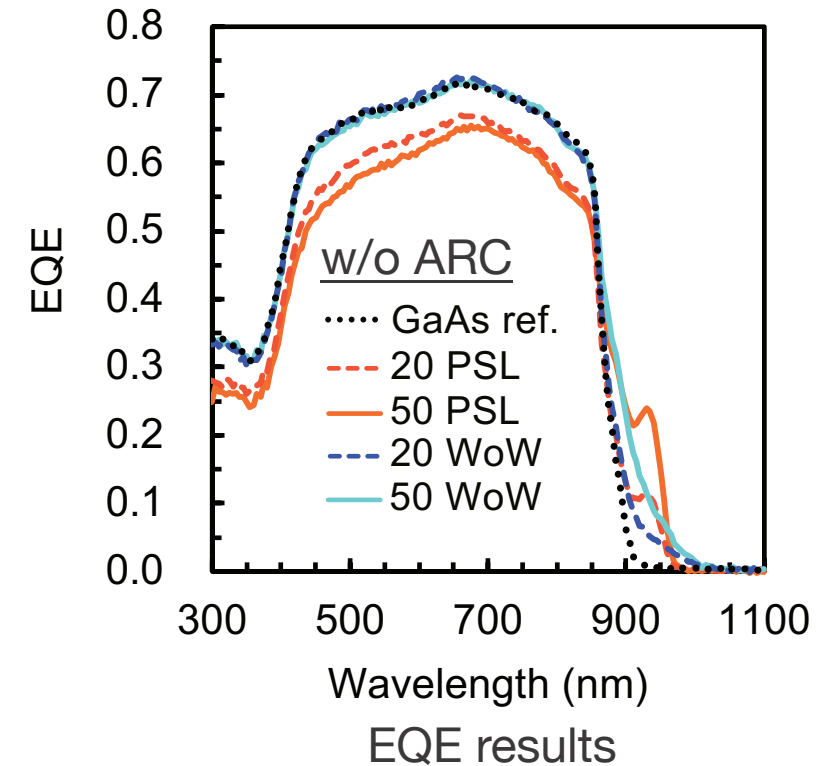
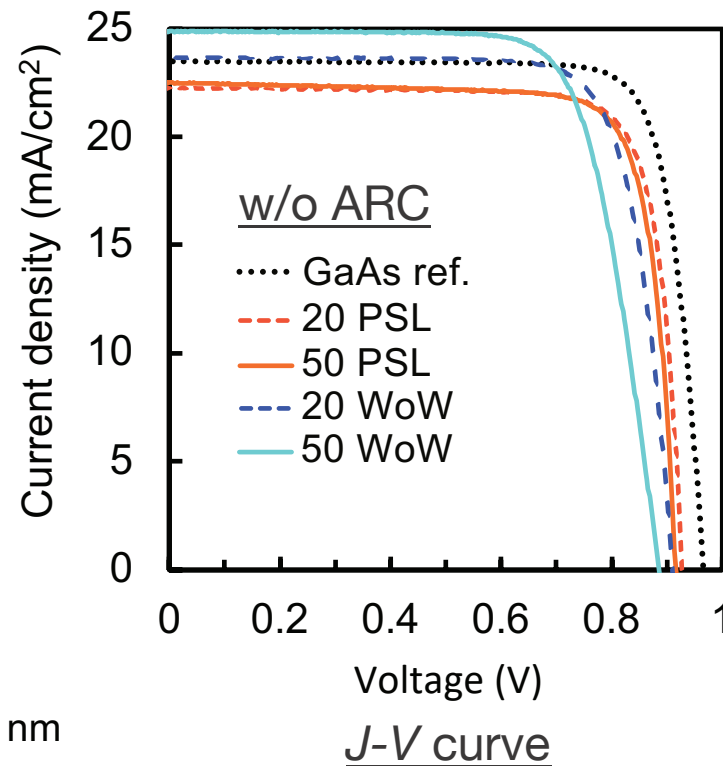
2-3. How to reduce the voltage loss in quantum structure solar cells

3. Conclusion

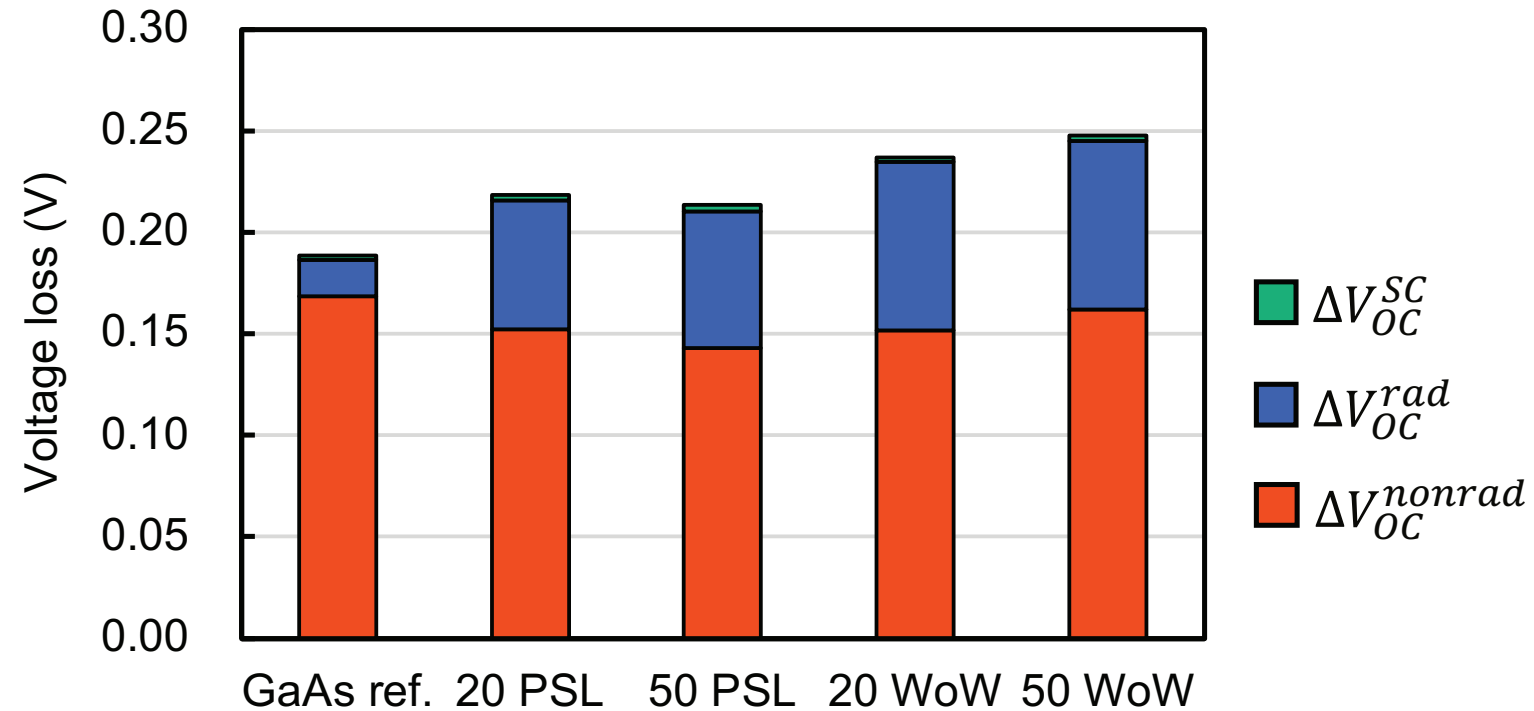
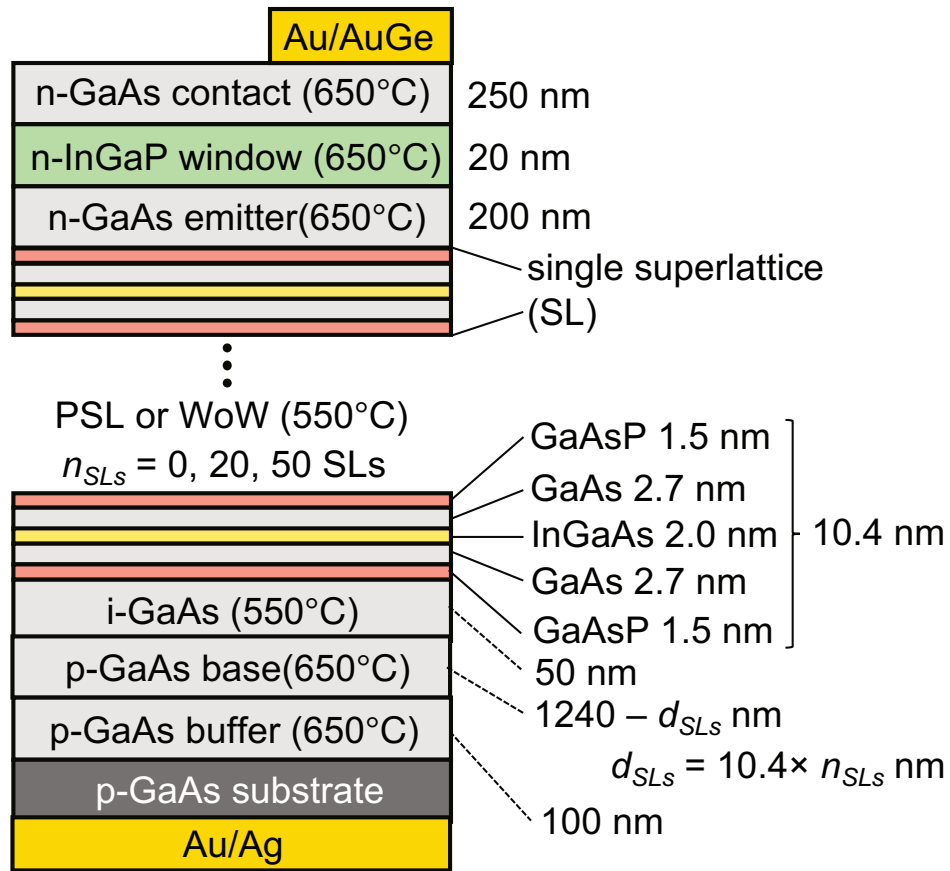
1-1 Background: Voltage of WoW SC is low



Structure of samples

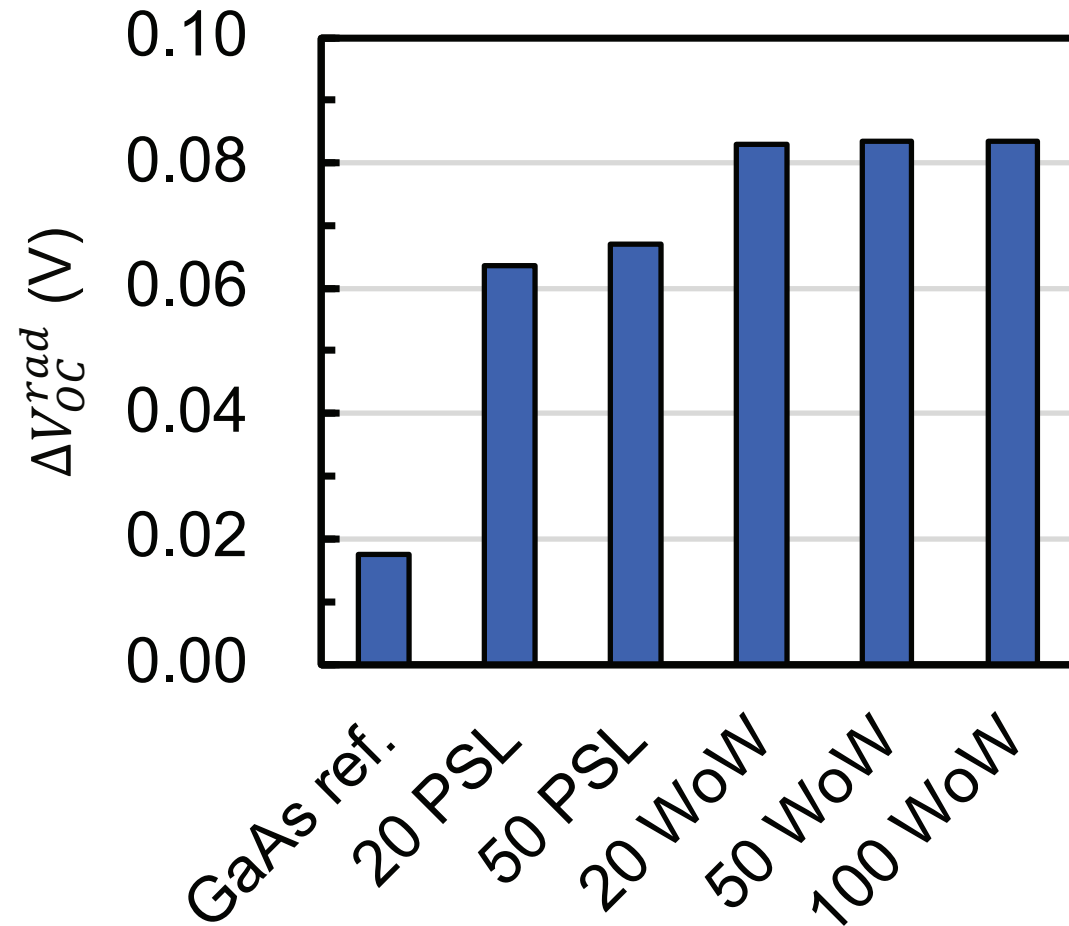
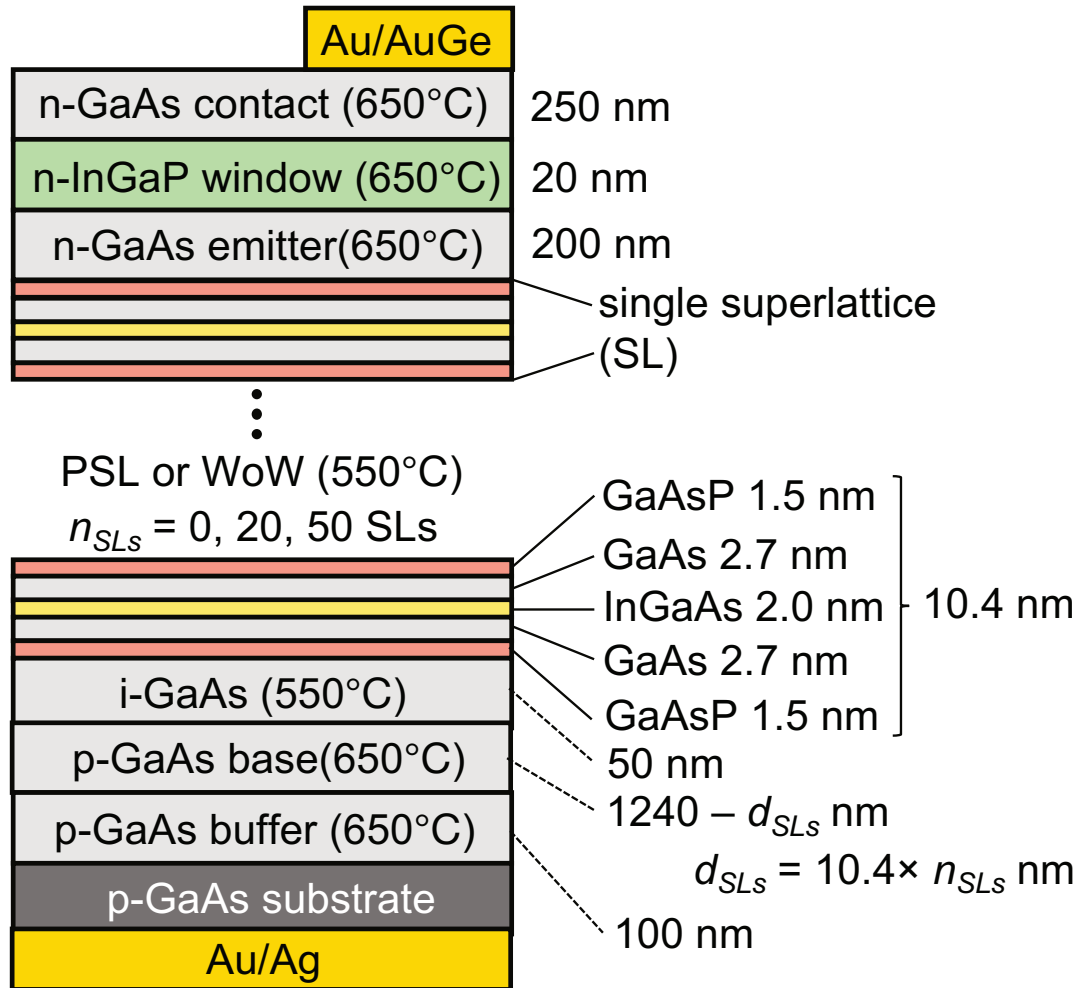


Labels	J_{SC} (mA/cm ²)	V_{OC} (V)	FF
GaAs ref.	23.47	0.966	0.814
20 PSL	22.20	0.927	0.813
50 PSL	22.50	0.916	0.803
20 WoW	23.65	0.910	0.779
50 WoW	24.87	0.886	0.736



Quantum structure solar cells have large voltage loss

2-2 Voltage loss in quantum structure solar cells



We need to design new quantum structure to reduce radiative voltage loss

Outline

0. Abstract

1. Background, preceding studies

1-1. Why do we need an accurate voltage-loss analysis technique?

1-2. Voltage loss analysis based on detailed balance theory

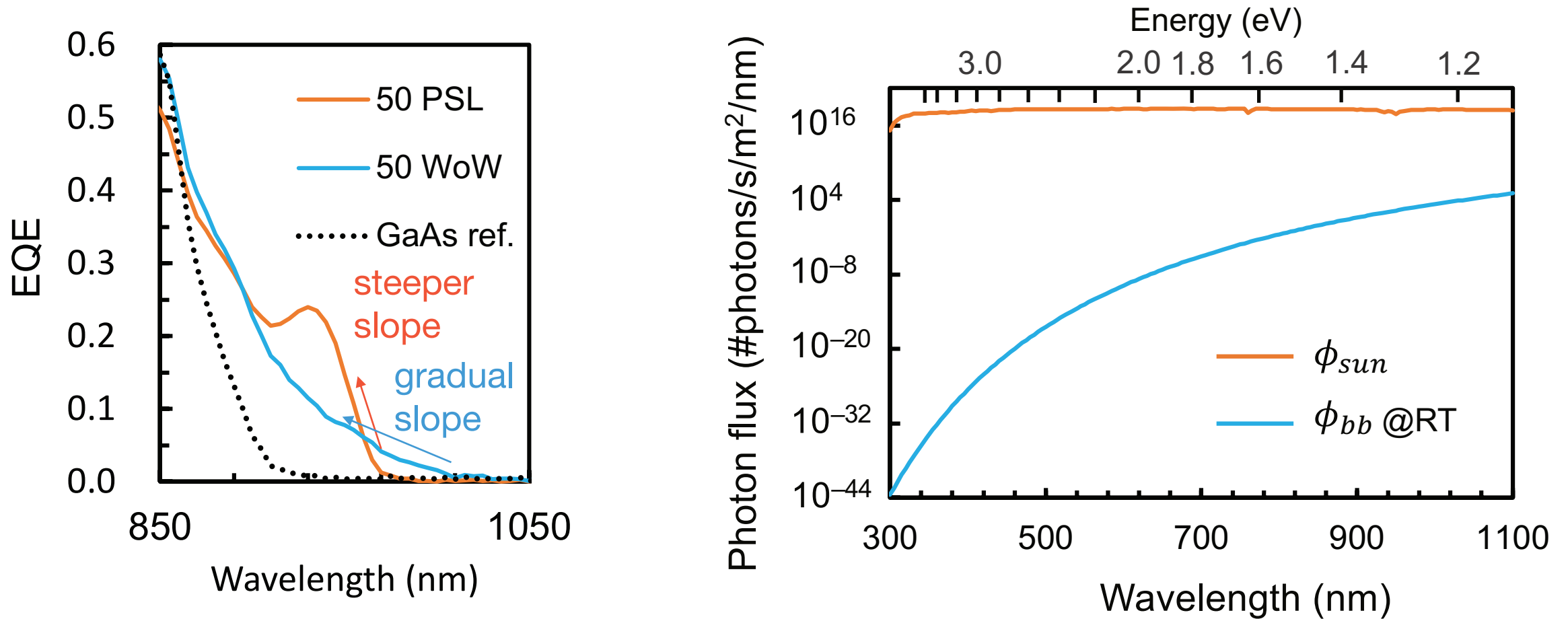
2. Our work

2-1. Definition of “bandgap” of quantum structure solar cells

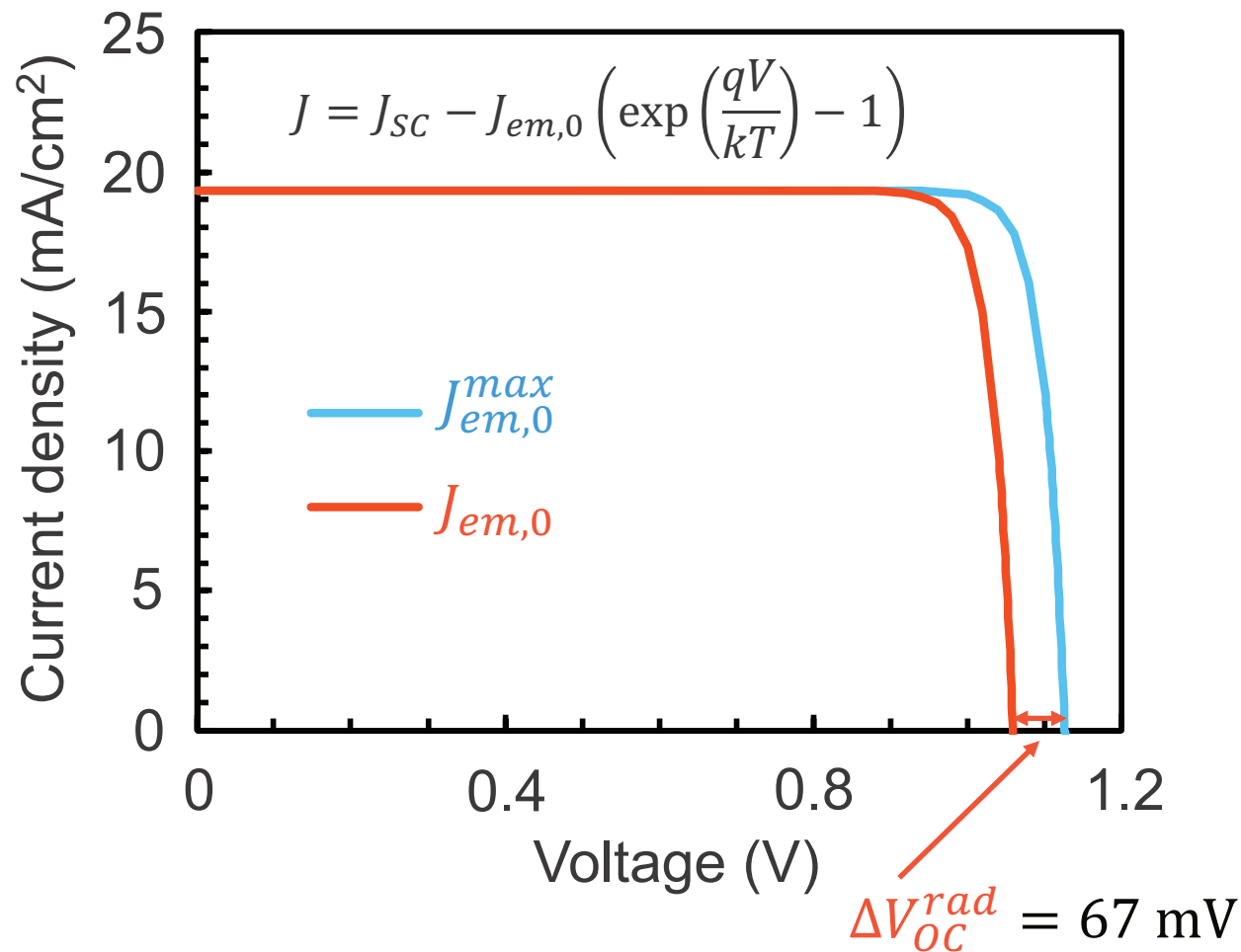
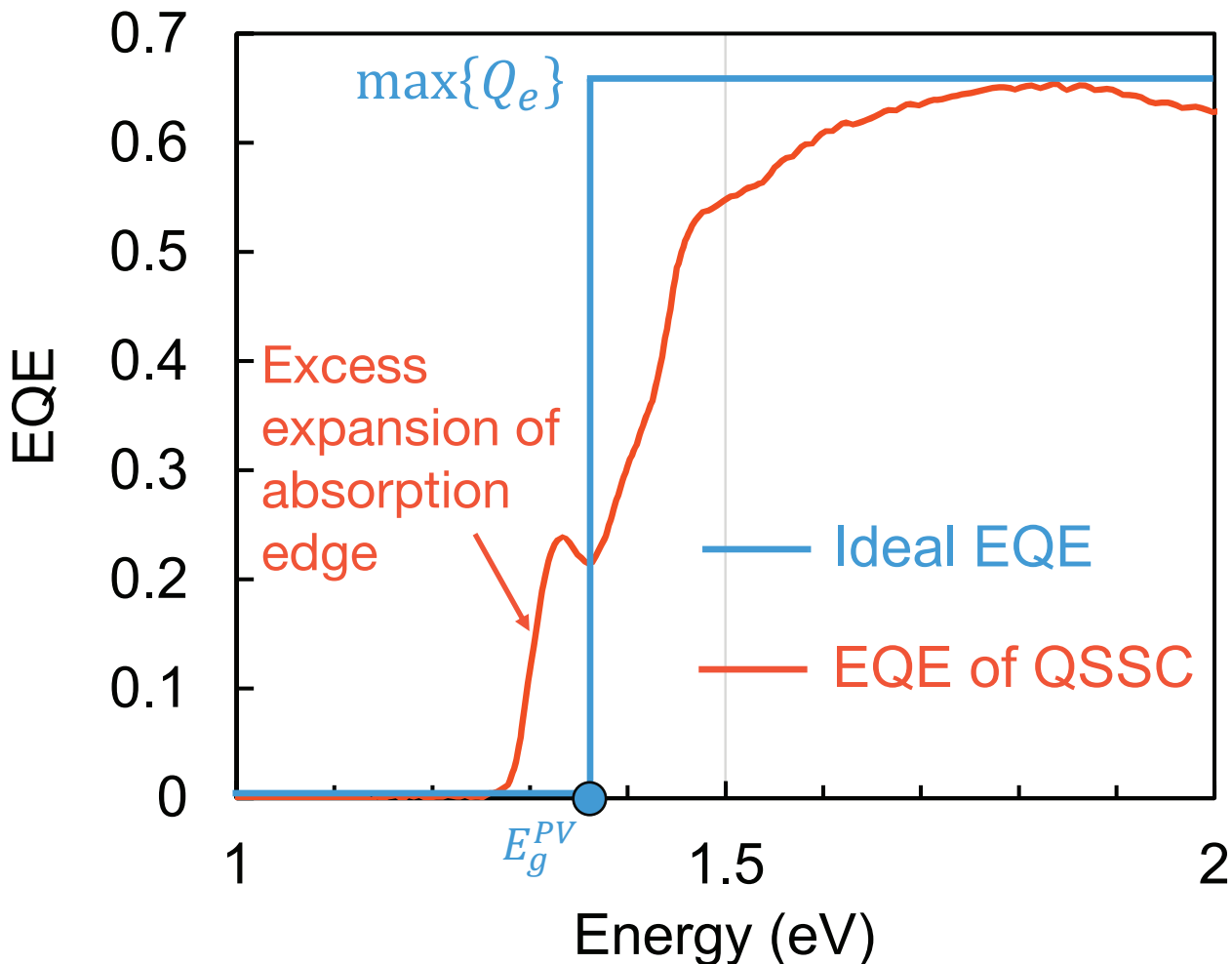
2-2. Voltage loss analysis on quantum structure solar cells

2-3. How to reduce the voltage loss in quantum structure solar cells

3. Conclusion



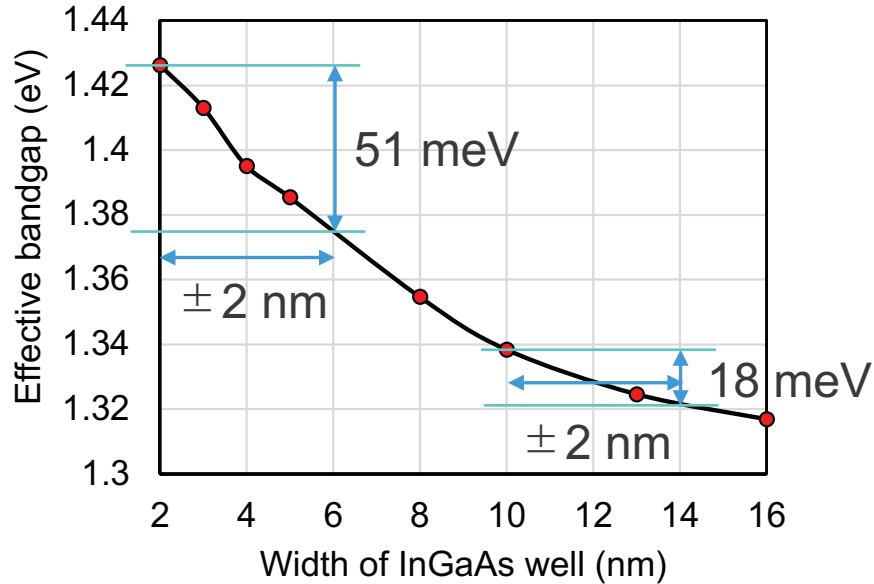
Steep absorption edge is indispensable for low radiative recombination voltage loss



$$\Delta V_{OC}^{rad} \equiv -\frac{kT}{q} \ln \frac{J_{em,0}^{max}}{J_{em,0}} = -\frac{kT}{q} \ln \frac{\int_{E_g}^{\infty} \max\{Q_e\} \times \phi_{bb} dE}{\int_0^{\infty} Q_e \times \phi_{bb} dE}$$

$$\times 8.6 \left\{ \begin{aligned} J_{em,0}^{max} &= 5.46 \times 10^{-18} \text{ mA/cm}^{-2} \\ J_{em,0} &= 4.67 \times 10^{-17} \text{ mA/cm}^{-2} \end{aligned} \right.$$

ϕ_{bb} : Photon flux of black body radiation

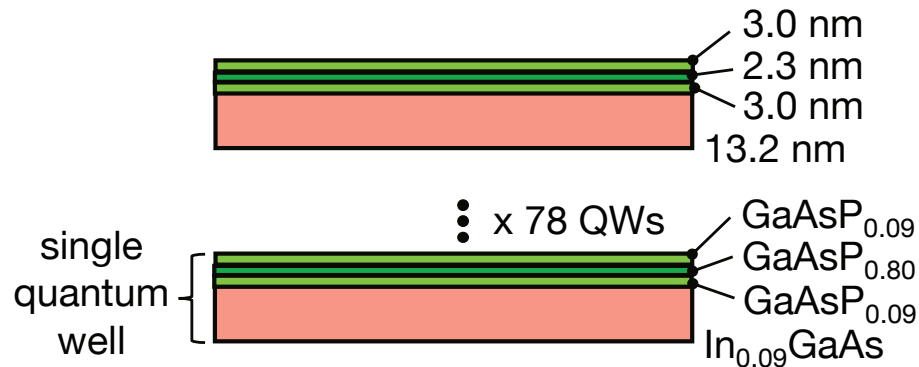


Quantum structure used in the simulation

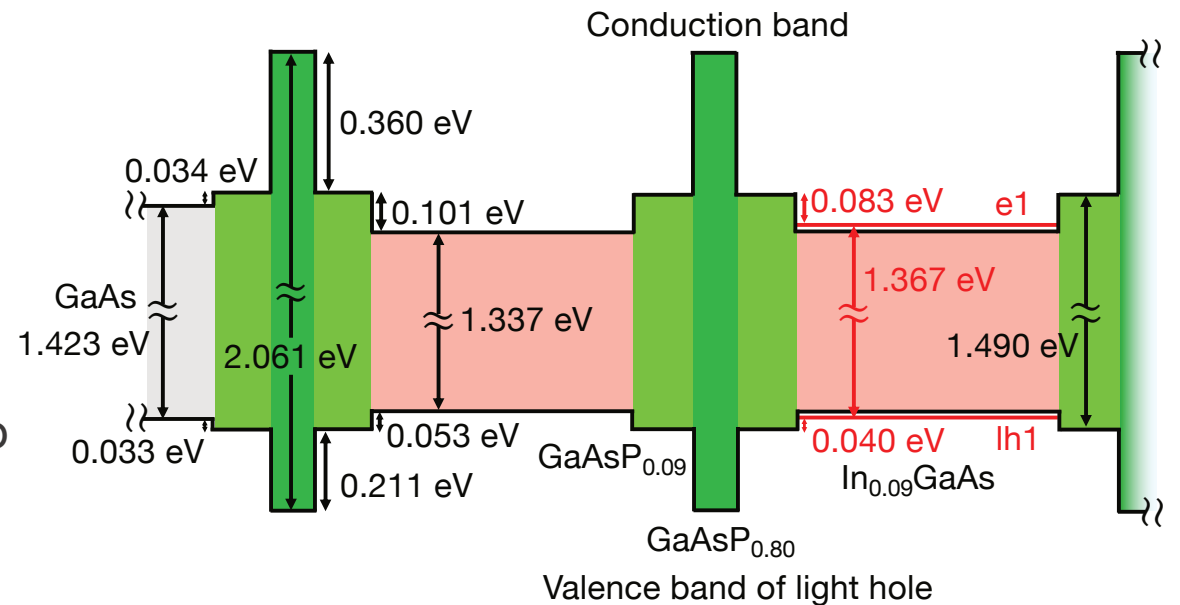
$\text{In}_{0.085}\text{GaAs}$ x nm
 $\text{GaAsP}_{0.8}$ 3 nm

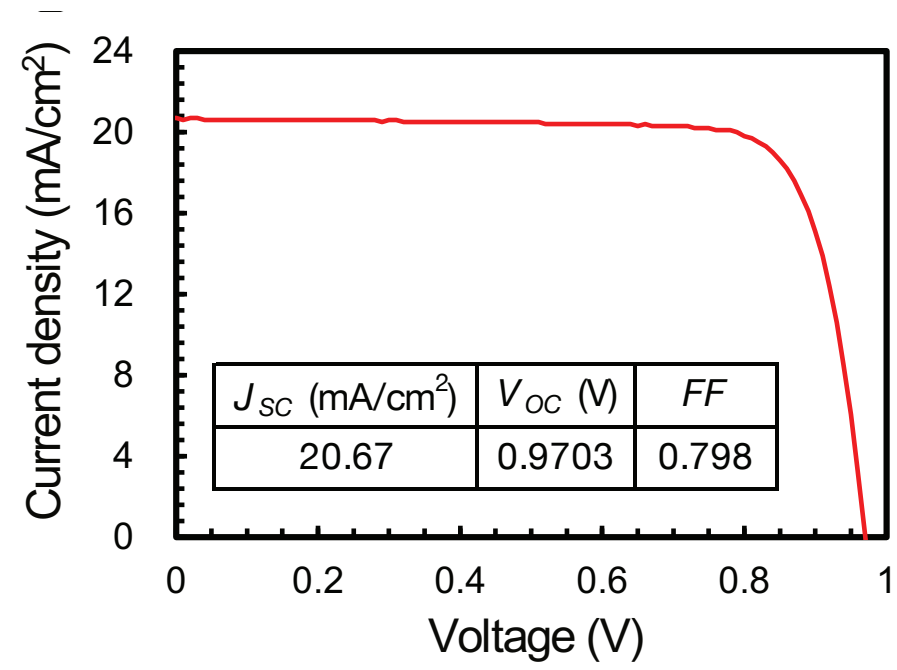
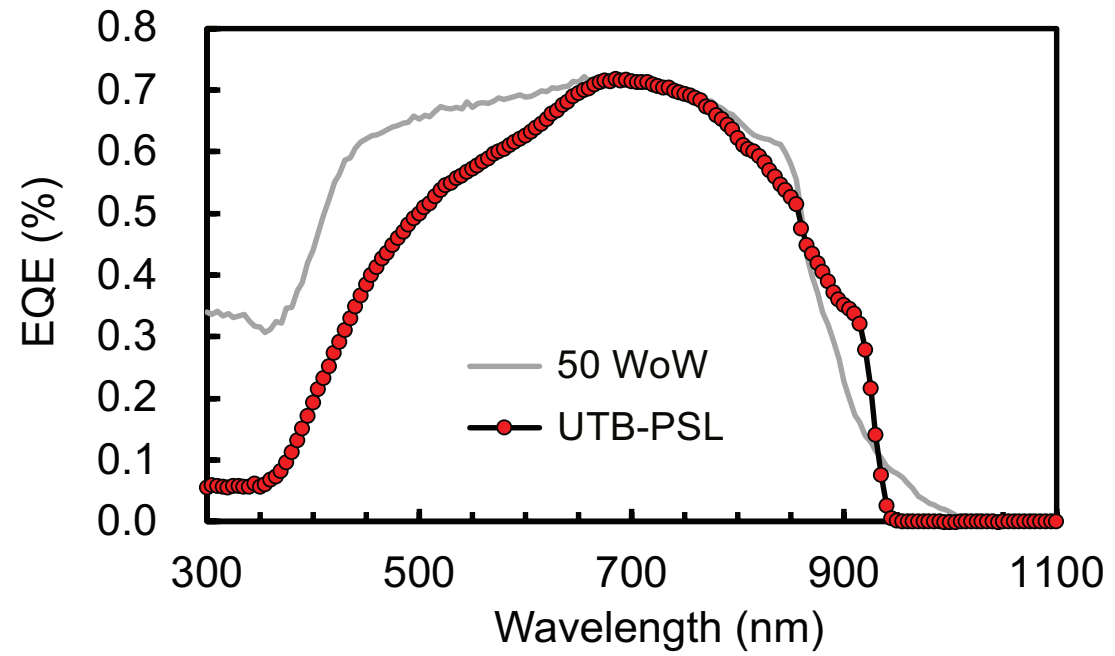
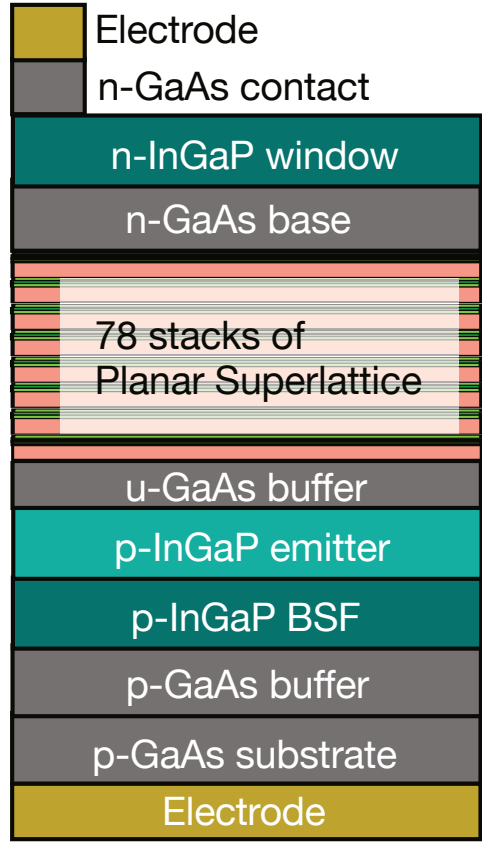
Advantage of thick InGaAs well

- Robust to the undulation
- Narrower effective bandgap

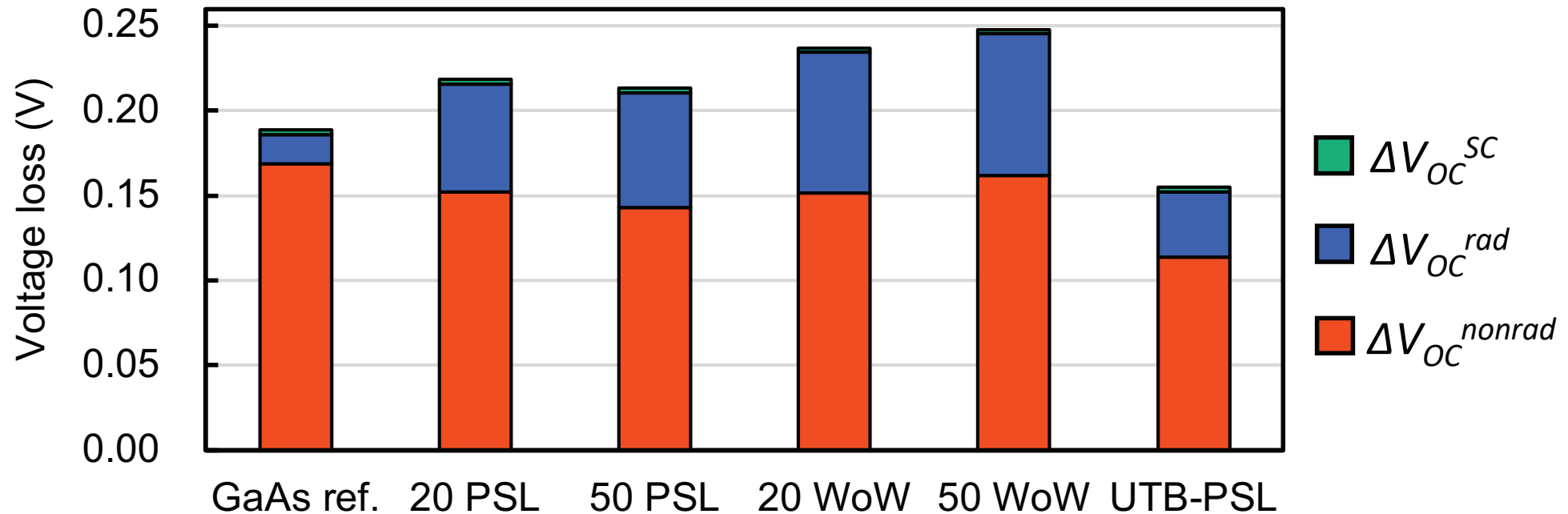


- Low P composition GaAsP interlayer was inserted to suppress the formation of interfacial crystal defects
- High P composition ultra thin GaAsP barrier

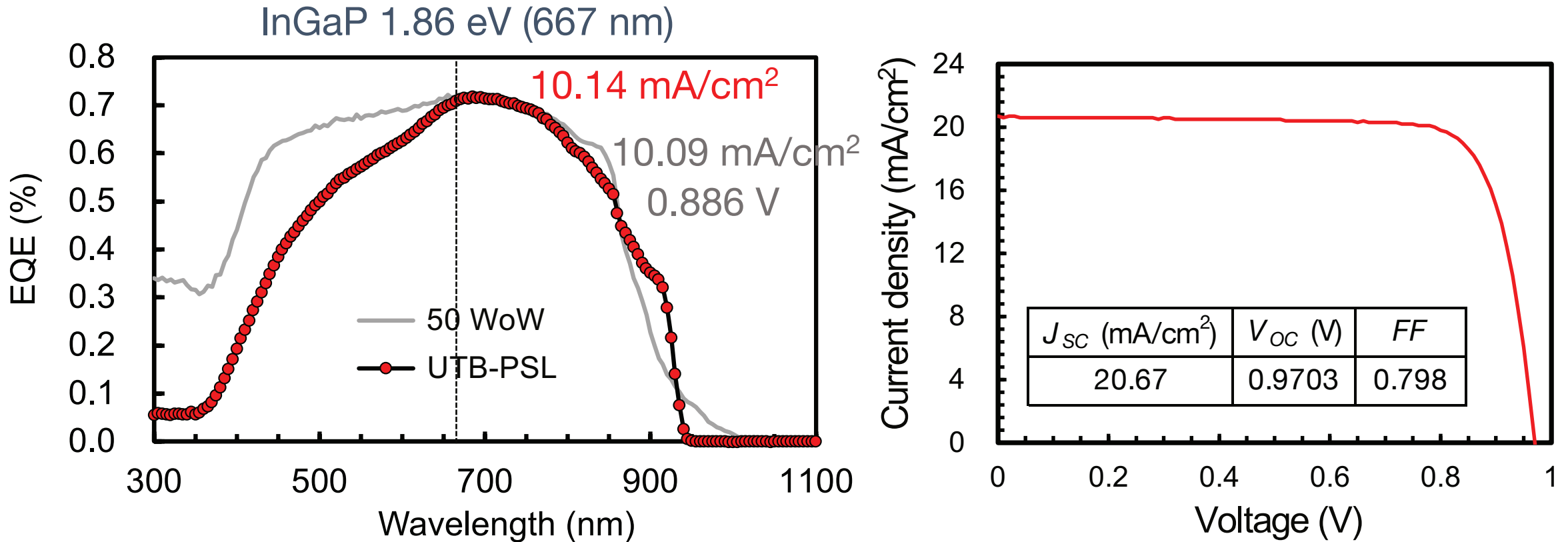




Steep absorption edge and high open circuit voltage are realized



- Steep absorption edge successfully suppressed radiative voltage loss
- Low indium composition thick quantum well layer enhanced crystal quality and reduced nonradiative voltage loss



Energy conversion efficiency can be increased to 30.9% (conventional^[*] ; 29.5%)

- **Accurate and easily available voltage metrics for the evaluation of quantum structure solar cells** were proposed
 - We revealed the importance of radiative recombination voltage loss in quantum structure solar cells
 - This voltage loss analysis can be applied to other type of solar cells such as CIGS and perovskite solar cells

- **Based on the voltage loss analysis, we proposed new quantum structure**
 - Steep absorption edge is important for suppressing radiative recombination voltage loss
 - New quantum structure solar cell successfully achieved low voltage loss
 - New quantum structure solar cell can enhance the energy conversion efficiency of conventional Ge-based triple junction solar cells from 29.5% to 30.9%

Journals

1. Meita Asami, Kasidit Toprasertpong, Kentaroh Watanabe, Yoshiaki Nakano, Yoshitaka Okada, Masakazu Sugiyama, “Comparison of Effective Carrier Mobility between Wire on Well and Planar Superlattice using Time of Flight Measurement,” *IEEE Journal of Photovoltaics*, vol. 10, issue 4, pp. 1008-1014, 2020.
2. Meita Asami, Kentaroh Watanabe, Yoshiaki Nakano, Masakazu Sugiyama, “Smooth Surface Morphology and Long Carrier Lifetime of InGaP Realized by Low-temperature-grown Cover Layer,” *Physica Status Solidi B*, vol. 259, issue 2, 2100305, 2022.
3. Meita Asami, Kentaroh Watanabe, Yoshiaki Nakano, Masakazu Sugiyama, “Comparison of Various Voltage Metrics for the Evaluation of the Nonradiative Voltage Loss in Quantum-Structure Solar Cells,” *IEEE Journal of Photovoltaics*, vol. 13, issue 1, pp. 95–104, 2023.