

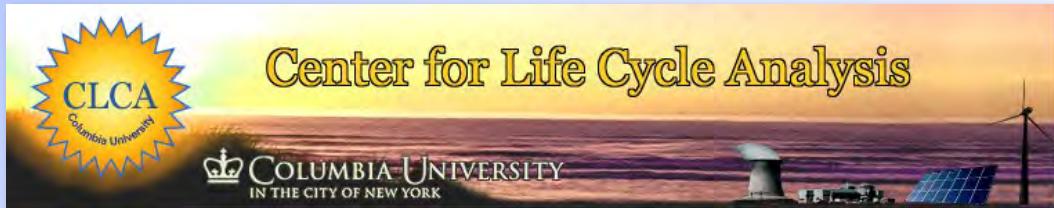
# Photovoltaics Sustainability: Insights and Perspectives

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**Vasilis Fthenakis**

Center for Life Cycle Analysis  
Columbia University

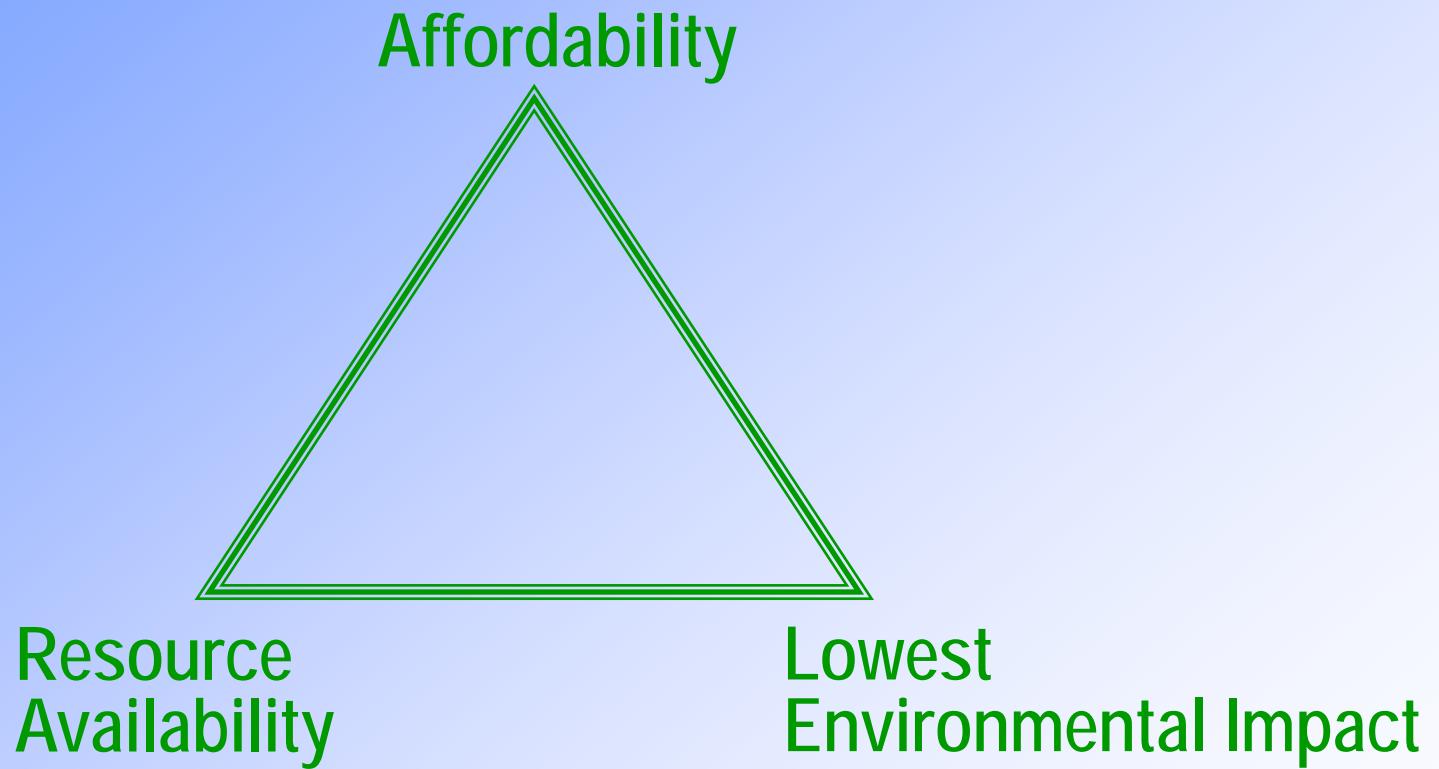
**Seminar at the UNSW  
December 11, 2023**



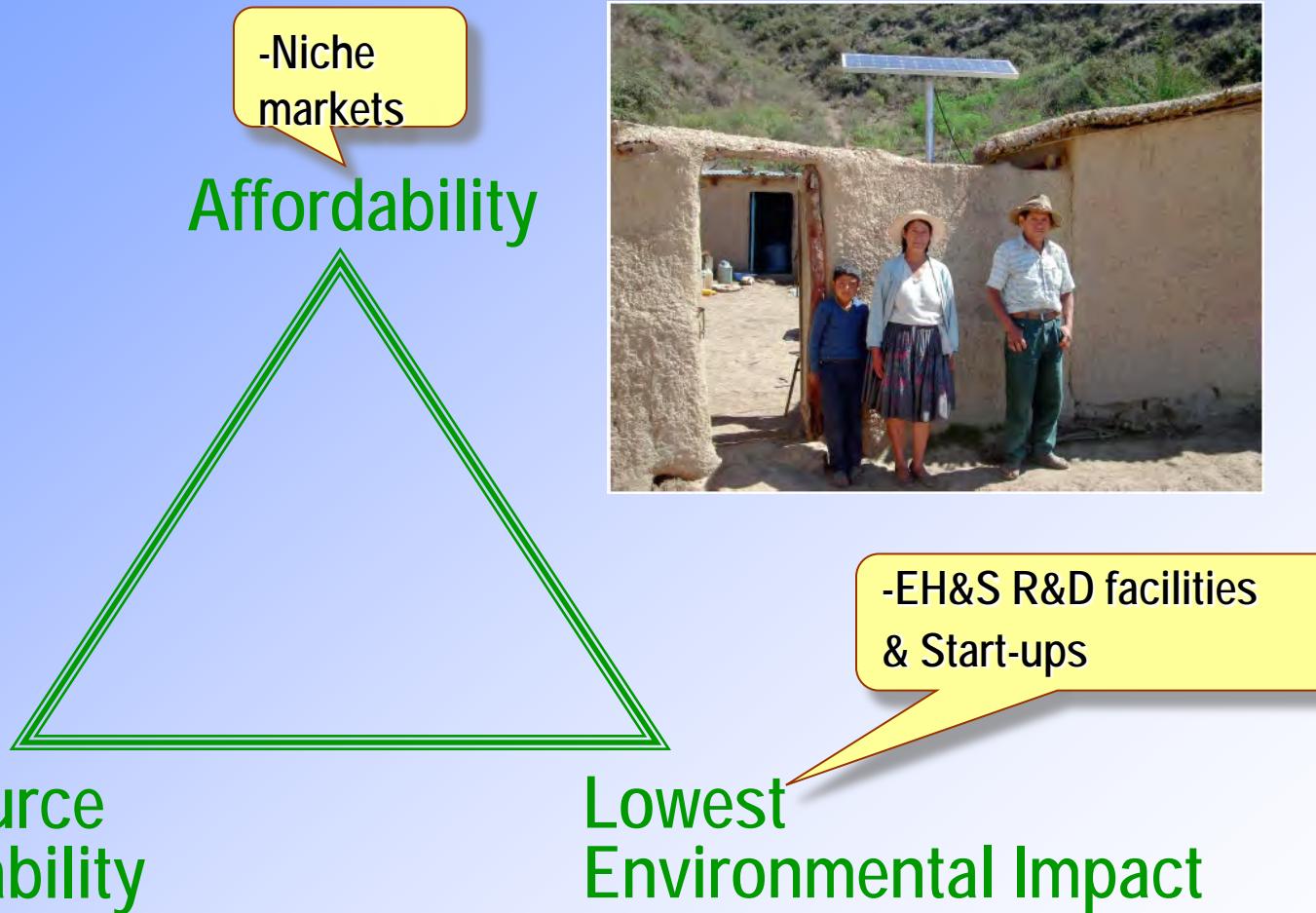
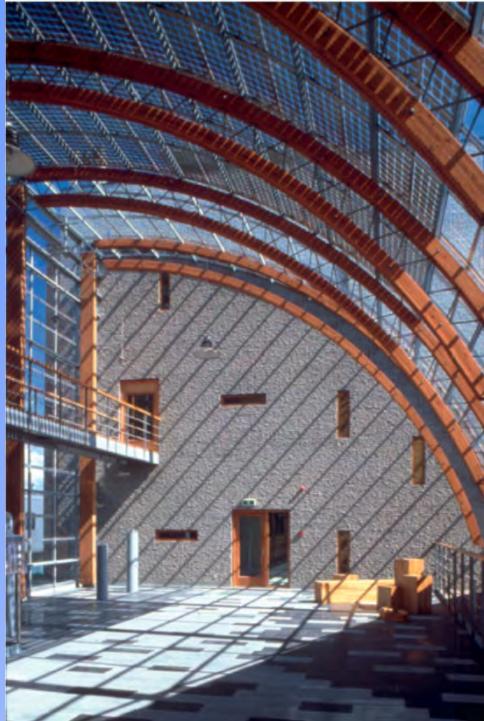
[www.clca.columbia.edu](http://www.clca.columbia.edu)  
[vmf5@columbia.edu](mailto:vmf5@columbia.edu)

# Photovoltaics –Sustainability Criteria

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# Photovoltaics –Sustainability Criteria: Infancy, Junior, & Adult Examination Levels



Fthenakis, The sustainability of thin-film PV, *Renewable & Sustainable Energy Reviews*, 2009

Fthenakis, Mason & Zweibel, The technical, geographical and economic feasibility for solar energy in the US, *Energy Policy*, 2009

Fthenakis, Sustainability metrics for extending thin-film PV to terawatt levels. *MRS Bulletin*, 2012

# Photovoltaics –Sustainability Criteria: Infancy, Junior (1998-2005), & Adult Examination Levels



- Energy Use

Affordability

- Niche markets
- Peak shaving markets

Resource Availability

Lowest Environmental Impact

- EH&S R&D facilities
- EH&S Standards for Industry

Fthenakis, The sustainability of thin-film PV, *Renewable & Sustainable Energy Reviews*, 2009

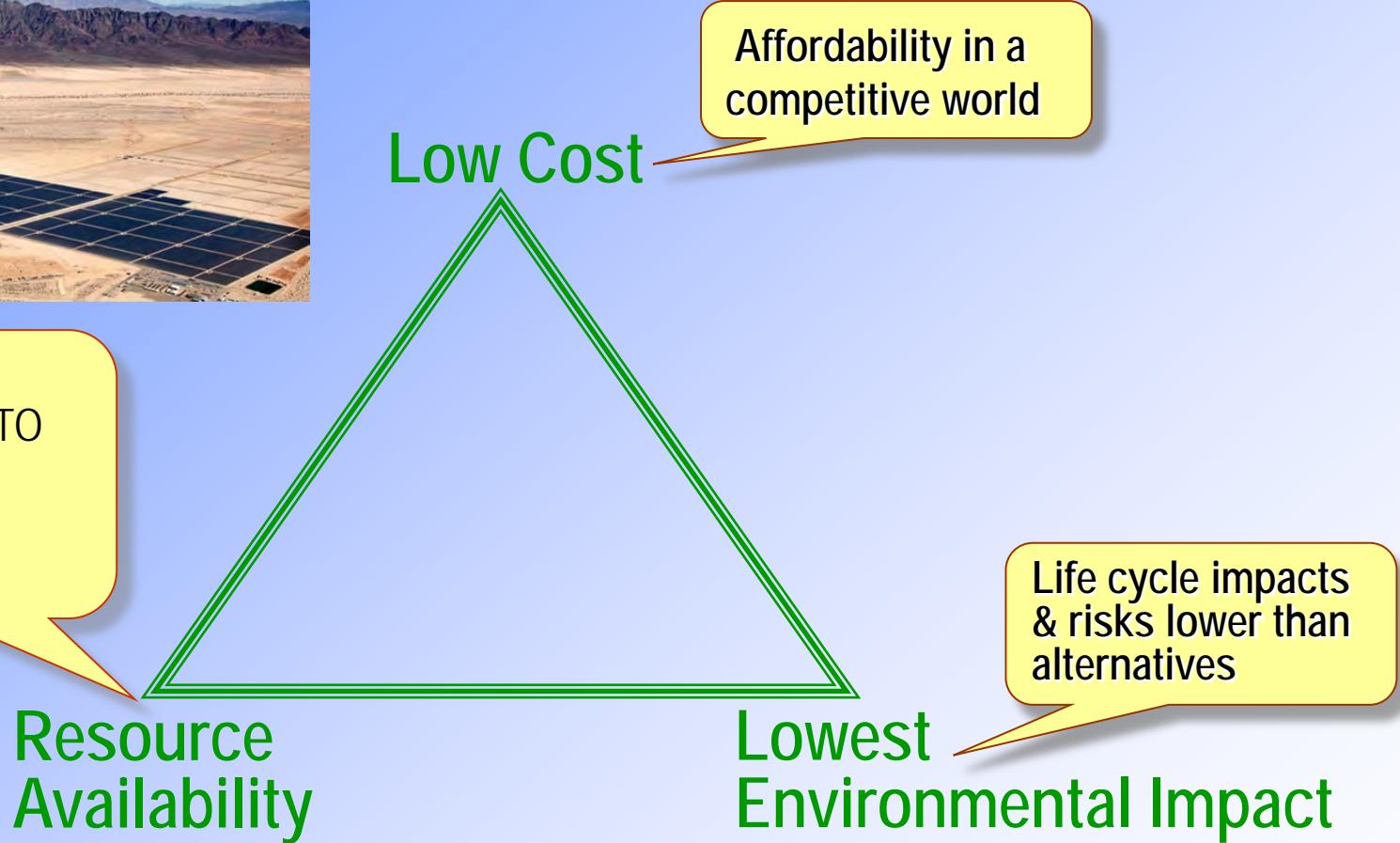
Fthenakis, Mason & Zweibel, The technical, geographical and economic feasibility for solar energy in the US, *Energy Policy*, 2009

Fthenakis, Sustainability metrics for extending thin-film PV to terawatt levels. *MRS Bulletin*, 2012

Fthenakis & Lynn, Photovoltaic-Systems Integration and Sustainability, Wiley, 2018

Images from: Fthenakis & Lynn, *Photovoltaic-Systems Integration and Sustainability*, Wiley, 2018

# Sustainability Criteria for Large Scale PV



Zweibel, Mason & Fthenakis, A Solar Grand Plan, [Scientific American](#), 2008

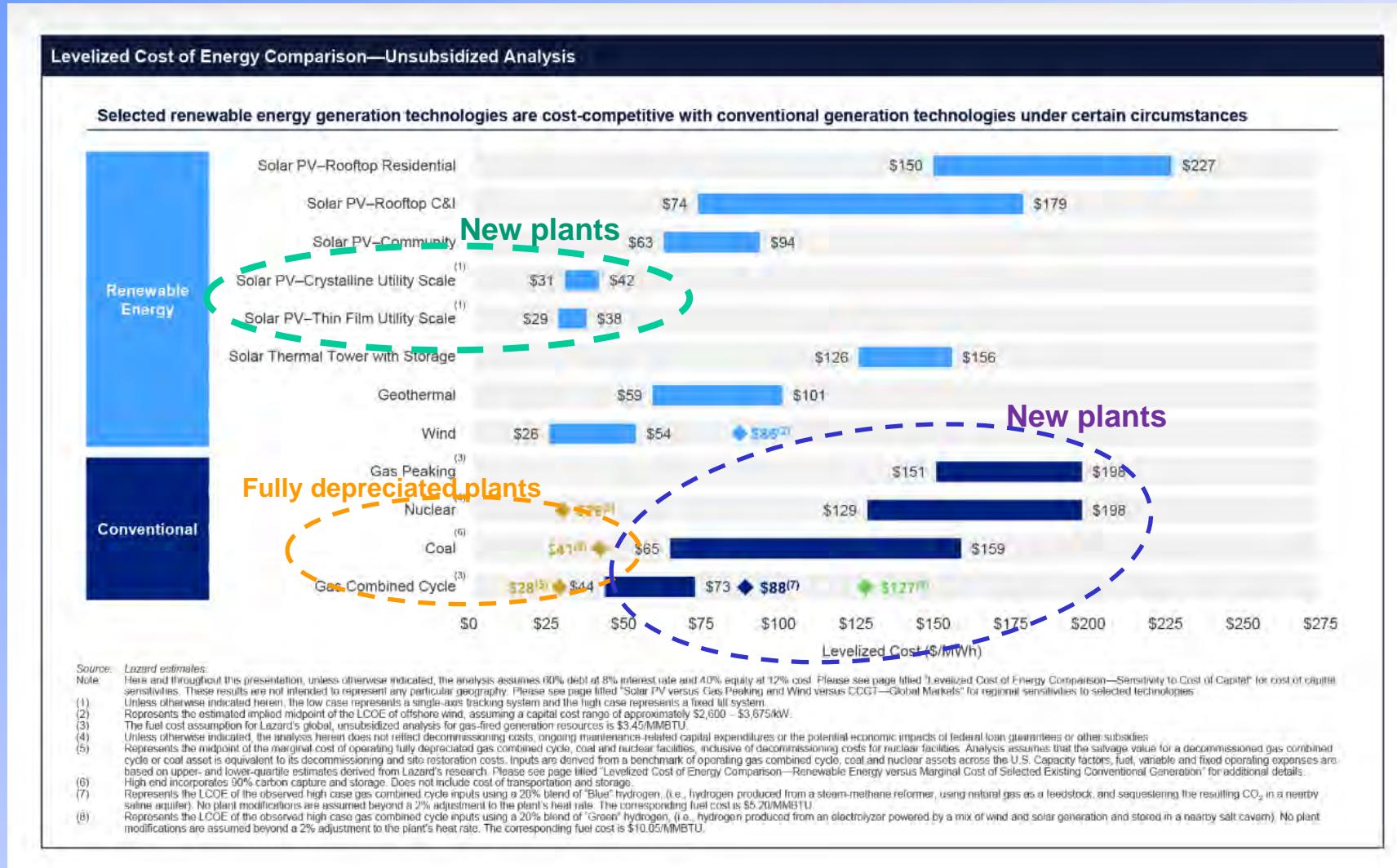
Fthenakis, Mason & Zweibel, The technical, geographical and economic feasibility for solar energy in the US, [Energy Policy](#), 2009

Fthenakis, The sustainability of thin-film PV, [Renewable & Sustainable Energy Reviews](#), 2009

Fthenakis, Sustainability metrics for extending thin-film PV to terawatt levels. [MRS Bulletin](#), 2012

# Utility-Scale Solar & Wind: Most Cost-Competitive Forms of New Energy

## Levelized Cost of Electricity (LCOE) (\$/MWh)



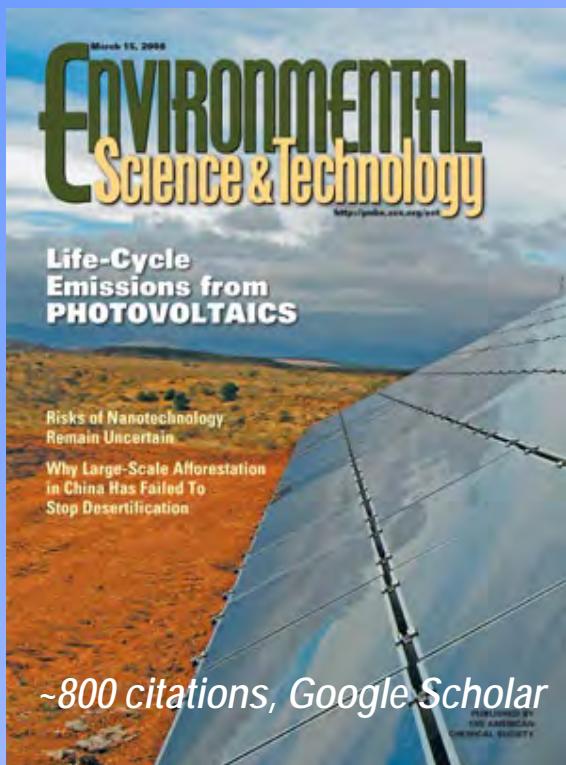
# **Addressing Issues and Perceptions on PV Environmental Impact -Proactively**

---

- PV power plants can pollute the environment
- PV growth is constrained by materials availability
- PV Energy Return on Energy Investment is too low
- PV deployment uses too much land
- PV power plants create a Heat Island effect

**Journal peer-review journal and conference publications** on Life-Cycle Emissions, Recycling techno-economic feasibility, Energy-Pay-Back Times, Greenhouse Gas emissions, External Costs, Use of Land, Comparisons with Nuclear, Heat Island potential, Material Recovery from Recycling

# Lowest Environmental Impact- Effective Dissemination



~800 citations, Google Scholar



**Greener Green Energy:**  
Today's solar cells give more

**The New York Times**

**Photovoltaic Cells Are Still Very Green,  
Comparative Test Shows** February 26, 2008



**online**

*How free is Solar Energy?*

**The New York Times**

**Solar Power Lightens Up with Thin-Film Technology**



April 25, 2008

**Dark Side of Solar Cells Brightens  
A life cycle analysis proves that solar cells are cleaner**

**February 21, 2008**



**Science News**

February 6, 2008

**New photovoltaics change costs**

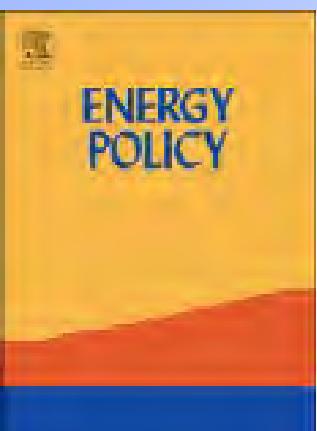
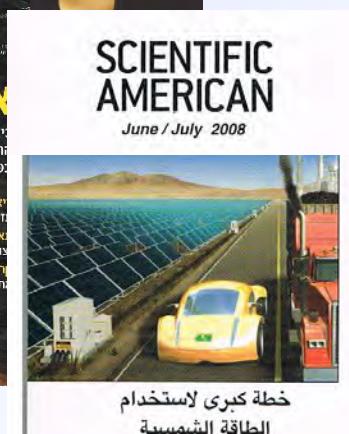
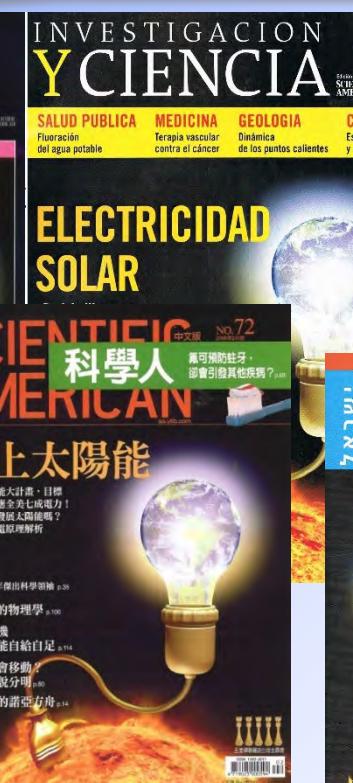
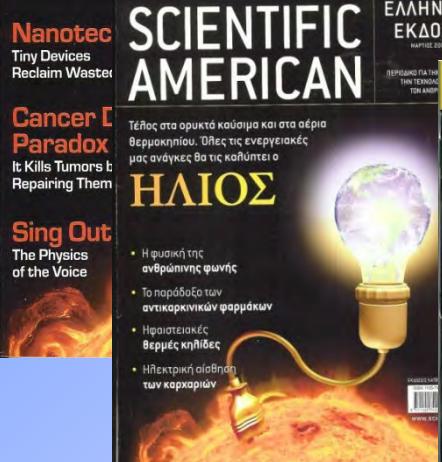
February 2008

# Technical, Geographical and Economic Feasibility for Solar to Supply the U.S. Energy Needs



By 2050 renewable energy to supply 69% of electricity, 35% of total energy needs of the U.S.

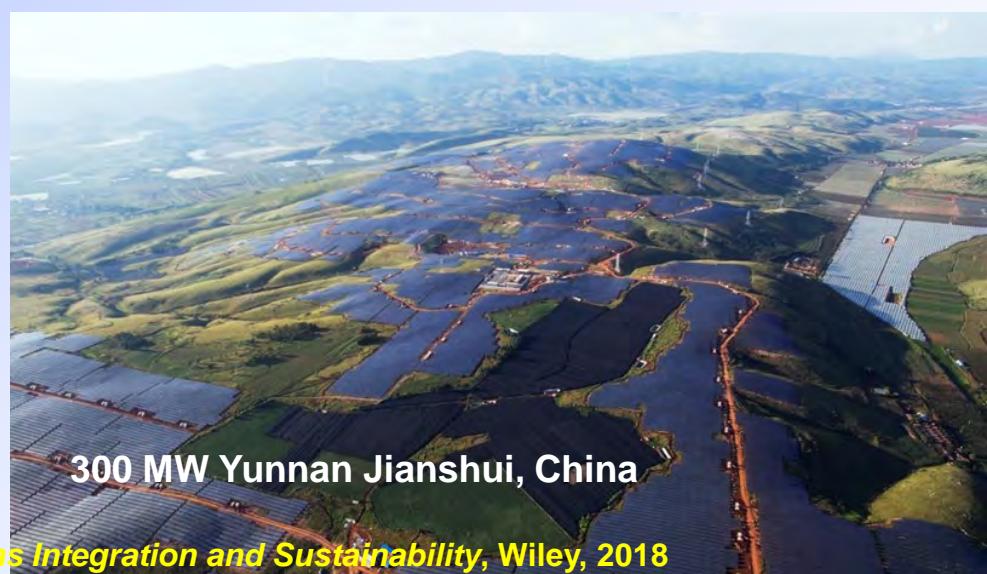
Zweibel, Mason, Fthenakis, Jan. 2008



The technical, geographical and economic feasibility for solar energy to supply the energy needs of the U.S.,

Vasilis Fthenakis, James Mason, Ken Zweibel, Energy Policy 37 , 2009

# Currently -Photovoltaics Leading the Energy Transition



Images from: Fthenakis & Lynn, *Photovoltaic-Systems Integration and Sustainability*, Wiley, 2018

# But some still evoke a debate



Review

## Through the Eye of a Needle: An Eco-Heterodox Perspective on the Renewable Energy Transition

Megan K. Seibert <sup>1,\*</sup> and William E. Rees <sup>1,2</sup>

<sup>1</sup> The REAL Green New Deal Project, Albany, OR 97321, USA; wrees@mail.ubc.ca

<sup>2</sup> Faculty of Applied Science, School of Community and Regional Planning, University of British Columbia, Vancouver, BC V6T 1Z2, Canada

\* Correspondence: megan.seibert@realgnd.org

**Abstract:** We add to the emerging body of literature highlighting cracks in the foundation of the mainstream energy transition narrative. We offer a tripartite analysis that re-characterizes the climate crisis within its broader context of ecological overshoot, highlights numerous collectively fatal problems with so-called renewable energy technologies, and suggests alternative solutions that entail a contraction of the human enterprise. This analysis makes clear that the pat notion of “affordable clean energy” views the world through a narrow keyhole that is blind to innumerable economic, ecological, and social costs. These undesirable “externalities” can no longer be ignored.

### 3. Problems with So-Called Renewables

Here, we holistically examine renewable energy (RE), focusing on the widely overlooked limitations of the RE technologies commonly set forth as solutions (but that do not constitute all possible RE options). This examination shows that RE cannot deliver the same quantity and quality of energy as FFs, that the espoused technologies are not renewable, that their production—from mining to installation—is fossil-energy-intensive, and that producing them—particularly mining their metals and discarding their waste—entails egregious social injustices and significant ecological degradation.

# Some still evoke a debate but joint effort brings results

[Open Access](#) [Comment](#)

## Comment on Seibert, M.K.; Rees, W.E. Through the Eye of a Needle: An Eco-Heterodox Perspective on the Renewable Energy Transition. *Energies* 2021, 14, 4508

by  Vasilis Fthenakis <sup>1,2,\*</sup> ,  Marco Raugei <sup>1,3,4</sup> ,  Christian Breyer <sup>5</sup> ,  Suby Bhattacharya <sup>6</sup> ,  Michael Carabajales-Dale <sup>7</sup> ,  Michael Ginsberg <sup>1</sup> ,  Arnulf Jäger-Waldau <sup>8</sup> ,  Enrica Leccisi <sup>1</sup> ,  Daniel Lincot <sup>9</sup> ,  David Murphy <sup>10</sup> ,  Marc J. R. Perez <sup>11</sup> ,  Parikhit Sinha <sup>12</sup> ,  Angus Rockett <sup>13</sup> ,  Sascha Sadewasser <sup>14</sup> ,  Billy J. Stanbery <sup>15</sup> ,  Richard M. Swanson <sup>16</sup>  and  Pierre Verlinden <sup>17,18,19</sup> 

<sup>1</sup> Center for Life Cycle Analysis, School of Engineering and Applied Science, Columbia University, New York, NY 10027, USA

<sup>2</sup> Brookhaven National Laboratory, Interdisciplinary Sciences Department, Building 815, Upton, NY 11973, USA

<sup>3</sup> School of Engineering, Computing and Mathematics, Faculty of Technology, Design and Environment, Oxford Brookes University, Wheatley Campus, Oxford OX3 0BP, UK

<sup>4</sup> Ricardo plc, 30 Eastbourne Terrace, London W2 6LA, UK

<sup>5</sup> School of Energy Systems, LUT University, 53850 Lappeenranta, Finland

<sup>6</sup> Civil and Environmental Engineering Department, University of Surrey, Guildford GU2 7XH, UK

<sup>7</sup> Environmental Engineering & Earth Sciences, Clemson University, Clemson, SC 29634, USA

<sup>8</sup> European Commission, Joint Research Centre, 21014 Ispra, Italy

<sup>9</sup> Institut Photovoltaïque d'Île de France (IPVF), CNRS UMR 9006, 18 Boulevard Thomas Gobert, 91120 Palaiseau, France

<sup>10</sup> Environmental Studies Department, St. Lawrence University, Canton, NY 13617, USA

<sup>11</sup> Clean Power Research, 1541 3rd Street, Napa, CA 94559, USA

<sup>12</sup> First Solar, 350 W Washington St., Tempe, AZ 85281, USA

<sup>13</sup> Angus Rockett, Department of Metallurgical and Materials Engineering, 305b Hill Hall, Colorado School of Mines, 1500 Illinois St., Golden, CO 80401, USA

<sup>14</sup> INL-International Iberian Nanotechnology Laboratory, Av. Mestre José Veiga s/n, 4715-330 Braga, Portugal

<sup>15</sup> HelioSourceTech, 8987 E. Tanque Verde, Suite 309, PMB216, Tucson, AZ 85749, USA

<sup>16</sup> Sunpower Founder, Retired, 24700 Voorhees Drive, Los Altos Hills, CA 94022, USA

<sup>17</sup> AMROCK Group, Sydney, NSW 2052, Australia

<sup>18</sup> School of Photovoltaic & Renewable Energy Engineering, University of New South Wales, Sydney, NSW 2052, Australia

<sup>19</sup> State Key Laboratory of PVST, Trina Solar, Xinbei District, Changzhou 213031, China

— Hide full affiliation list

## Editorial Note from the EiC

by  Enrico Sciubba 

Department of Mechanical and Industrial Engineering, Niccolò Cusano University, 00166 Roma, Italy

*Energies* 2022, 15(3), 889; <https://doi.org/10.3390/en15030889>

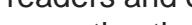
Received: 18 January 2022 / Accepted: 25 January 2022 / Published: 26 January 2022

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[Citation Export](#)

The material published in this “Discussion”—and the very reason for which we decided to publish it—requires a clarification on the part of the journal Management; therefore, I advise readers to peruse this foreword before embarking in the task of studying the often polemical statements and counter-statements contained in the Seibert and Rees paper, in the Diesendorf and Fthenakis et al. critique, and in the replies by Seibert and Rees.

Let me first reiterate that at *Energies*, in the over 12 years of my tenure as EiC, we have consistently made every effort to adopt a completely “unbiased publishing policy”. This means that any scientific opinion—controversial as it may be—on any topic falling within our journal’s scope is peer-reviewed with the utmost attention to its interest for the energy-conversion-systems community, to its scientific merit, to the ~~appropriateness~~  appropriateness of the citations, conclusions, ethics, and academic style. Our recent success in this regard is a source of great pride for us.

For a series of reasons, the original Seibert and Rees manuscript (S&R) in  system in spite of the warning signals given by two of our reviewers: it would be useless to explain the technical reasons of such a mistake here, but as the ~~Editor~~  in Chief, in the end, it is my own responsibility to enforce our publication standards; therefore, I must begin this foreword by asking our readers and our constituency to forgive me for accepting the original manuscript without requiring the authors to make some obvious corrections (that, in light of their response reported below, I believe they would not have accepted).

First of all, the original S&R paper is not a “review paper” but clearly an “opinion paper” (see, for instance, Section 4.3 in the original S&R paper and the last sentence in their response to Diesendorf). We removed the attribute “review paper” from our records as soon as some of our EB members signaled this mistake.

Second, the original Seibert and Rees paper is not only clearly an opinion paper but also a strongly biased one. This emerges from a careful analysis of its original text and of the authors’ responses to Diesendorf and Fthenakis et al. I have made a personal list of the inconsistent “technical” statements in their writing but chose not to report them here because this is obviously not—nor should it become—a personal “technical bullfight”. One point is, however, noteworthy: the fundamental idea that the overshoot is the only measure of ecological impact is an opinion not substantiated by facts, and presenting it in such a fideistic fashion constitutes a profound lack of respect for the large community of scholars, researchers, and experts that hold a different opinion and propose different environmental indicators. I would also like to signal that S&R’s contention that theirs is the only promising approach to the much-needed transition to (pseudo) sustainability is just plain wrong. As for their quoting Kuhn (why not Popper and

I must begin this foreword by asking our readers and our constituency to forgive me for accepting the original manuscript

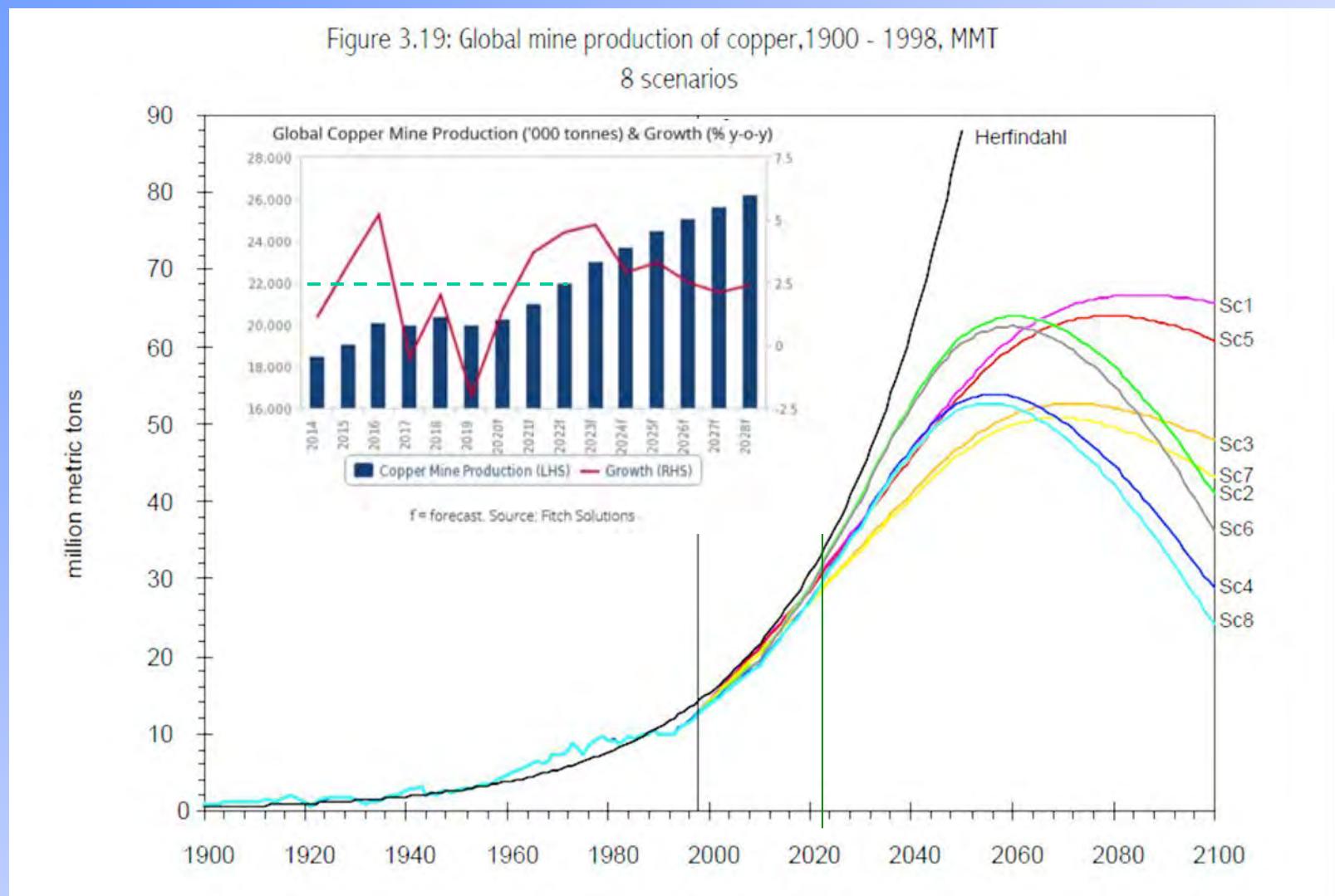
# Resource Availability: Materials Supply and Demand Elasticity

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## Issues and Solutions

- Supply Increase: Te
- Demand Reduction: In, Ag
- Demand Substitution: Ag

# Projection of Primary Cu Production (based on forecasted consumption\*)



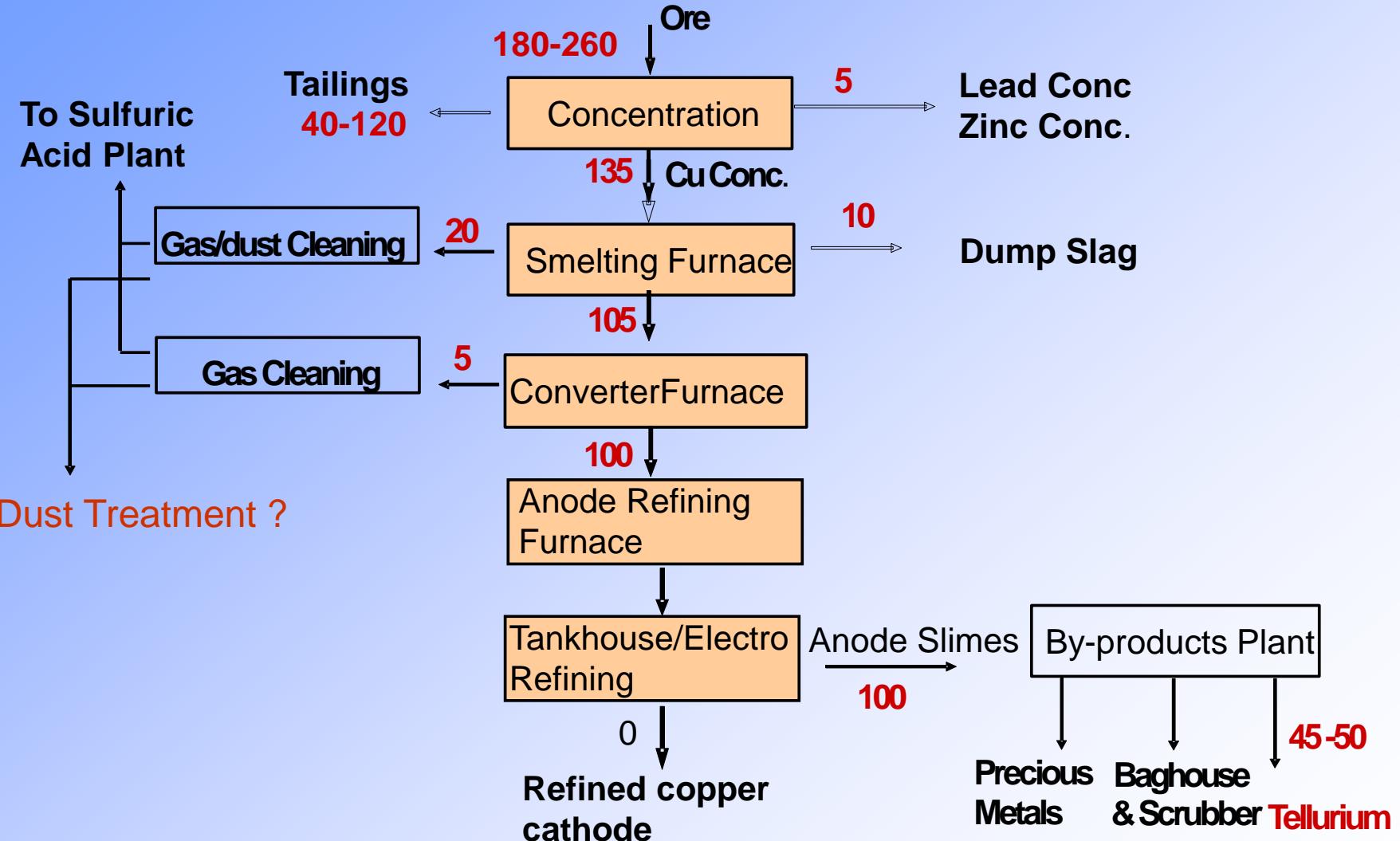
Ayres et al., 2002

\* economic and population growth assuming there are no (environmental) constraints in mining

# Tellurium from Copper Sulfide ores\*

## Approximate Global Distribution in Copper Circuits

Numbers refer to metric tons of Te from ~0.5 million MT of CuS ores



*Thus ~2000 MT if co-produced with the currently mined 22 million MT of Cu*

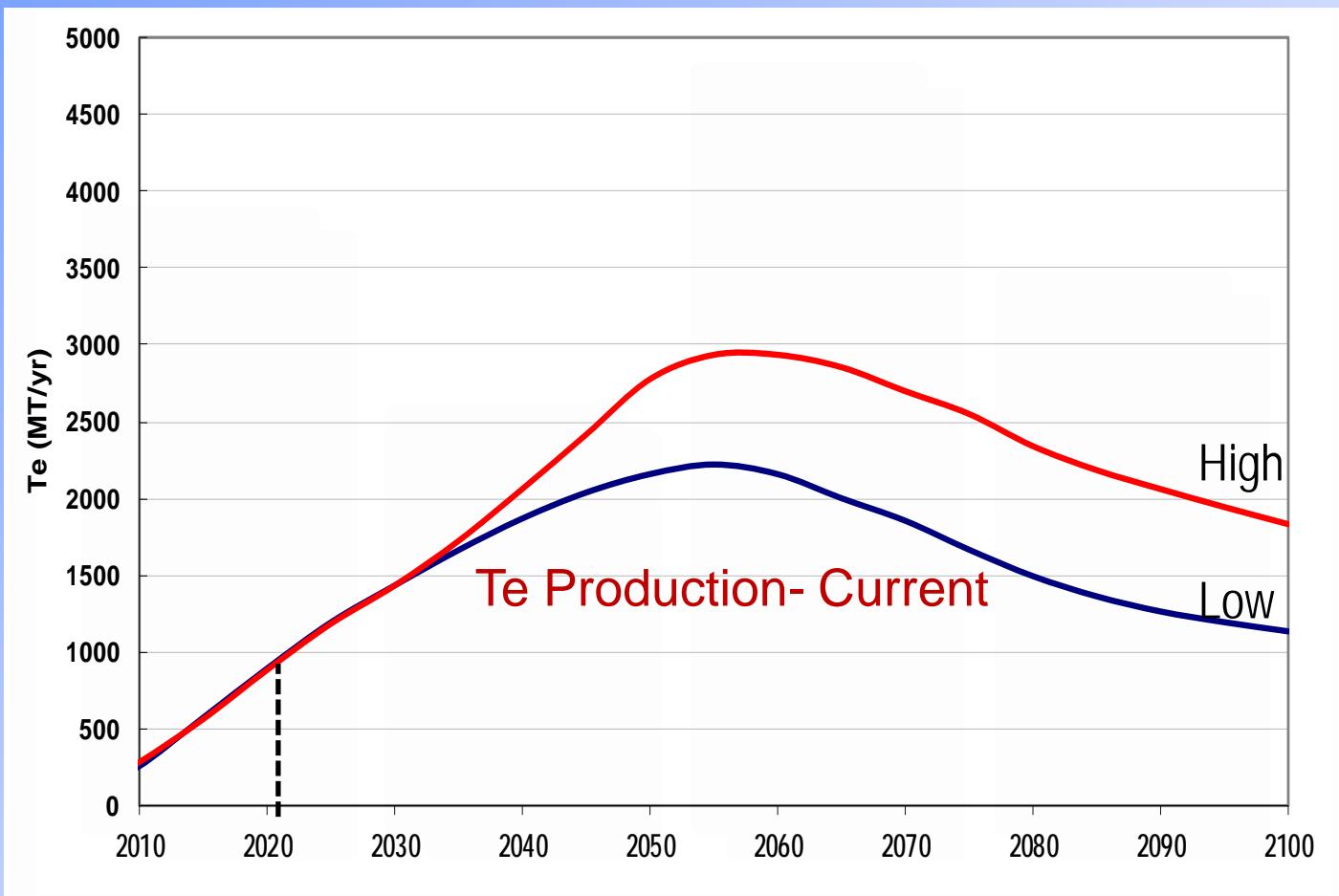
\*Ojebuoboh, *Proceedings EMC*, 2007; Fthenakis, *MRS*, 2012

Moats, Alagna, Awuah-Offei, *JCP*, 2021

# Materials Availability

## Case study: Tellurium for PV\* from Copper Smelters

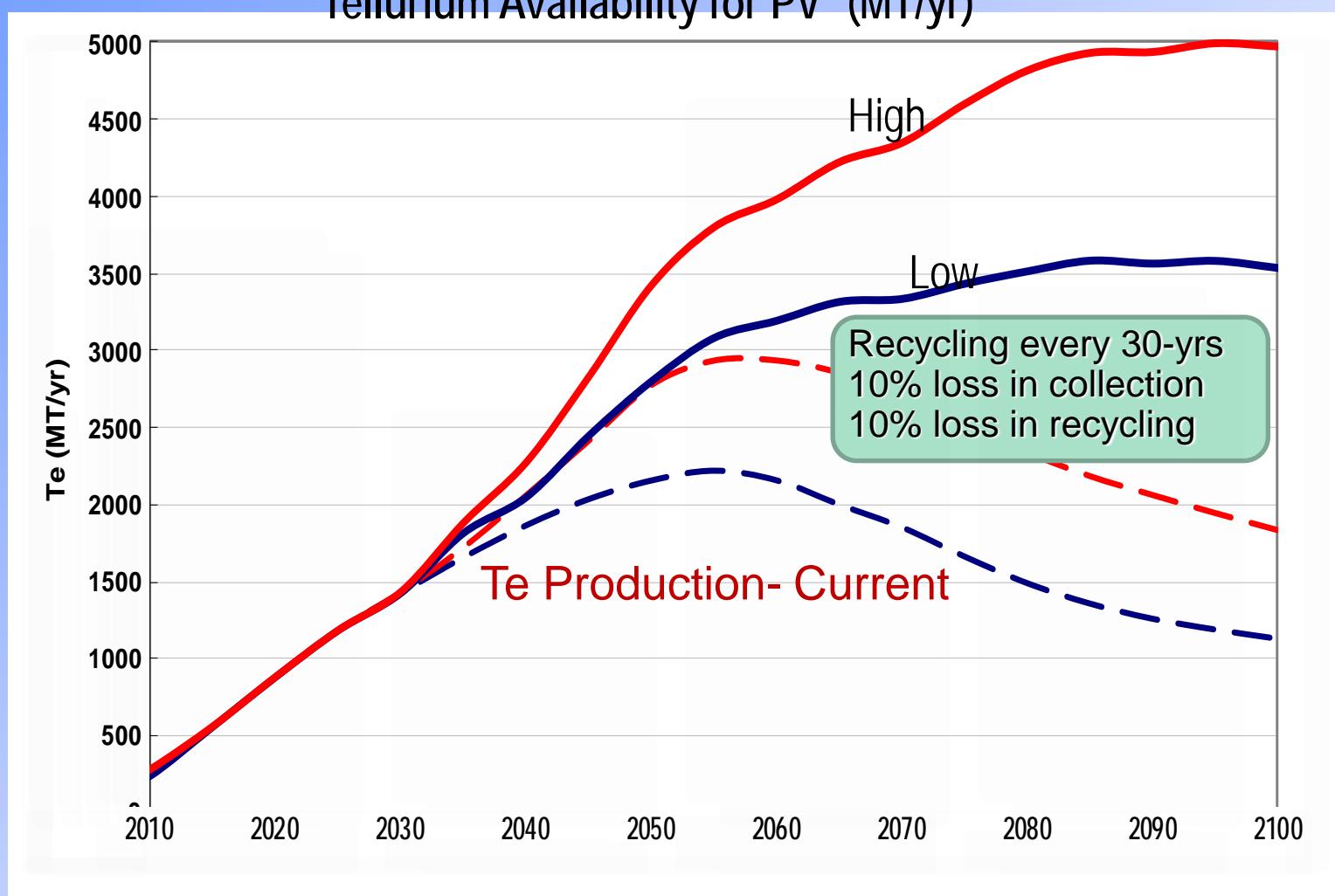
Tellurium Availability for PV\* (MT/yr)



- Global Efficiency of Extracting Te from anode slimes increases to 80% by 2030 (low scenario);  
90% by 2040 (high scenario)

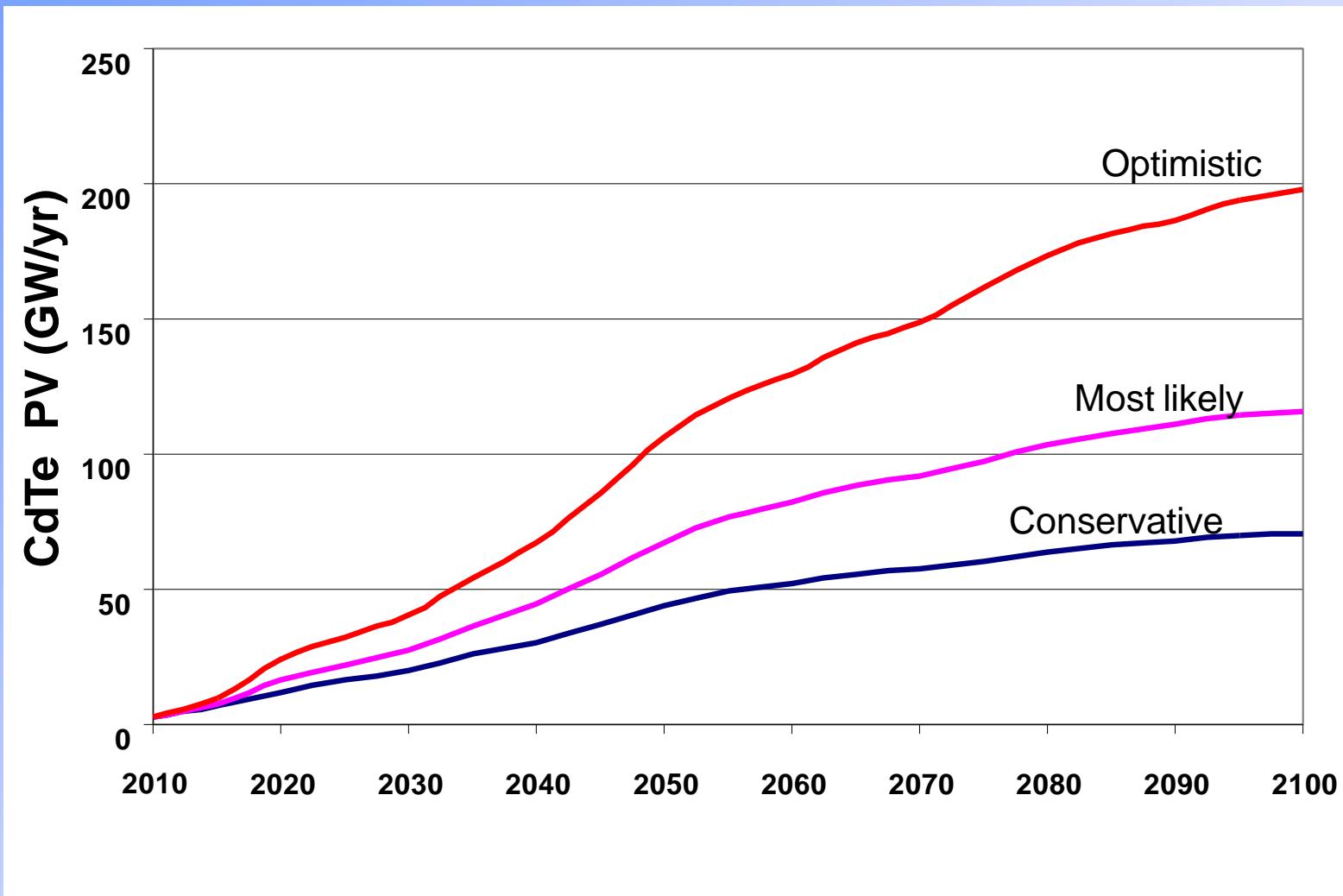
\* 322 MT/yr Te demand for other uses has been subtracted  
All the future growth in Te production is allocated to PV

# Te Availability for PV: Primary + Recycled



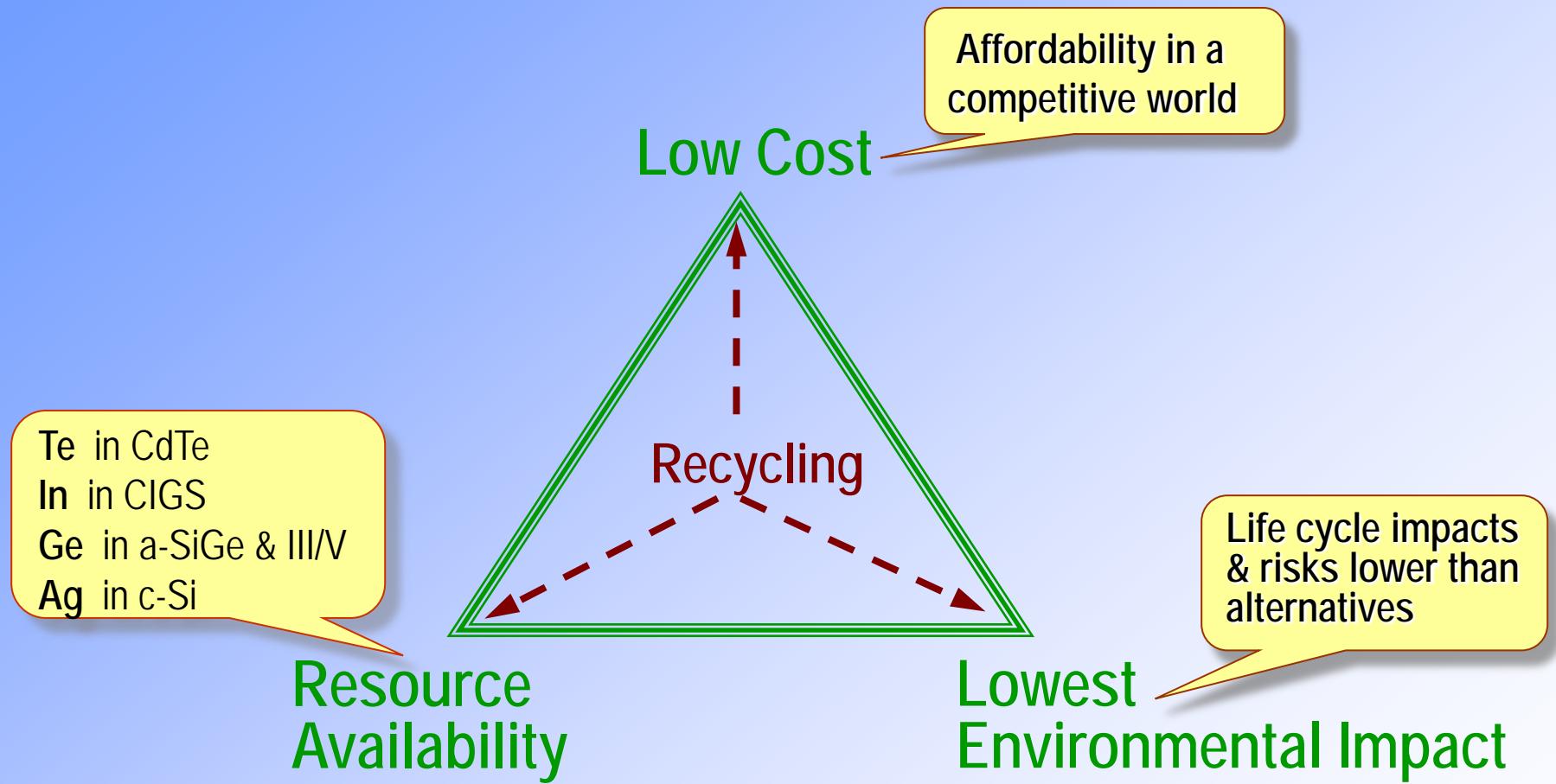
Fthenakis V., Renewable & Sustainable Energy Reviews 13, 2746, 2009  
Fthenakis V., MRS Bulletin, 37, 425, 2012

# CdTe PV Annual Production Constraints



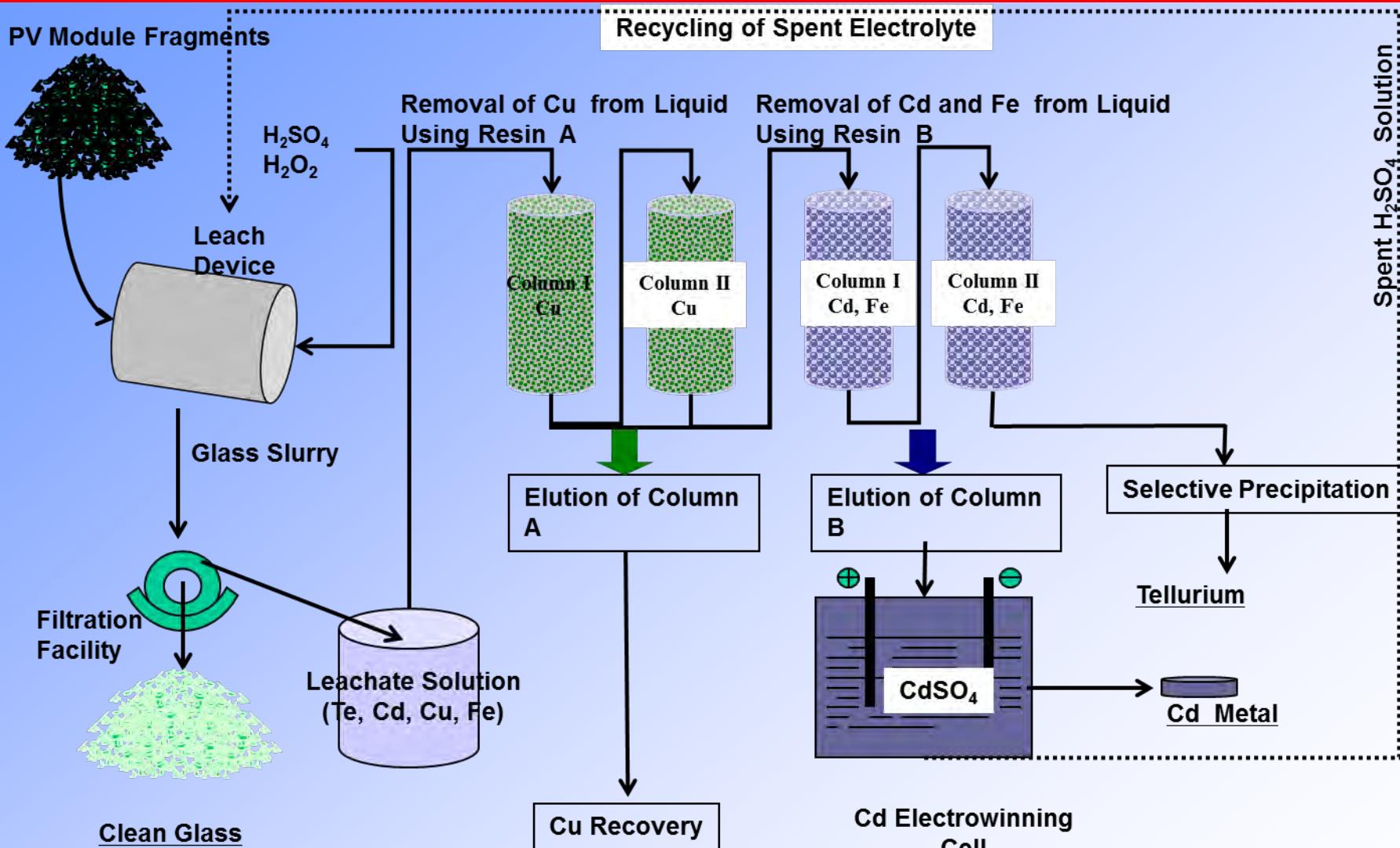
**First Solar's Production: 9 GW/yr in 2022; 16 GW/yr in 2024**

# Large Scale PV – The Value of Recycling



- Fthenakis, Mason & Zweibel, The technical, geographical and economic feasibility for solar energy in the US, [Energy Policy](#), 2009
- Fthenakis, The sustainability of thin-film PV, [Renewable & Sustainable Energy Reviews](#), 2009
- Fthenakis, Sustainability metrics for extending thin-film PV to terawatt levels. [MRS Bulletin](#), 2012

# Recycling R&D: CdTe PV Modules



Fthenakis V. and Wang W., Separating Te from Cd Waste Patent No 7,731,920, June 8, 2010

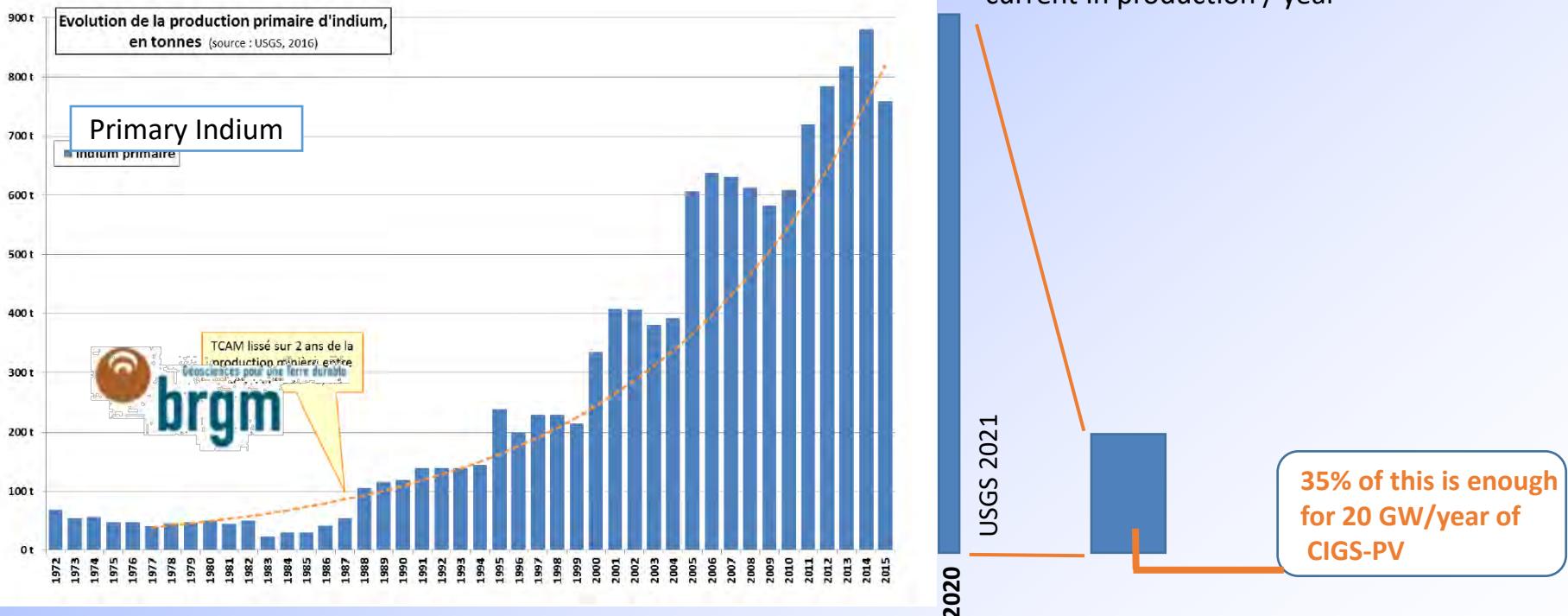
Wang W. and Fthenakis V.M. Kinetics Study on Separation of Cadmium from Tellurium in Acidic Solution Media Using Cation Exchange Resin, *Journal of Hazardous Materials*, B125, 80-88, 2005

Fthenakis V.M and Wang W., Extraction and Separation of Cd and Te from Cadmium Telluride Photovoltaic Manufacturing Scrap, *Progress in Photovoltaics*, 14:363-371, 2006.

# Indium Availability and Demand in CIGS PV

There is enough Indium for about 20 GW to 100 GW per year production of CIGS-PV with 35% utilization of actual and available resources

- **2 microns CIGS** with  $\text{In}/(\text{In}+\text{Ga}) = 0.7$  need 2.8 g of In by  $\text{m}^2$
- with 18% efficiency,  $200 \text{ W/m}^2$  0.014 g of In per Watt  
➤ **15.5 t / GW**

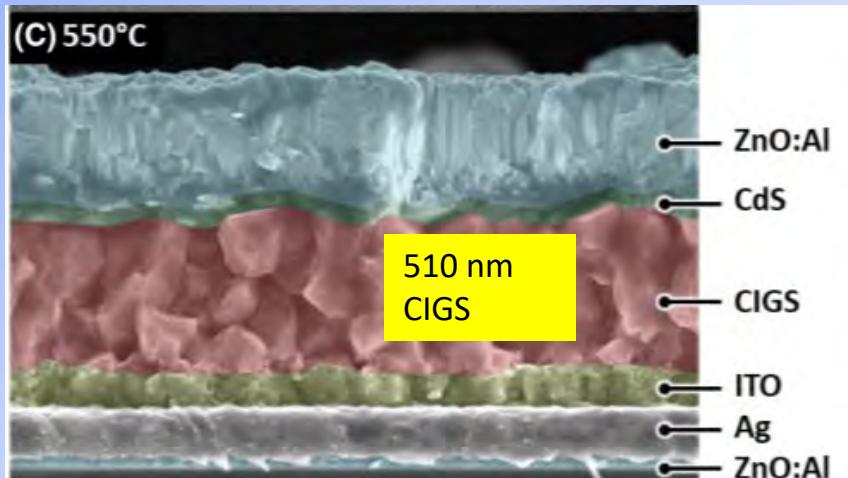


Source: BRGM, criticality study 2017

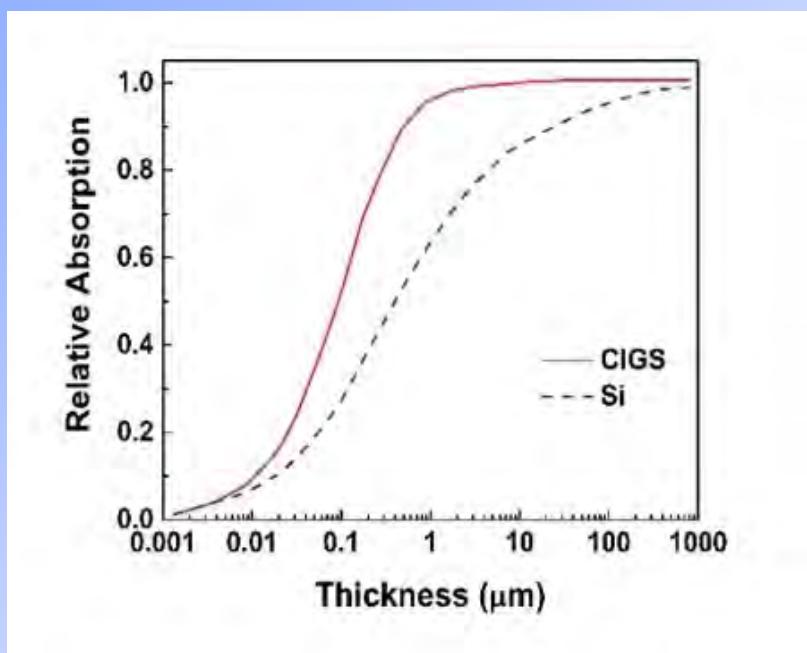
Source: Werner, Mudd and Jowitt,  
Ore Geology Reviews, Jan 2017

# Reduction of CIGS Thickness

Factor of 4 : 400-500 nm → 3-4 tons/ GW  
→ 80-100 GW /Year



Prog Photovolt Res Appl. 2021;29:212–221

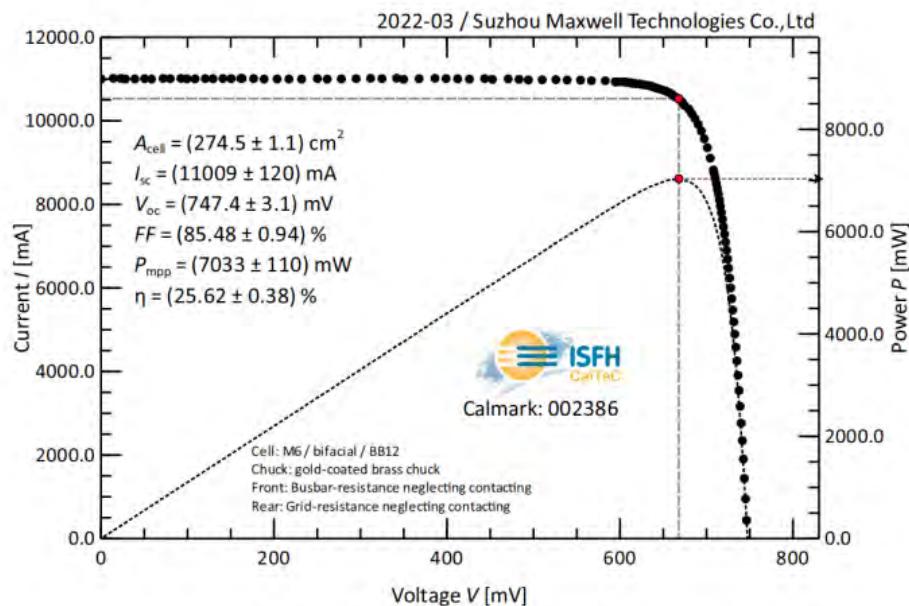


# Indium and Silver Use Reduction

## 25.62%! A New World Record for low indium and low silver HJT Cells Created by Maxwell

APRIL 12, 2022 BY ALEINA IN TECHNOLOGY

PVTIME – Recently, certified by the Institute for Solar Energy Research in Hamelin (ISFH), an authoritative testing institution in Germany, Maxwell has achieved a photoelectric conversion efficiency of 25.62% on full-scale (M6 and 274.5cm<sup>2</sup>) monocrystalline heterojunction cell via using low indium content transparent conductive oxides (TCO) combined with silver plated copper grids, creating a new world record.



Maxwell reports 25.62% efficiency for monocrystalline silicon HJT solar cells with 50% less indium and using silver coated copper grid wires that reduced Ag use by 55%

## ISFH Validates LONGi Solar's 25.40% Efficiency Claim For Indium-Free Heterojunction Solar Cells

Apr 01, 2022

# Silver Replacement

For screen-printed TOPCon cells, silver consumption could be greatly reduced by replacing the silver/Al p-type contact by a pure Al contact, similar to that of PERC.

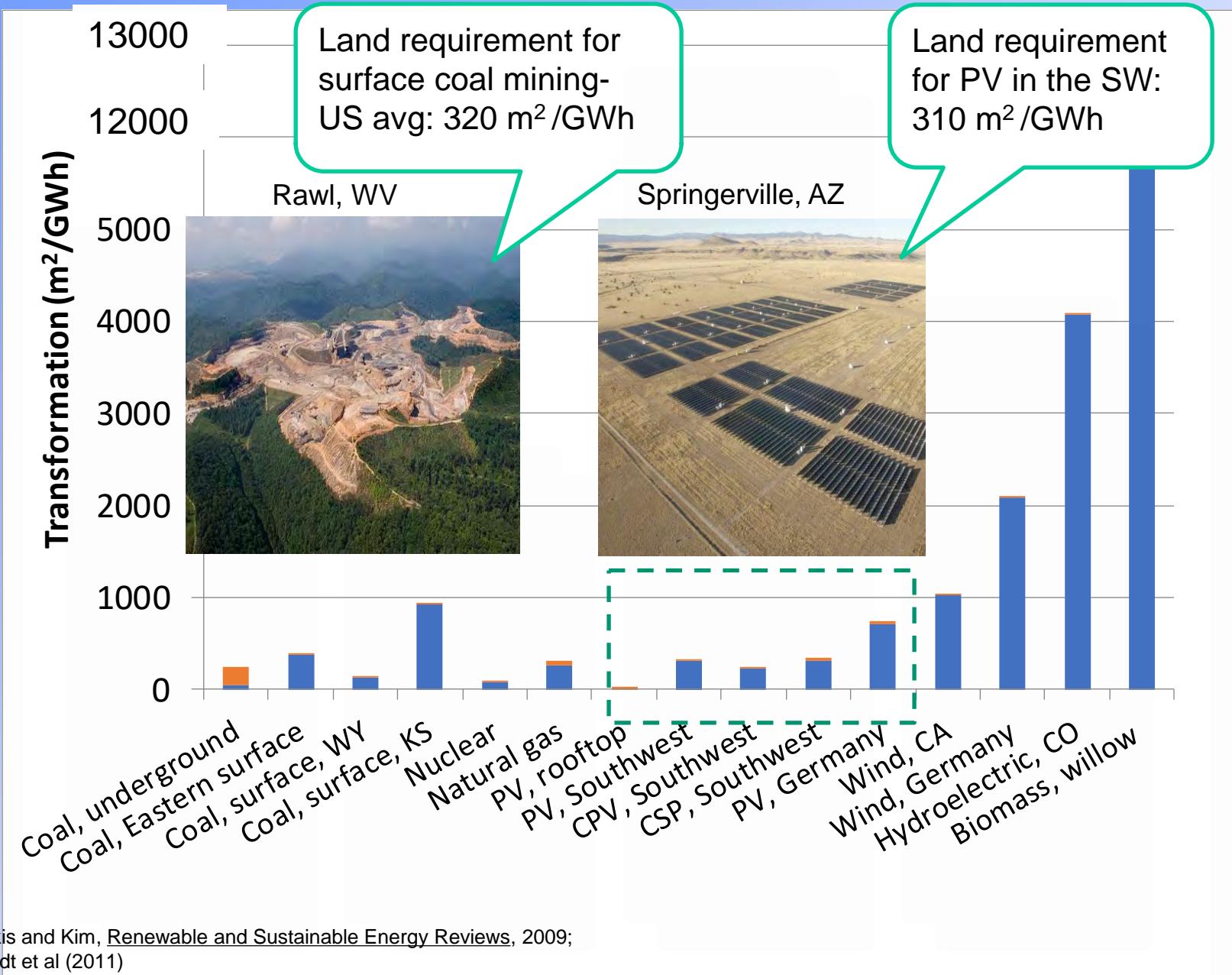
For SHJ solar cells, the use of copper pastes could greatly reduce silver consumption for both the front and rear contacts.

A potential solution for all technologies is the development and deployment of silver-free metallisation technologies like copper plating, which already exist in laboratories.<sup>54</sup> A recent record by SunDrive Solar at 26.41% efficiency was achieved using copper plating for a large-area SHJ solar cell.

The screenshot shows a news article from pv magazine. The header features the pv magazine logo and social media icons for Twitter and Facebook. Below the header is a navigation bar with links for News, Features, Events, Awards, Partner news, pv magazine test, Magazine, and About. The main headline reads "New study looks at Sundrive's 25.54% silver-free heterojunction solar cell". A subtext below the headline states: "A new scientific paper takes a closer look at the record-breaking efficiency that Australia's Sundrive announced for a silver-free heterojunction cell in September 2021, as certified by Germany's Institute for Solar Energy Research." The author is listed as EMILIANO BELLINI, and the date is NOVEMBER 8, 2022. At the bottom of the article are category tags: MODULES & UPSTREAM MANUFACTURING, TECHNOLOGY AND R&D, AUSTRALIA, and CHINA.

*Until it is replaced, Ag can be and should be recycled from EoL solar cells  
Currently it has the higher recovery value in c-Si PV recycling operations*

# Resource Availability -Land: PV Uses less Land than Coal



Fthenakis and Kim, *Renewable and Sustainable Energy Reviews*, 2009;  
Burkhardt et al (2011)

# Use of Land is Environmentally Friendly

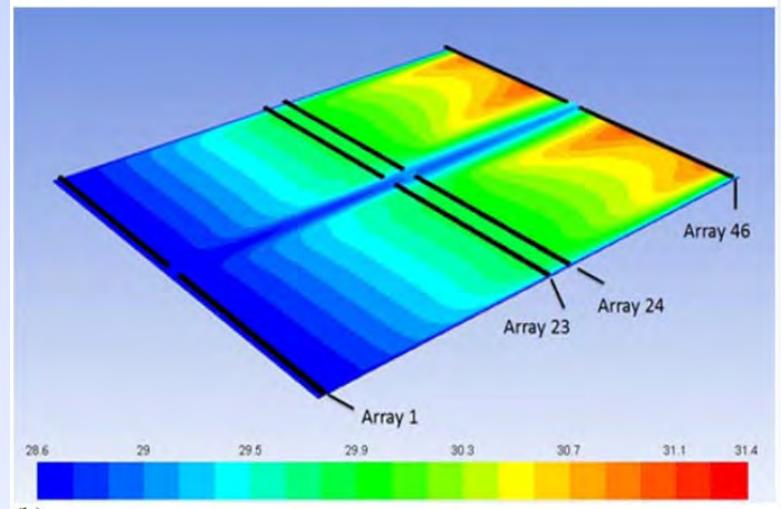
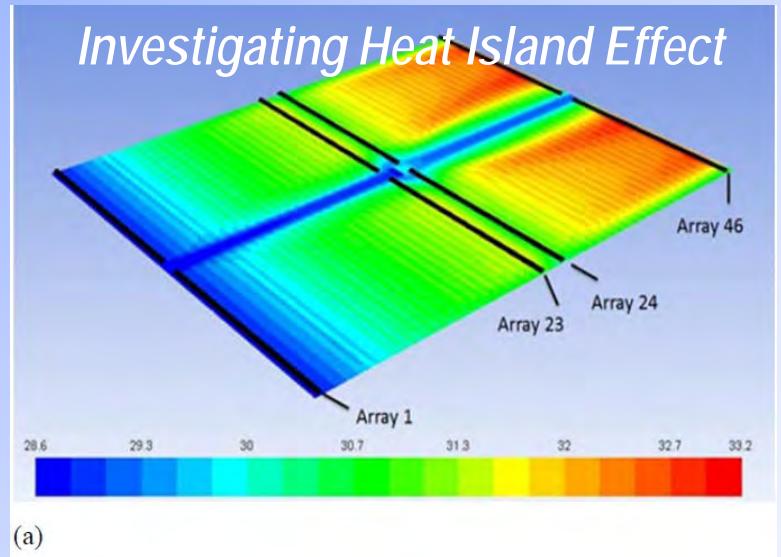
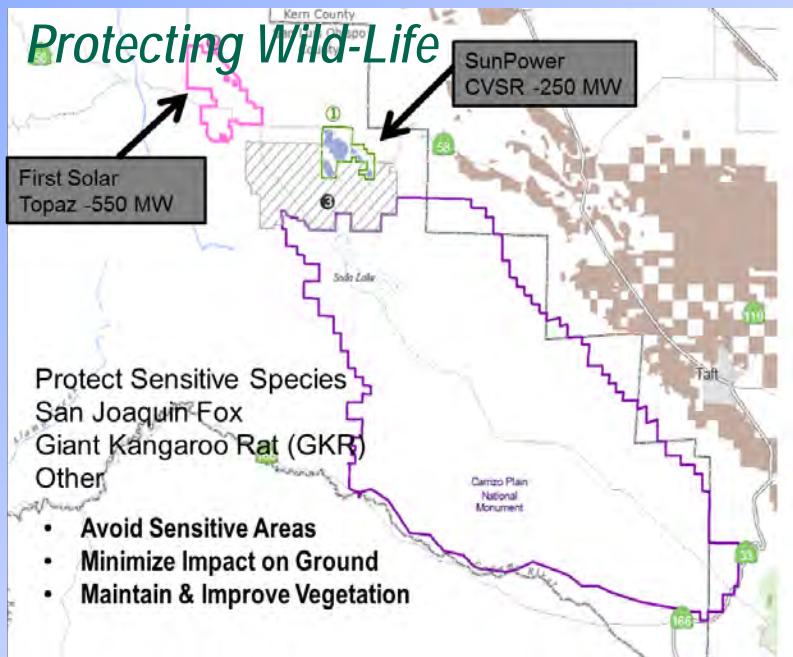


Fig. 11 Air temperatures from 3-D simulations during a sunny day.  
a) Air temperatures at a height of 1.5 m; b) air temperatures at a height of 2.5 m.

# Resource Availability: Land Ecologically Friendly Dual Use of Land and Water

Agrivoltaics



Floating Photovoltaics



Pollinator Solar Farms

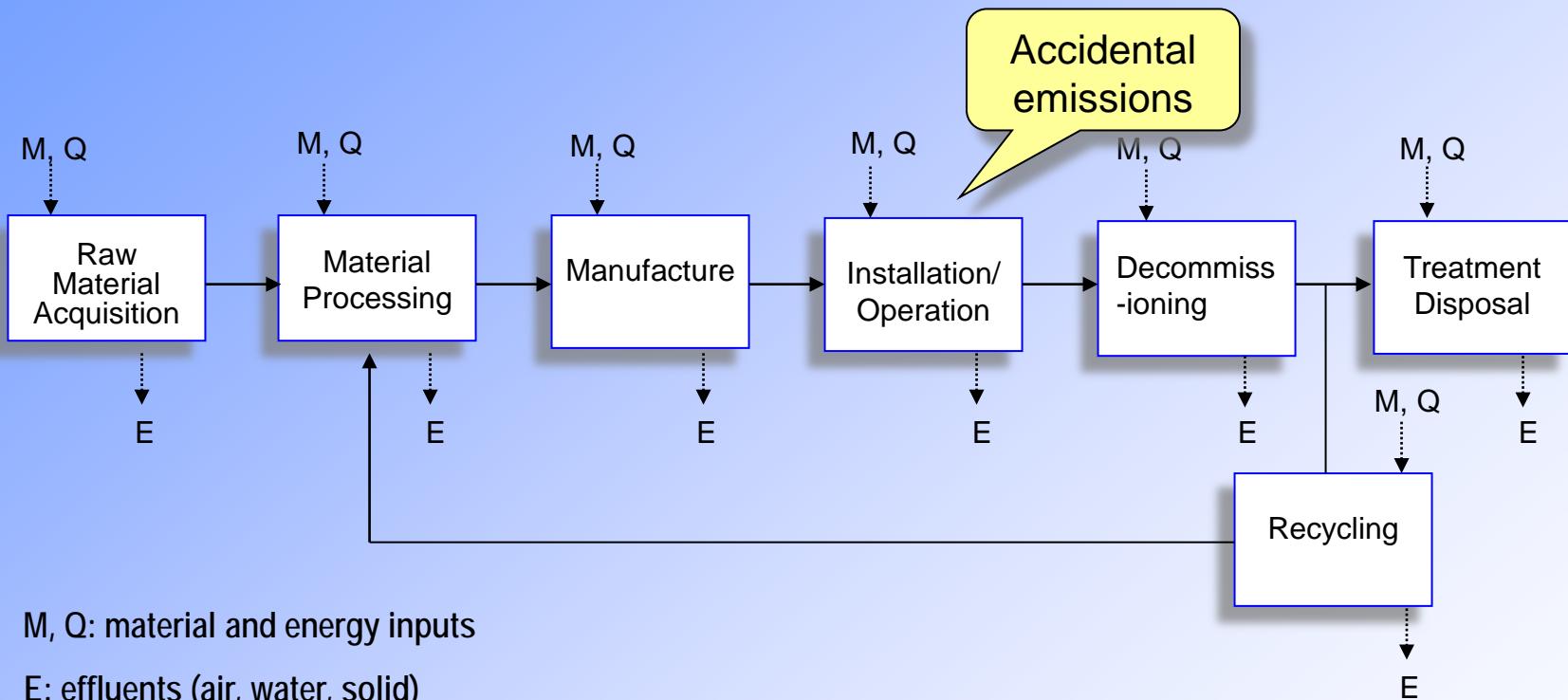


Hybrid Solar & Wind Farms



# Environmental Impacts-Life Cycle Analysis

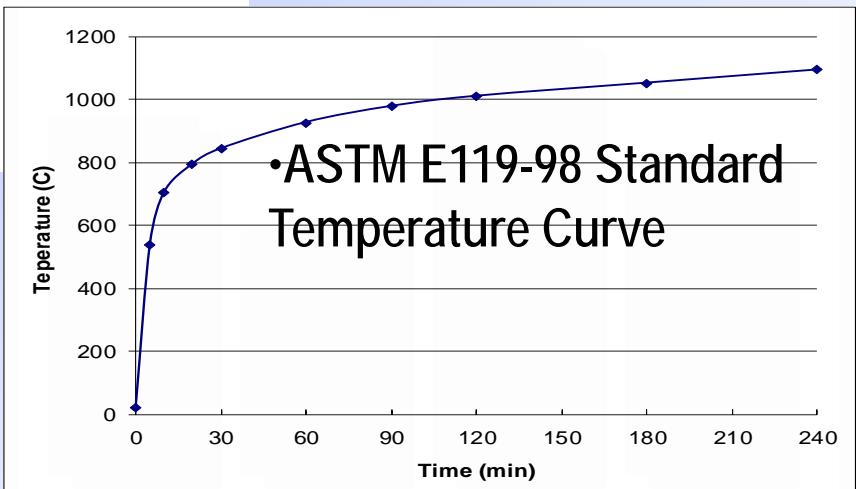
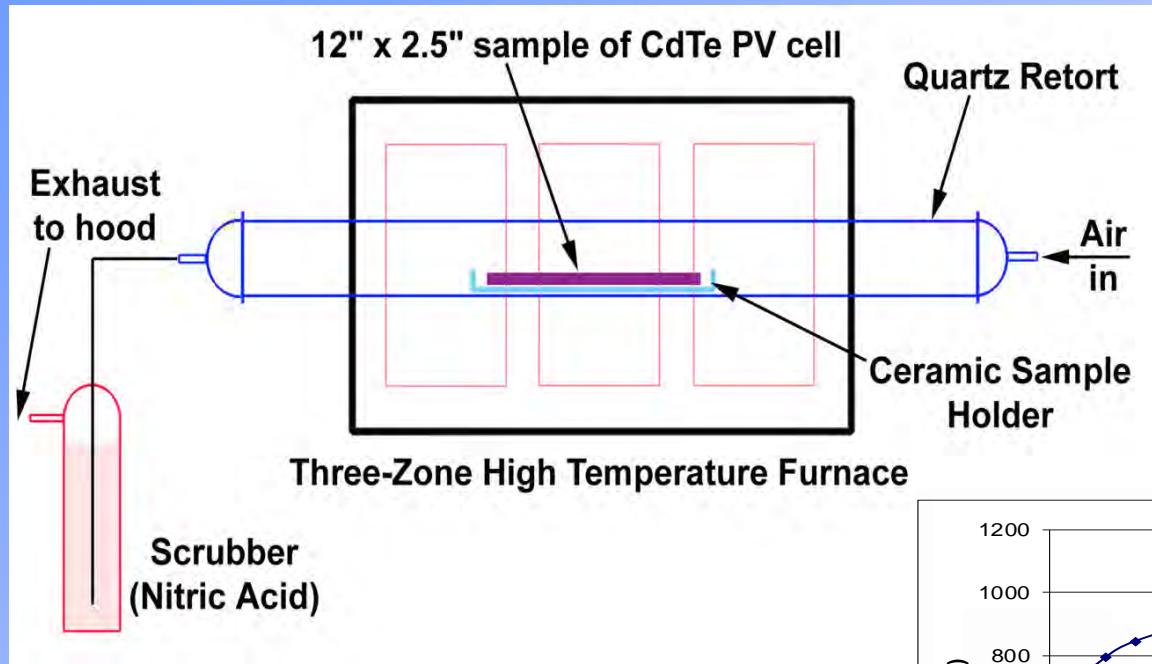
## Experimental Research at BNL



## Basic Metrics

- Energy Payback Times (EPBT)
- Greenhouse Gas Emissions
- Toxic Emissions
- Resource Use (materials, water, land)

# CdTe Module Fire-simulation Experiments

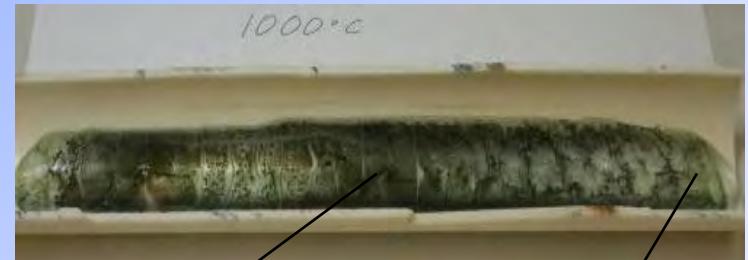


- Weight Loss Measurements
- Inductively Coupled Plasma (ICP) Analysis of Cd & Te Emissions
- X-ray Fluorescence Micro-Spectrometry of Cd in Heated Glass

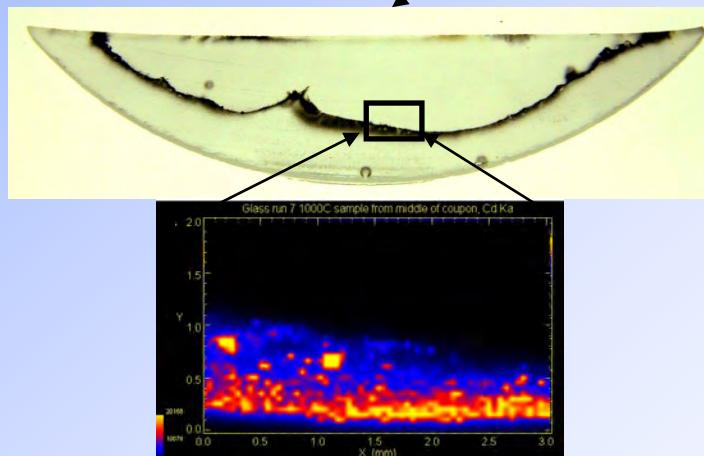
# CdTe PV Fire-Simulation Tests: XRF Analysis



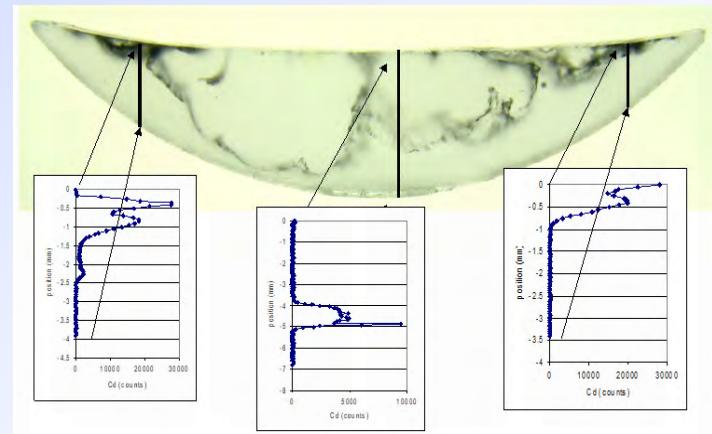
Heat →



XRF-micro-spectroscopy -Cd Mapping in PV Glass  
1000 °C, Section taken from middle of sample



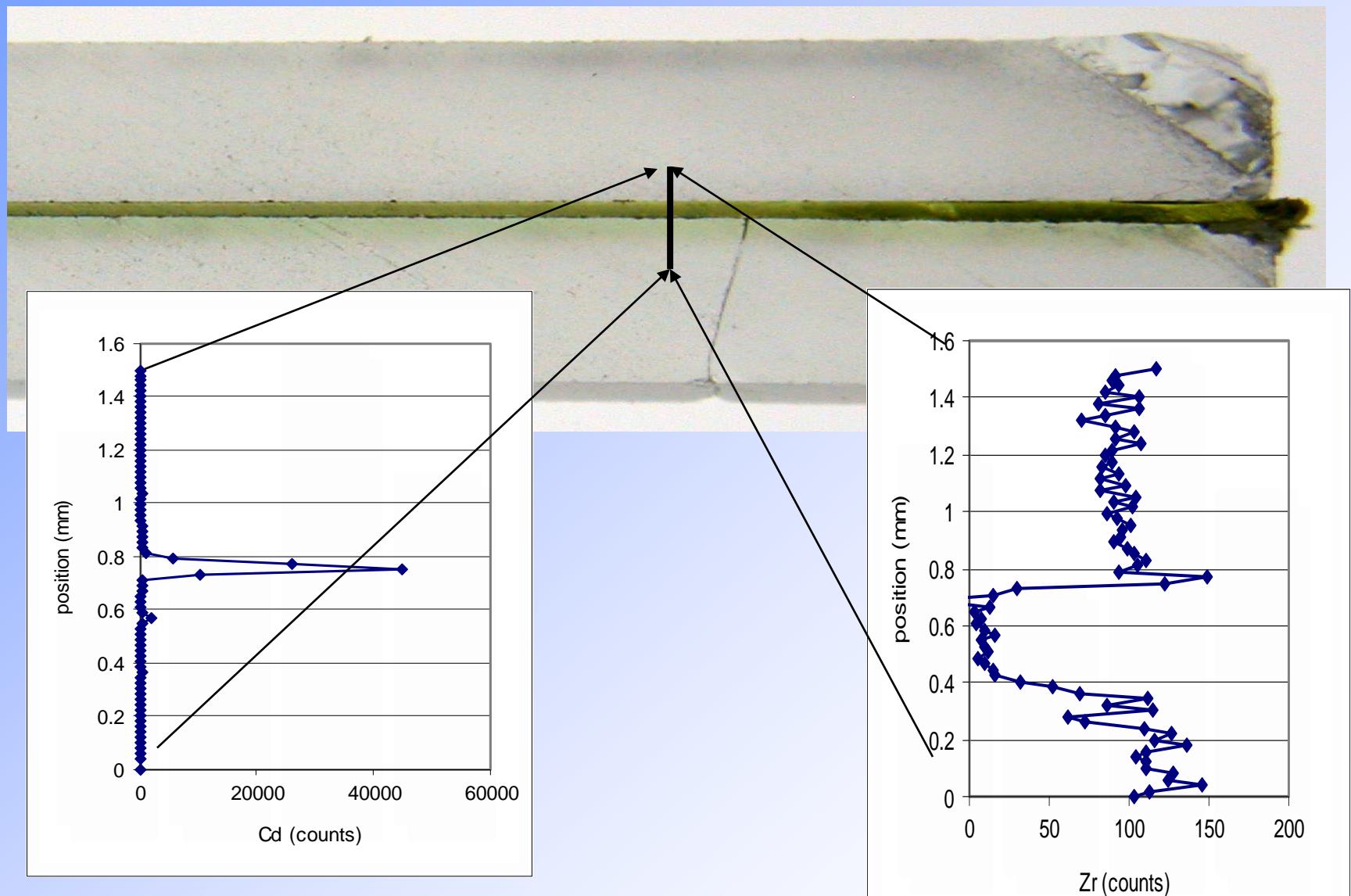
XRF-micro-probing –  
Cd Distribution in PV Glass  
1000 °C, right end of sample



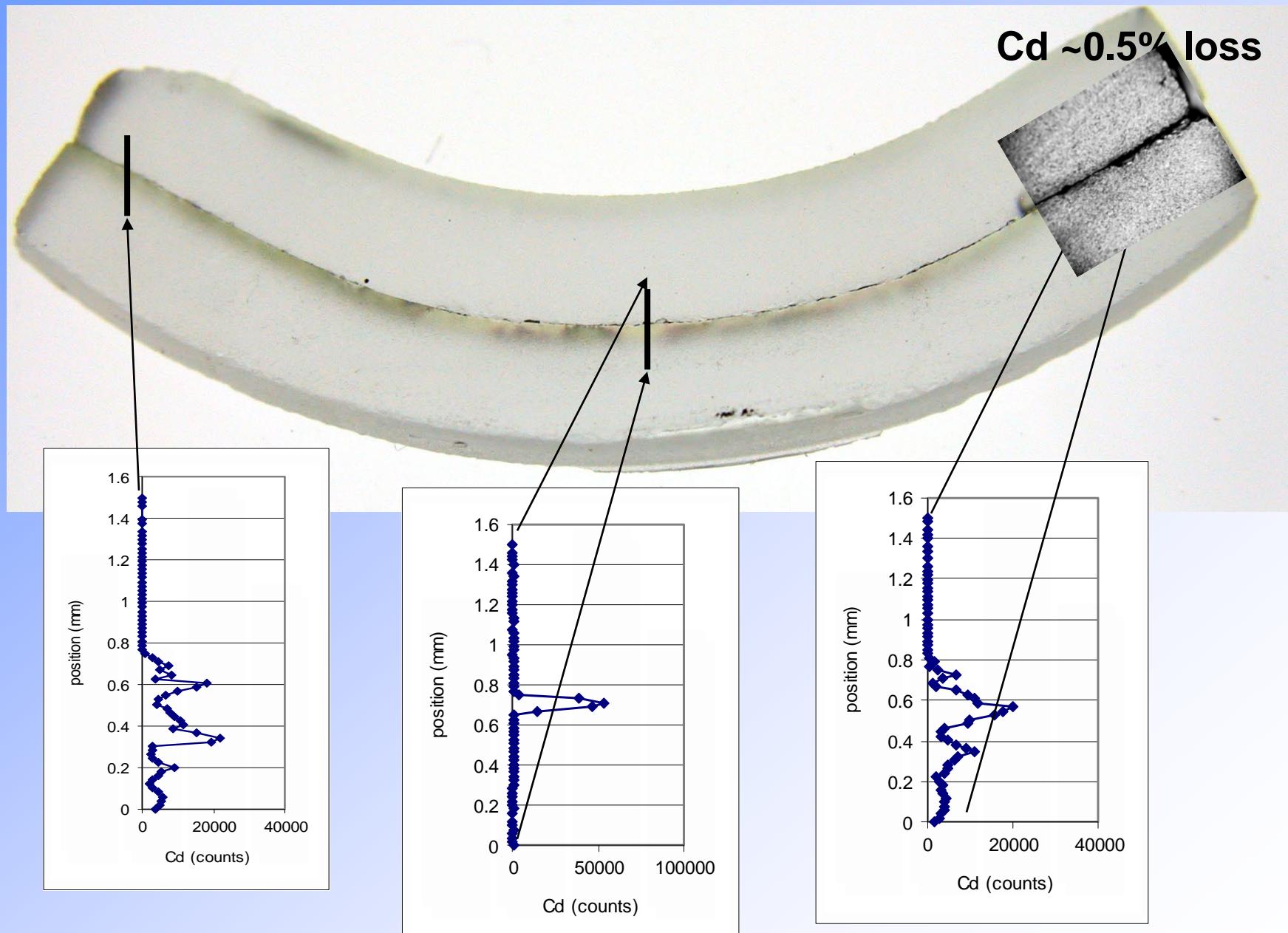
Fthenakis, Fuhrman, Heiser, Lanzilotti, Fitts, Wang, Emissions and Encapsulation of Cadmium in CdTe PV Modules during Fires, *Progress in Photovoltaics*, 2005

# XRF-micro-probing -Cd & Zr distribution in PV sample

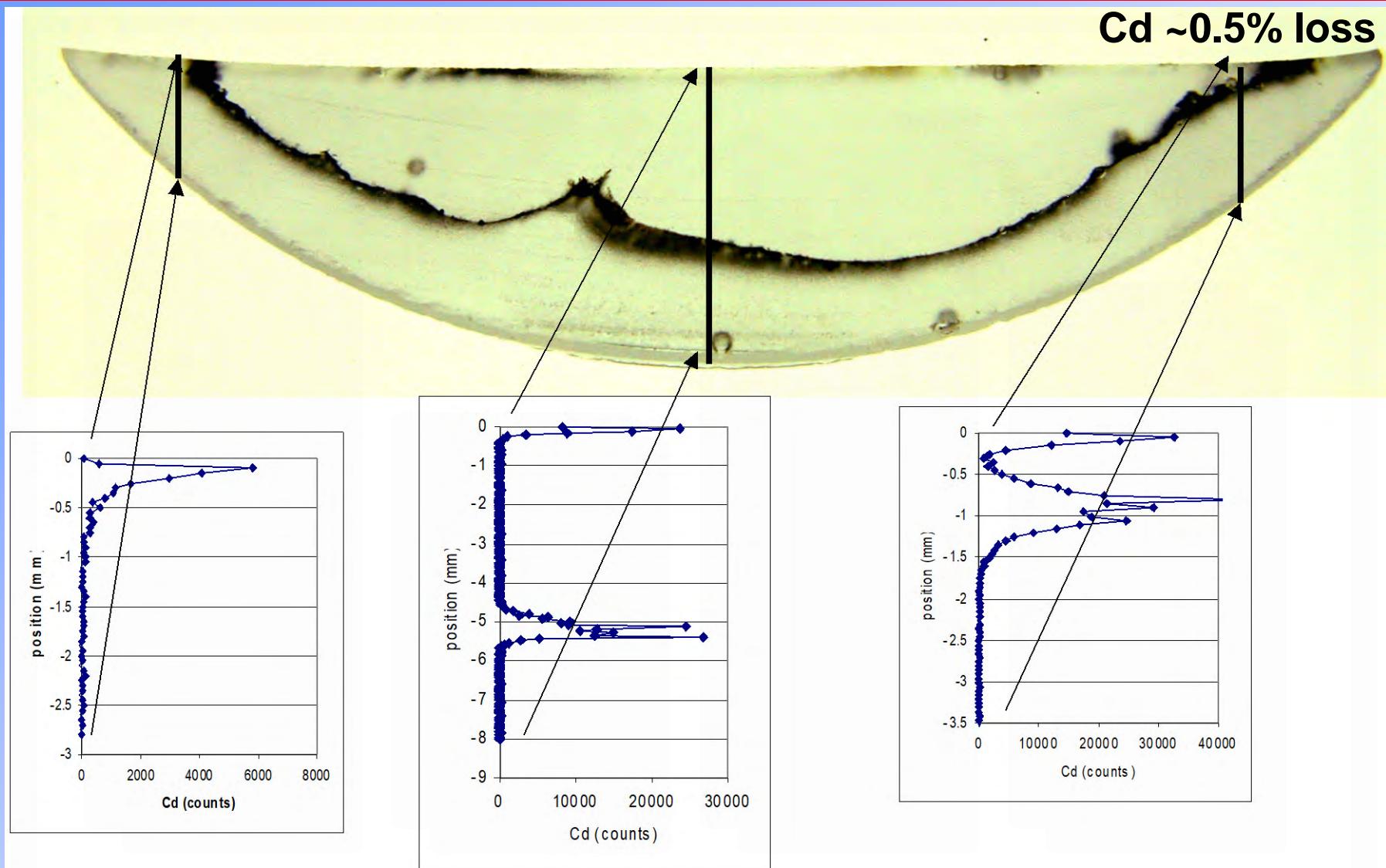
## Unheated Sample -Vertical Cross Section



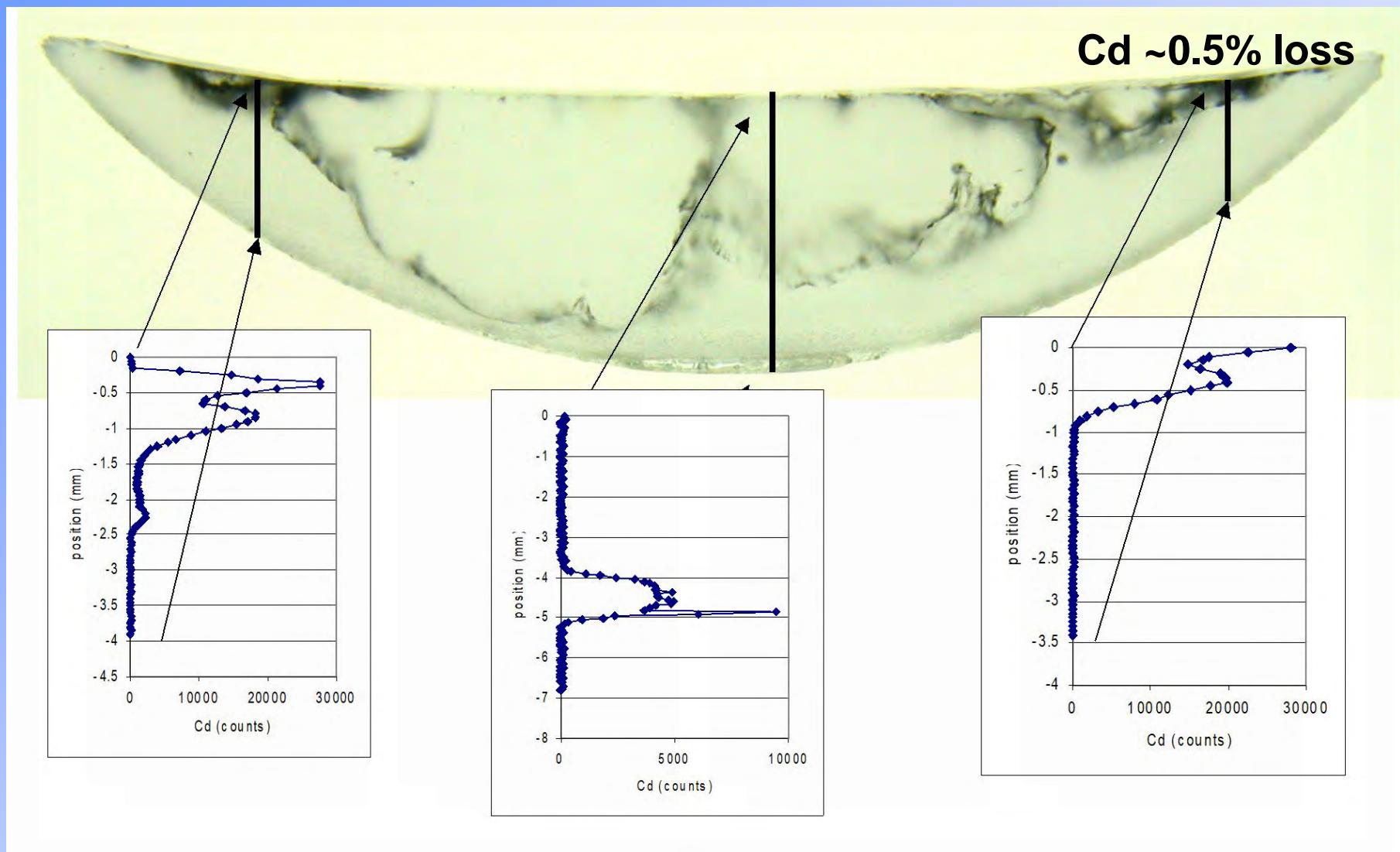
# XRF-micro-probe -Cd distribution in PV sample 760 °C, Section taken from middle of sample



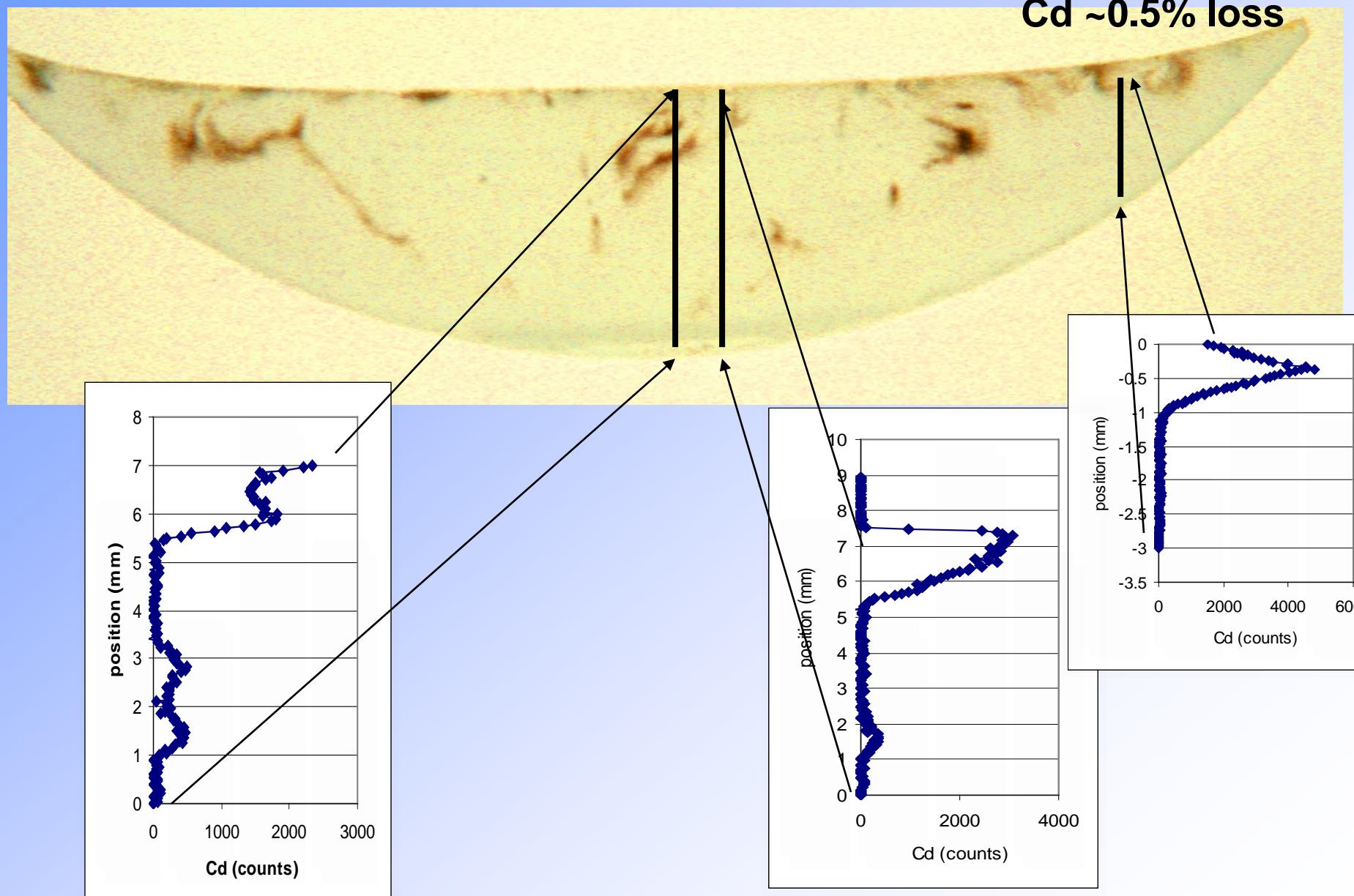
# XRF-micro-probe -Cd distribution in PV sample 1000 °C, Section taken from middle of sample



# XRF-micro-probing -Cd distribution in PV sample 1000 °C, Section taken from right side of sample



# XRF-micro-probing -Cd distribution in PV sample 1100 °C, Section taken from middle of sample



# Atmospheric Cd emissions from the Life-Cycle of CdTe PV Modules –Direct Emissions

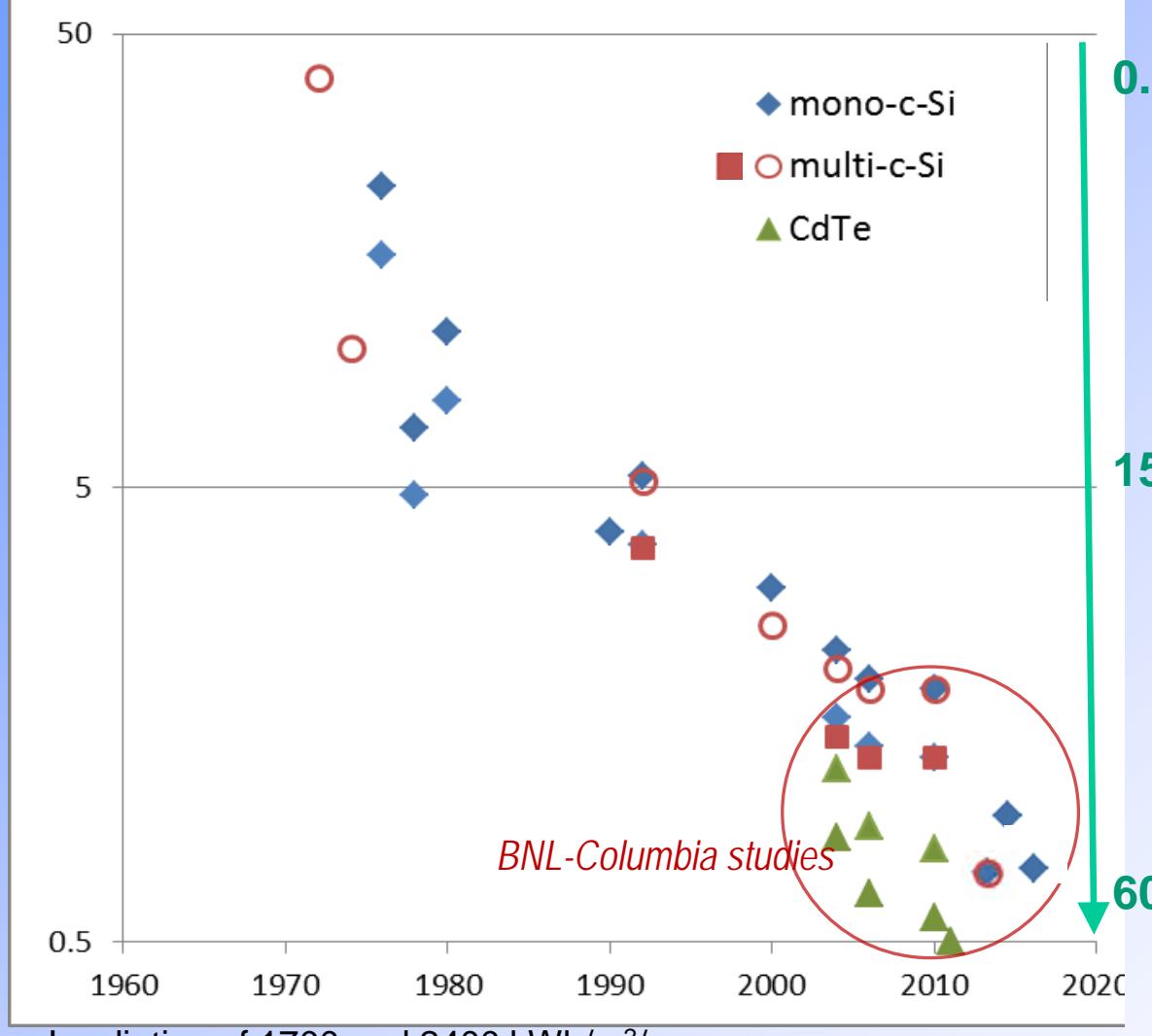
Process	Cd Emissions (g /GWh)
1. Mining/Smelting of Zn	$3.2 \times 10^{-4}$
2. Purification/CdTe Production	$1.5 \times 10^{-2}$
3. Module Manufacturing	$3.9 \times 10^{-3}$
4. Operation (accidents)	$6.0 \times 10^{-5}$
5. Recycling	-
<b>TOTAL Life-Cycle Emissions</b>	<b>0.02</b>

*These emissions are 100-360 times lower than those of the best equipped coal-firing power plants in the United States.*

*Fthenakis, Renewable and Sustainable Energy Reviews, 2004*

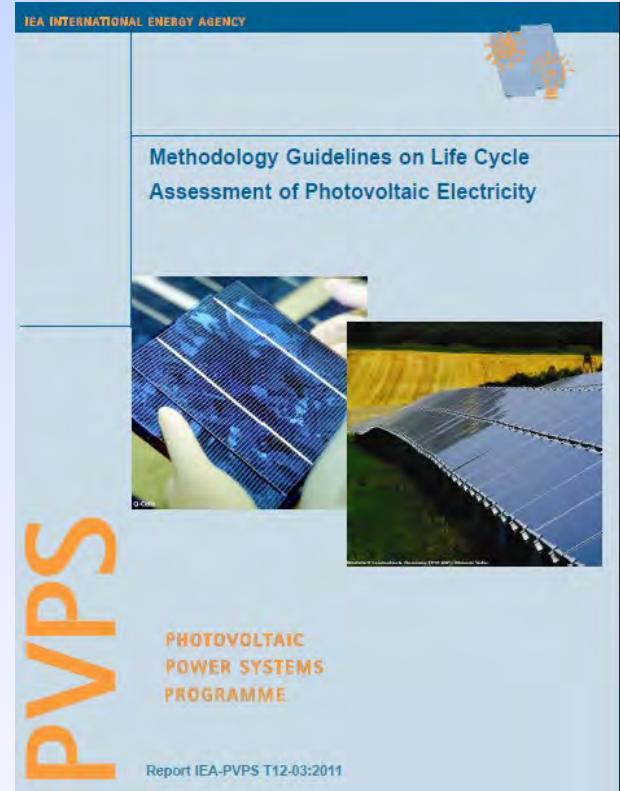
# Energy Payback Times & Energy Return on Energy Investment Historical Evolution

## EPBT (years)



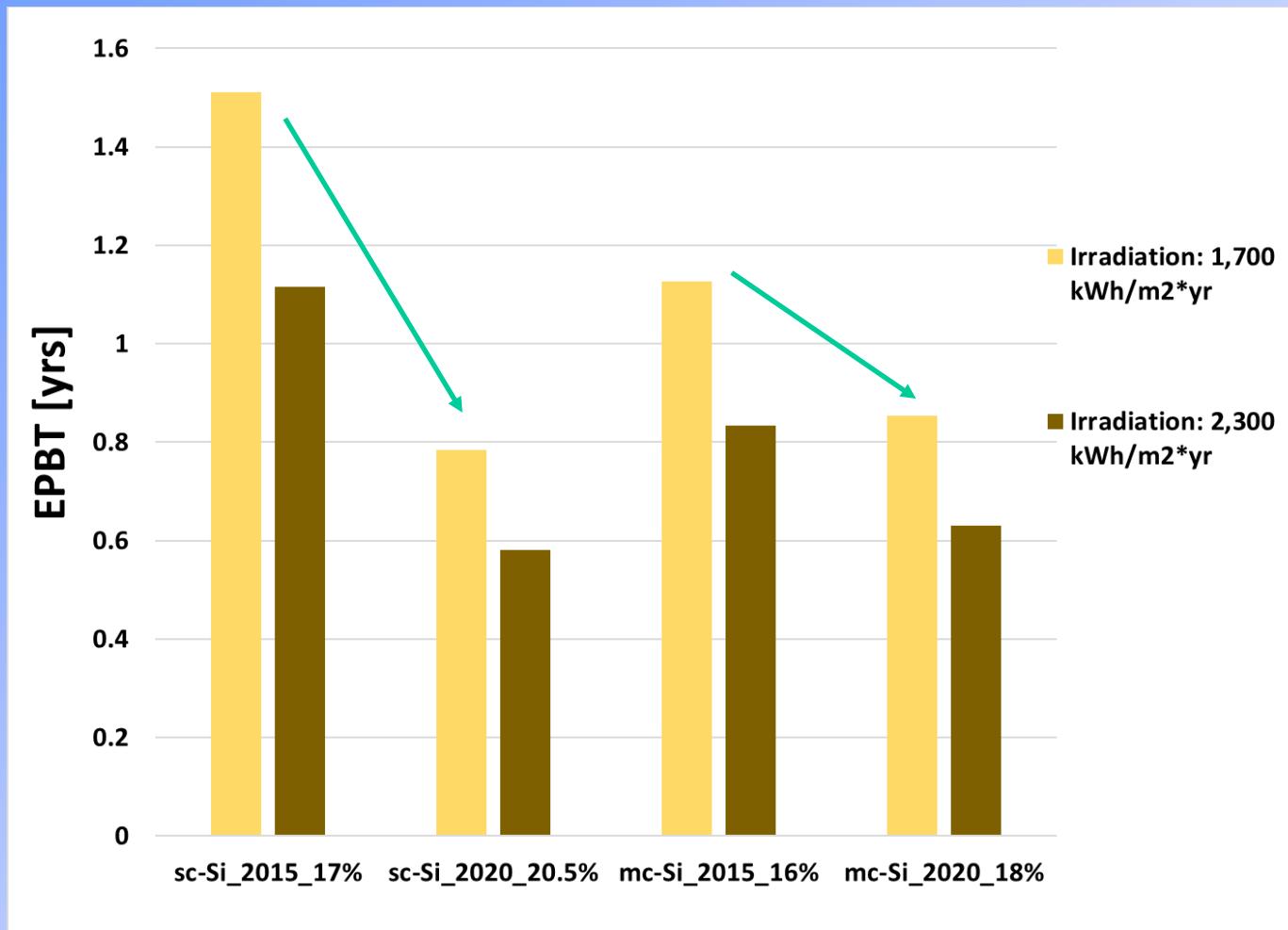
## EROI

- 0.6 Divergence between studies due to:
1. LCA Methodology
  2. Age of Data
  3. Treatment of Intermittency
  4. Real-world Performance Assumptions



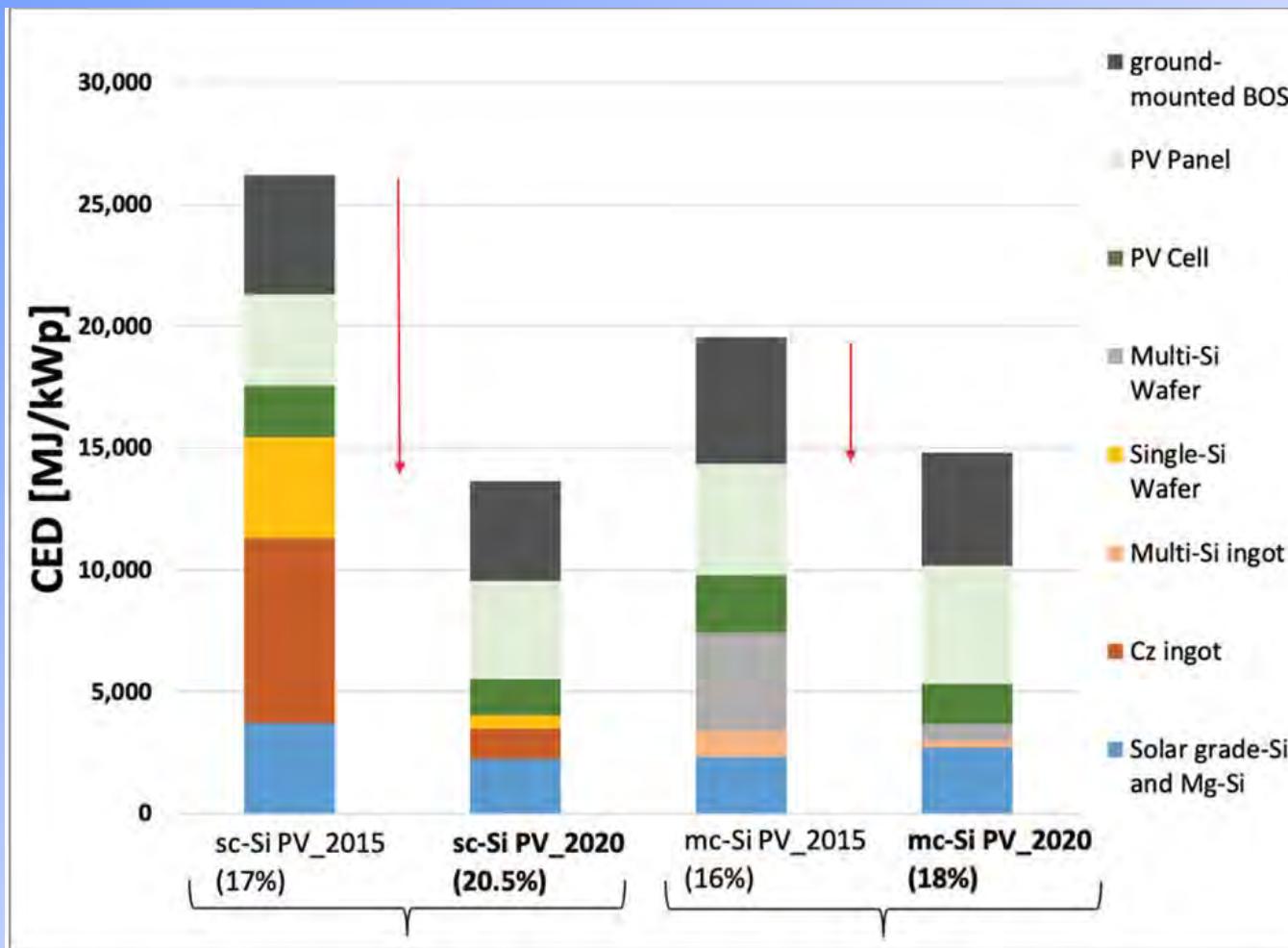
- Fthenakis V., PV Energy ROI Tracks Efficiency Gains, ASES Solar Today, 2012
- Fthenakis V., PV Total Cost of Electricity from Sunlight, Proceedings of IEEE, 2015

# The Evolution Continues with Established Technologies

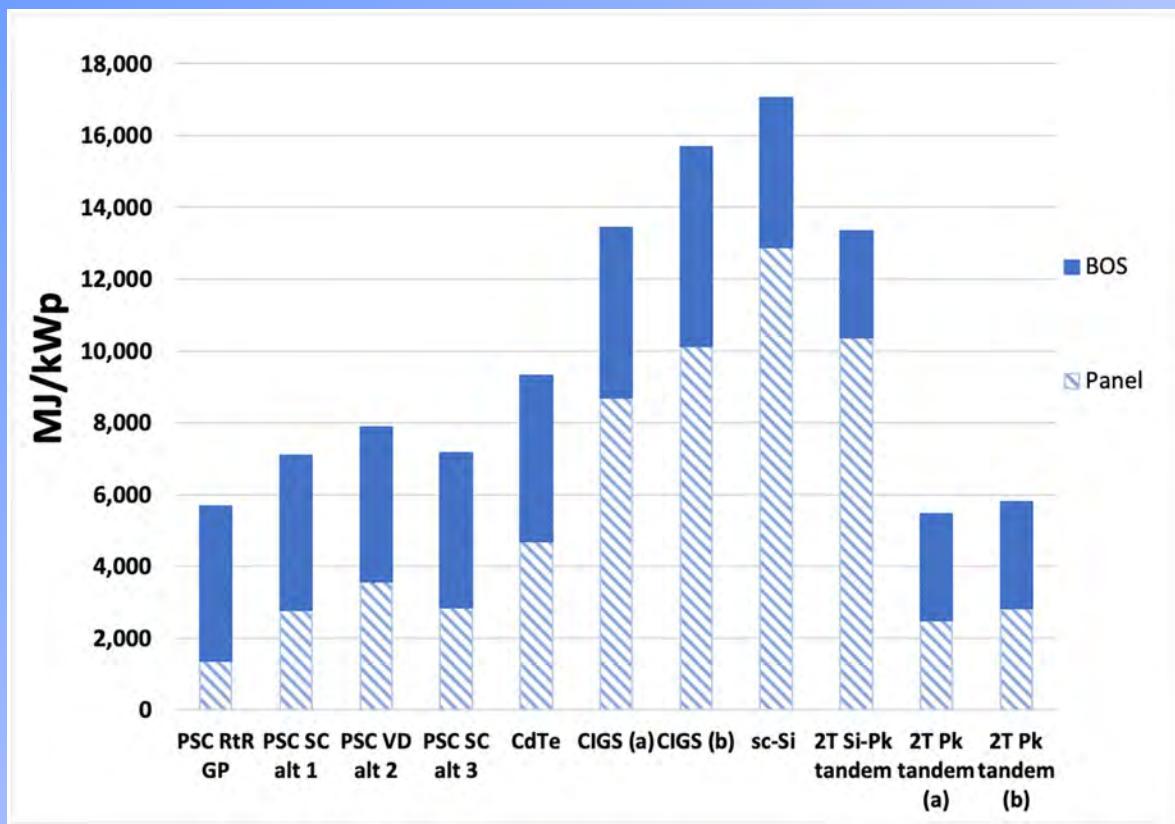


Fthenakis, V. and Leccisi, E., 2021. Updated sustainability status of crystalline silicon-based photovoltaic systems: Life-cycle energy and environmental impact reduction trends. *Progress in Photovoltaics: Research and Applications*, 29(10), pp.1068-1077.

# Improvements in Conventional c-Si PV



# and PV continues to improve with emerging Perovskite technologies



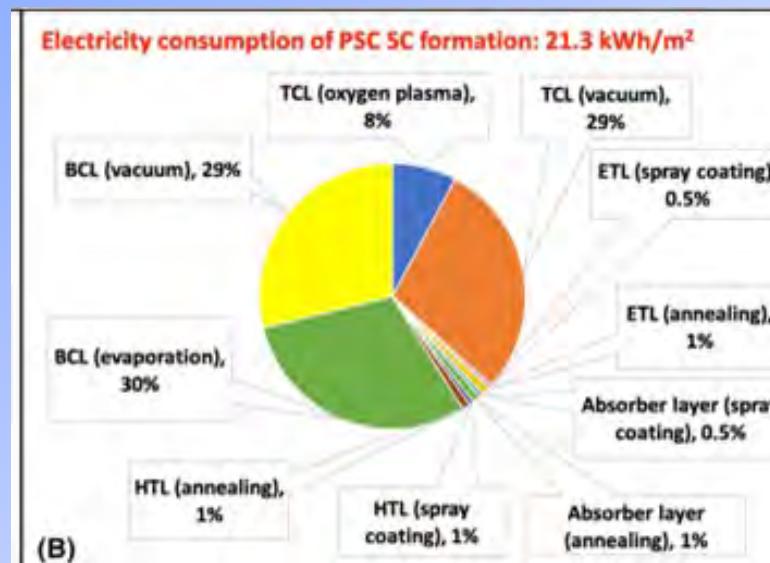
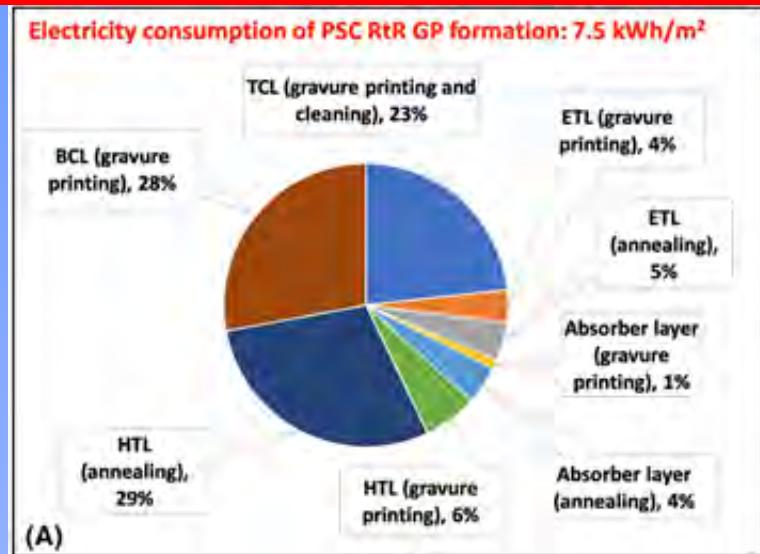
PV module	Reference efficiency [%]
PSC RtR GP	19.3
PSC SC alt 1	19.3
PSC VD alt 2	19.3
PSC SC alt 3	19.3
CdTe	18
CIGS (a) <sup>a</sup>	17.5 <sup>a</sup>
CIGS (b) <sup>b</sup>	15 <sup>b</sup>
sc-Si	20
2T Si-Pk tandem	28
2T Pk tandem (a)	28
2T Pk tandem (b)	28

# Single-junction and Tandem Perovskite architectures

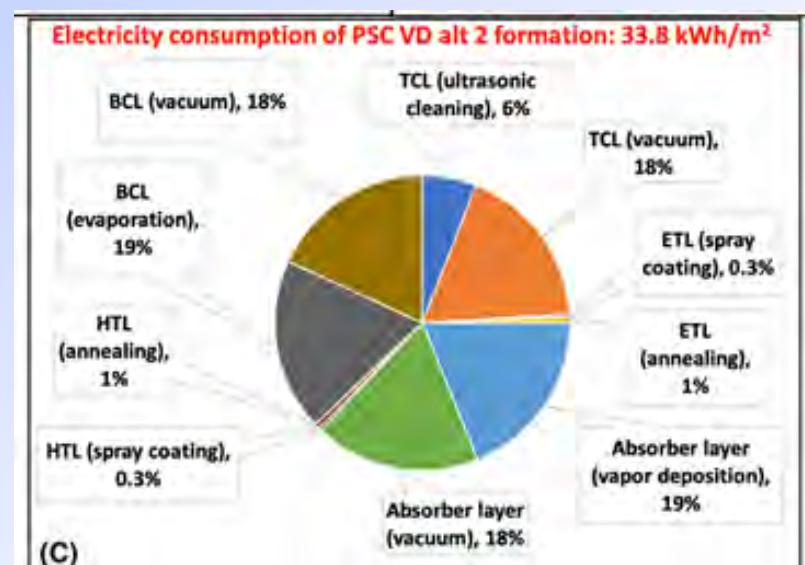
		PSC RrR GP Encapsulation: Glass-PET		PSC SC alt 1 Encapsulation: Glass-Glass		PSC VD alt 2 Encapsulation: Glass-Glass		PSC SC alt 3 Encapsulation: Glass-Glass	
PSC Layer	Materials and thickness	Processes	Materials and thickness	Processes	Materials and thickness	Processes	Materials and thickness	Processes	
TCL	FTO 500 nm	gravure printing cleaning	FTO 500 nm	oxygen plasma	ITO 110 nm	ultrasonication	FTO 500nm	oxygen plasma treatment	
ETL	SnO <sub>2</sub> 60 nm	gravure printing annealing	SnO <sub>2</sub> 60 nm	spray coating annealing	SnO <sub>2</sub> 60 nm	spray coating annealing	PCBM 50 nm	spray coating annealing	
Absorber	MAPbI <sub>3</sub> 300 nm	gravure printing annealing	MAPbI <sub>3</sub> 300 nm	spray coating annealing	MAPbI <sub>3</sub> 300 nm	evaporation vacuum	MAPbI <sub>3</sub> 300 nm	spray coating annealing	
HTL	CuSCN 700 nm	gravure printing annealing	CuSCN 700 nm	spray coating annealing	CuSCN 700 nm	spray coating annealing	NiO <sub>x</sub> 60 nm	spray coating annealing	
BCL	MoO <sub>x</sub> /Al 100 nm	gravure printing annealing	MoO <sub>x</sub> /Al 100 nm	evaporation vacuum	MoO <sub>x</sub> /Al 100 nm	evaporation vacuum	Ag 100 nm	evaporation vacuum	

Materials and thickness	Processes	Materials and thickness	Processes
Ag wires	Thermal evaporation	ITO 110 nm	Sonication and ozone treating
Indium Tin Oxide (ITO) 50 nm	Sputtering	Poly-TDP (C <sub>22</sub> H <sub>21</sub> N) <sub>n</sub> 5 nm	Spray coating
SnO <sub>2</sub> 20 nm	Atomic layer deposition	PFN-Br (C <sub>56</sub> H <sub>80</sub> N <sub>2</sub> .Br <sub>2</sub> ) <sub>n</sub> 1 nm	Spray coating
PCBM 10 nm	Spray coating	FA <sub>0.6</sub> Cs <sub>0.3</sub> DMA <sub>0.1</sub> PbI <sub>2.4</sub> Br <sub>0.6</sub> 300 nm	Spray coating
LiF 1 nm	Thermal evaporation	Lithium fluoride (LiF) 1 nm	Thermal evaporation
Cs <sub>0.17</sub> FA <sub>0.83</sub> Pb(Br <sub>0.17</sub> I <sub>0.83</sub> ) <sub>3</sub> 350 nm	Spray deposition	C <sub>60</sub> 30 nm	Thermal evaporation
PFN 1 nm	Thermal evaporation	Polyethylenimine 1 nm	Spray coating
Poly-TDP (C <sub>22</sub> H <sub>21</sub> N) <sub>n</sub> 5 nm	spray coating	Aluminum-doped zinc oxide 25 nm	Atomic layer deposition
NiO <sub>x</sub> 20 nm	spray coating	Indium Tin Oxide 5 nm	Sputtering
Indium Tin Oxide (ITO) 20 nm	Sputtering	PEDOT:PSS 20 nm	Spray coating
i a-Si 5 nm / p a-Si 10 nm	Plasma-enhanced CVD	FA <sub>0.75</sub> Cs <sub>0.25</sub> Sn <sub>0.5</sub> Pb <sub>0.5</sub> I <sub>3</sub> 850 nm	Spray coating
Si 0.18 mm	Czochralski	C <sub>60</sub> 30 nm	Thermal evaporation
i a-Si 5 nm / p a-Si 10 nm	Plasma-enhanced CVD	Bathocuproine (BCP) 6 nm	Thermal evaporation
ITO 80 nm	Sputtering	Ag 100 nm	Thermal evaporation
Ag 100 nm	Thermal evaporation		

# Electricity Consumption of Single-junction Perovskite Solar Cell Formation

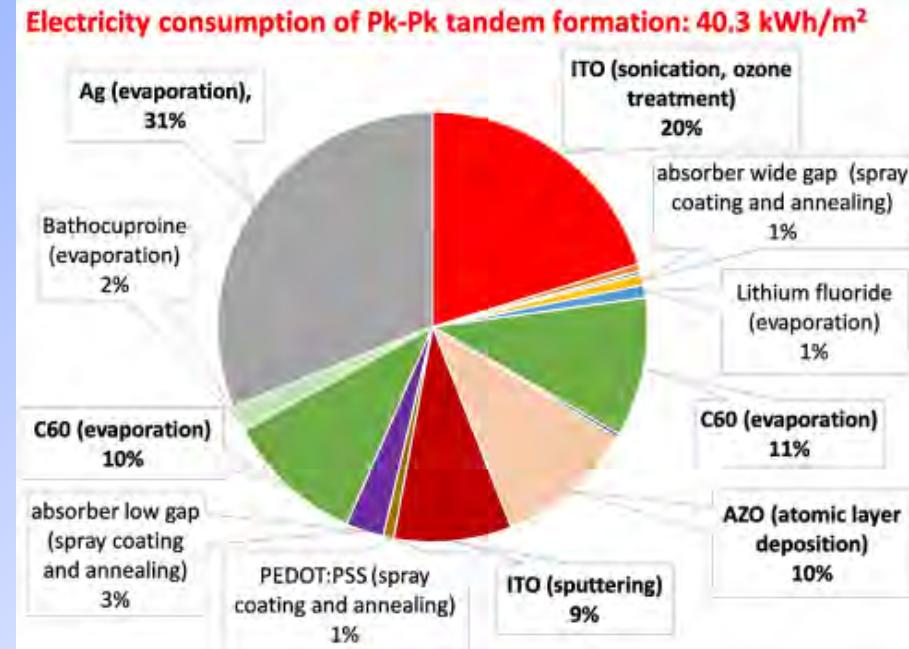
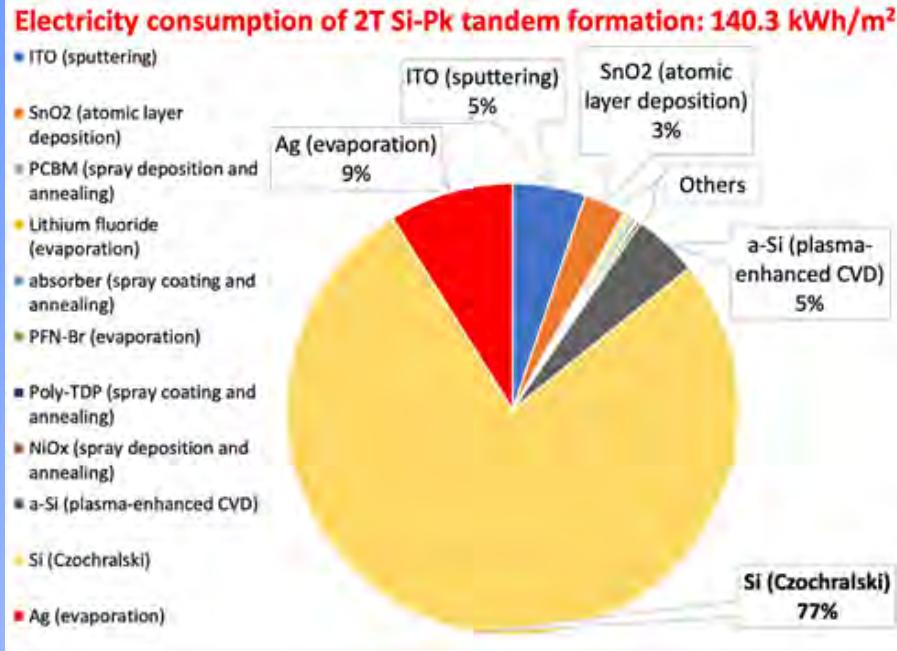


Energy data on RtR gravure printing (GP) were derived from commercial 8-station RtR photosensitive film printing tools running at 30 m/min. Data correspond to producing 45 m<sup>2</sup>/min of perovskite PV with a 1.5 m wide web.

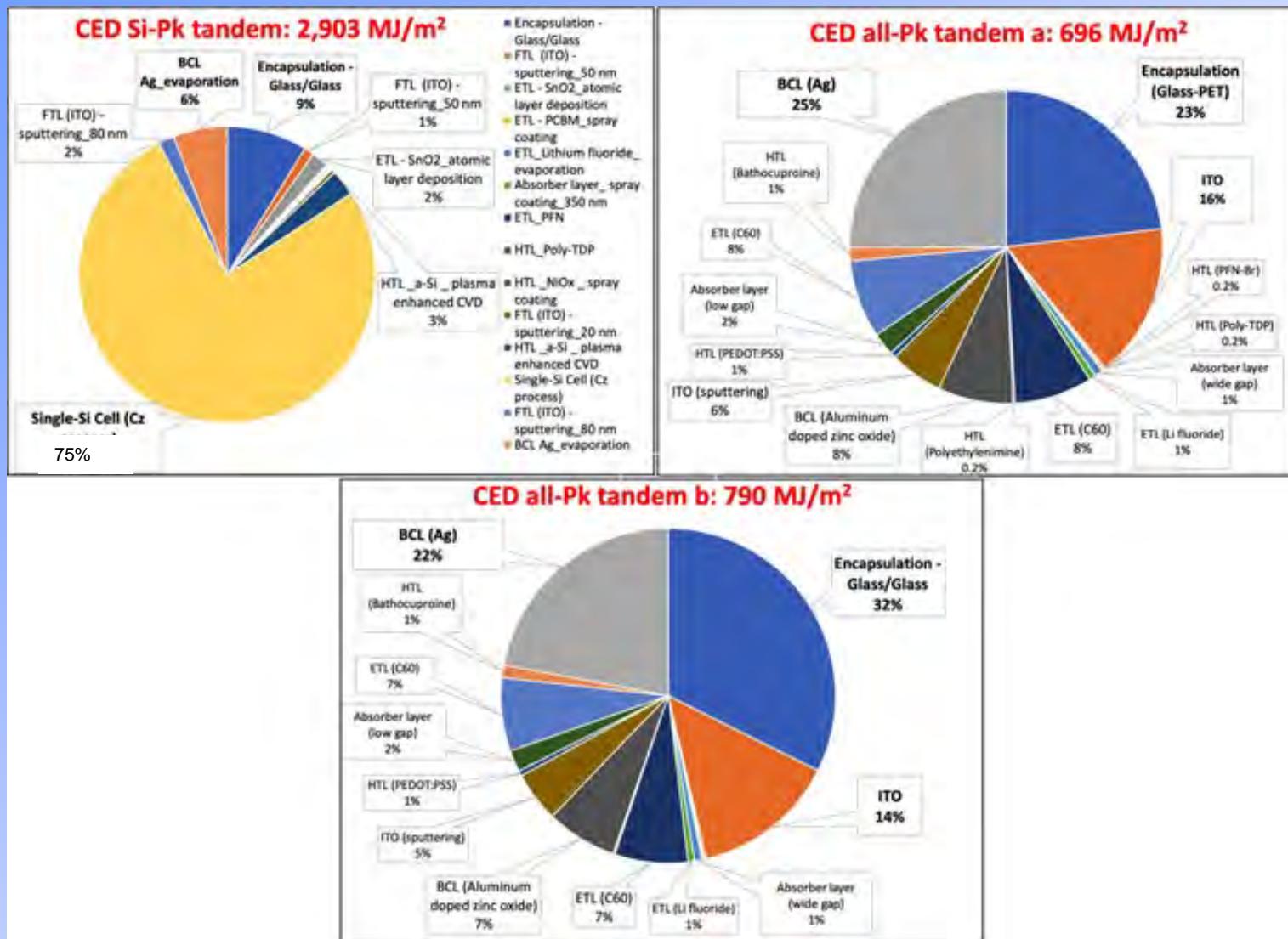


Electricity consumption of PSC SC was estimated for scaling the lab measurements to industrial scales, based on equipment power rating, associated processing time and energy use factor

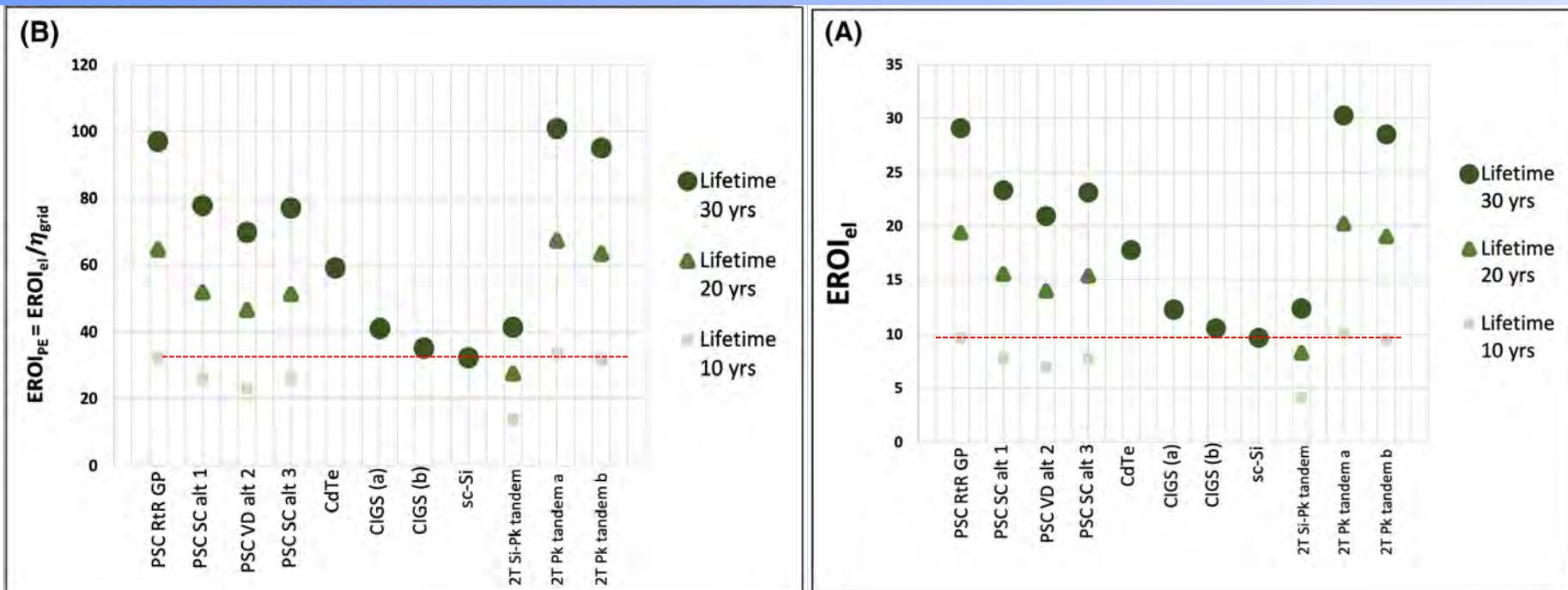
# Electricity Consumption of Tandem Si-Perovskite and All-Perovskite Solar Cells



# Cumulative Energy Demand (CED) of Tandem Perovskite Panels



# Energy Return on Energy Investment (EROI) of PV Systems: Irradiation 1800 kWh/m<sup>2</sup>/yr



Fossil-fuel dominated grid  
Primary energy to electricity  
conversion  $\eta_{\text{grid}}=0.3$

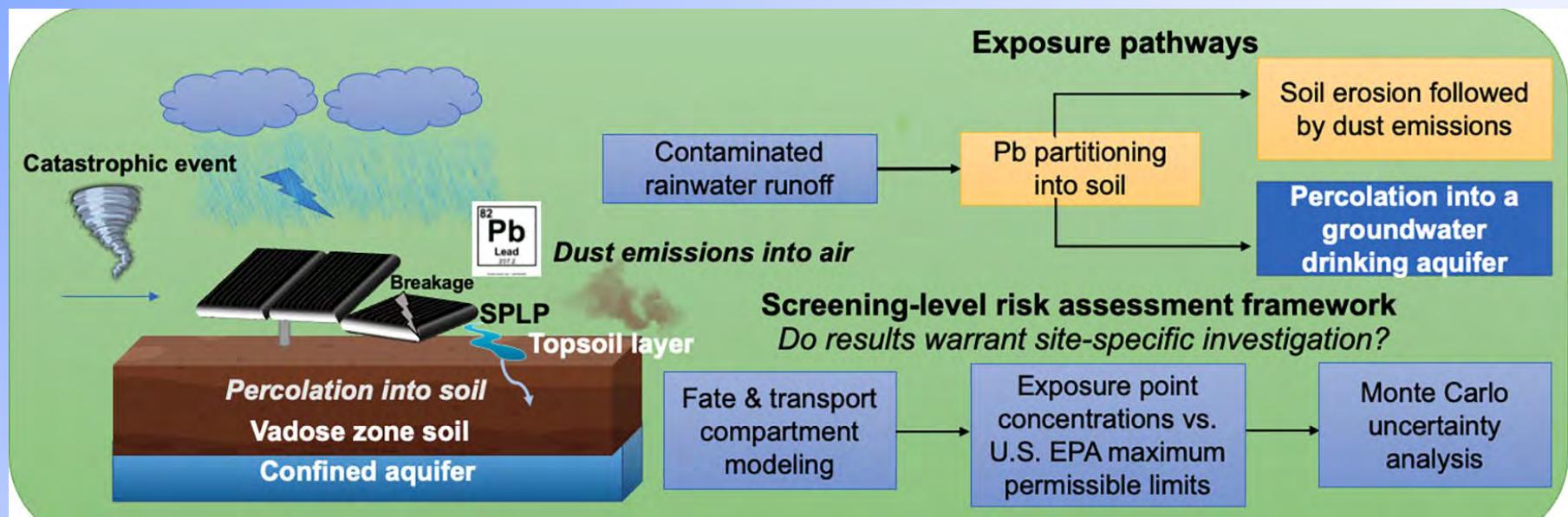
100% renewable energy grid

# Fate & Exposure Assessment of Pb Leachate from Broken Perovskite Modules

While today's efforts are focused on stability and scalability of lead-halide perovskite (LPH) photovoltaics, the toxicity of lead remains a major challenge to their large-scale commercialization.

The amount of Pb in LPH PV is small (0.42 g Pb/m<sup>2</sup> in 300 nm MAPbI<sub>3</sub> layer), but LPH films degrade to very highly soluble PbI<sub>2</sub>.

We started an investigation of associated risks by using a screening-level, EPA-compliant model of fate and transport of Pb leachate in groundwater, soil and air, following hypothetical catastrophic breakage of LHP modules in conceptual utility-scale sites



# Fate & Exposure Assessment of Pb Leachate from Broken Perovskite Modules

## Scenarios & associated Pb releases

scenario	PV site parameter		
	capacity (MWp)	module breakage rate	maximum Pb released from impacted area (kg)
scenario A	100	0.04% <sup>a</sup>	0.228
scenario B	100	100%	569
scenario C	500	0.04% <sup>a</sup>	1.14
scenario D	500	100%	2,848

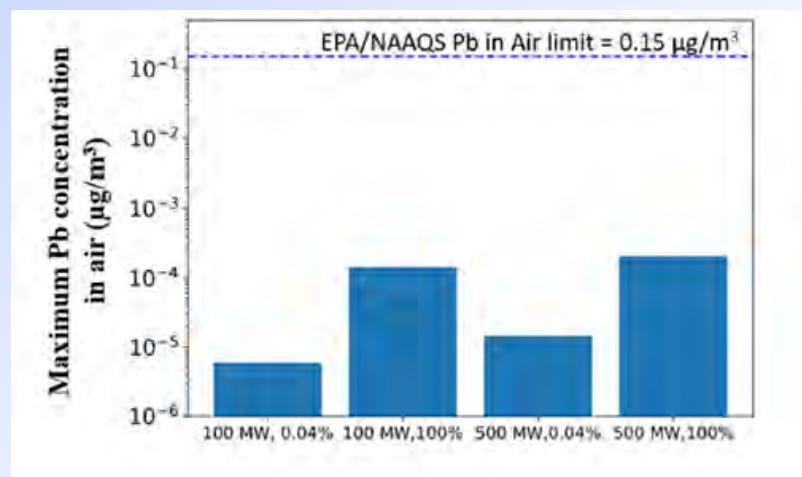
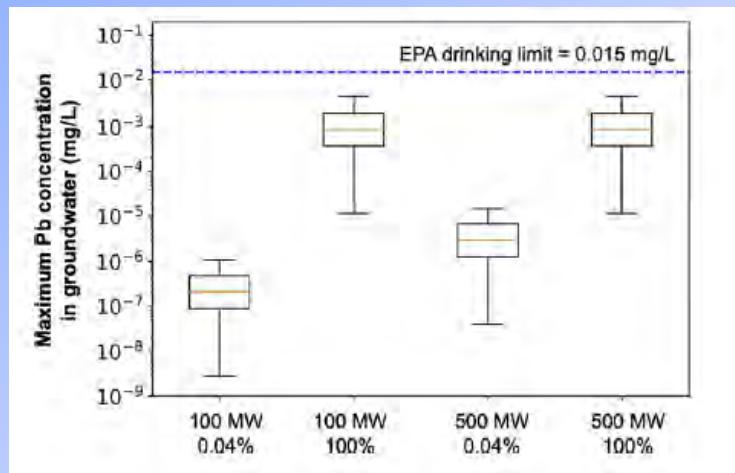
## Methodologies Used

- ✓ EPA Soil partition coefficient model
- ✓ Dilution Attenuation Factors
- ✓ SCREEN3 Gaussian plume dispersion

## Tentative Results

Pb concentration in groundwater in all scenarios fall below EPA regulatory limits.

This is ascribed to the strong binding of Pb to soil under the assumption of equilibrium of Pb compounds between soil and soil pore water



Study Limitations: Absence of Pb leachate concentration data from SPLP testing of commercial modules. We used TCLP of a lab mini-module as approximation.

# Remaining Challenges and New Opportunities

---

- Photovoltaics End-of-Life Management/ Recycling Implementation
  - Dual Use of Land:
    - Agriphotovoltaics
    - Ecosystem Services from solar facilities
  - Variable Renewable Energy Systems Integration
  - Addressing Problems of Humanity: Solutions enabled by abundant low-cost solar energy
- 

# The Future: Problems awaiting Solutions

---

*Top 10 problems of Humanity for the next 50 years*

*Richard Smalley (1943- 2005)*

1. Energy
2. Water
3. Food
4. Environment
5. Poverty
6. Terrorism & War
7. Disease
8. Education
9. Democracy
10. Population

# Problems and Integrated Solutions

---

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# Solar Water Desalination & Electrolysis

## New Partnerships - New Markets

What is common between water desalination and electrolytic production of hydrogen?

- They both use water and currently use fossil energy
- Water desalination emits 2-20 kg CO<sub>2(eq)</sub> per m<sup>3</sup> H<sub>2</sub>O produced
- H<sub>2</sub> from Natural Gas Steam Methane Reforming (SMR) generates 11.8 kg CO<sub>2(eq)</sub> per kg H<sub>2</sub> produced
- The cost of energy is the major cost contributor in both

### Solar Desalination

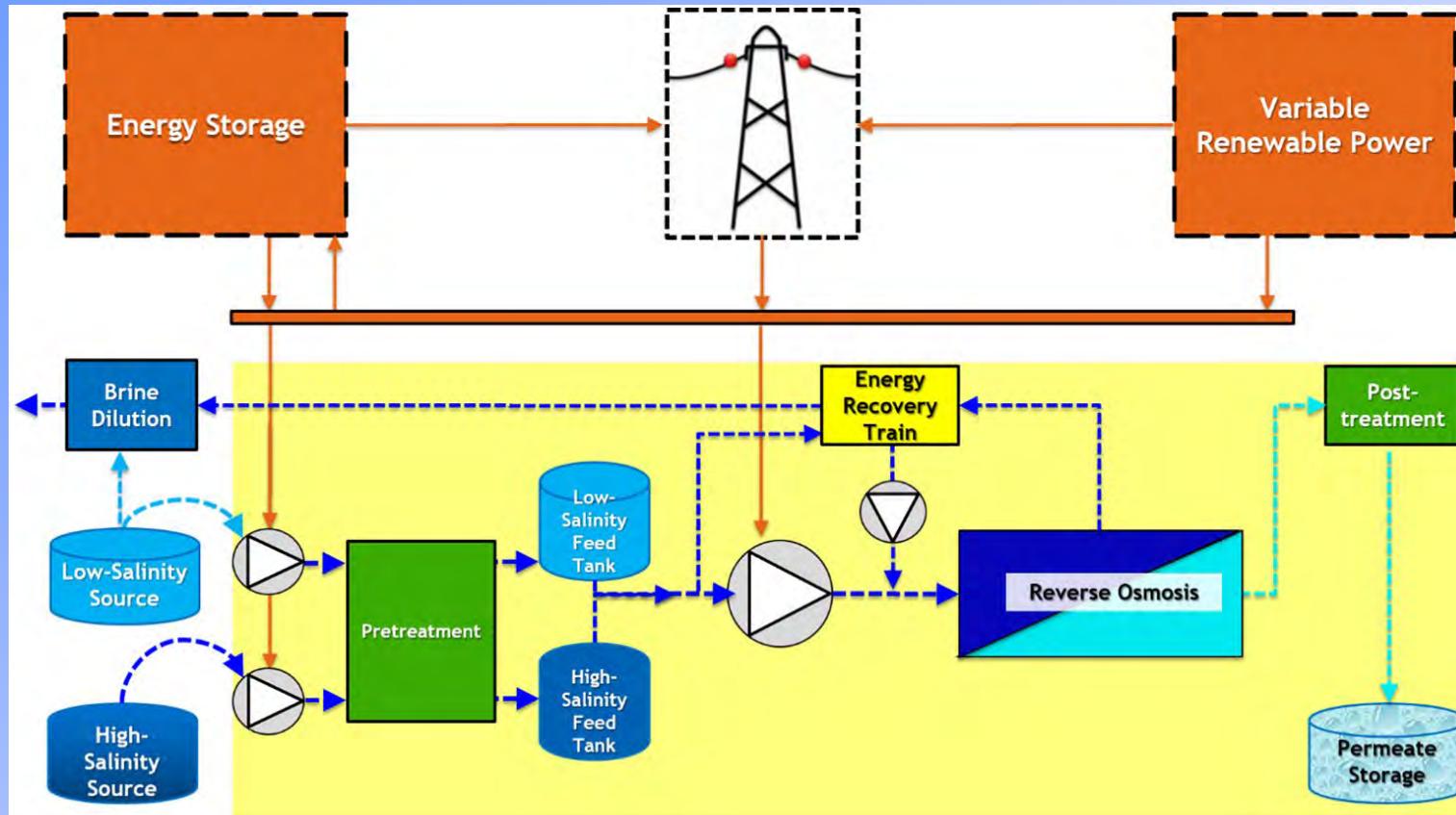
- **PV-RO-Flexible Desalination Design** (Winner US-Israel Design Challenge, 2017-2018)
- **Solar Thermal Advanced Desalination Designs** (with Plataforma Solar de Almeria, Spain, 2018-2021 and NREL 2022-2024)

### Solar Hydrogen

- **Dynamic Operation for time-of-use electricity pricing** (with Dan Esposito, Chem Eng)



# Active-salinity-control reverse osmosis (ASCRO) desalination as a flexible load resource

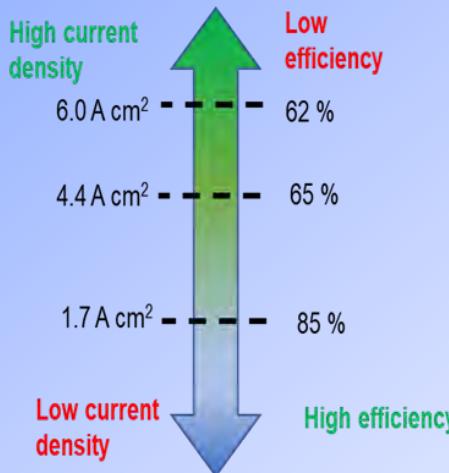
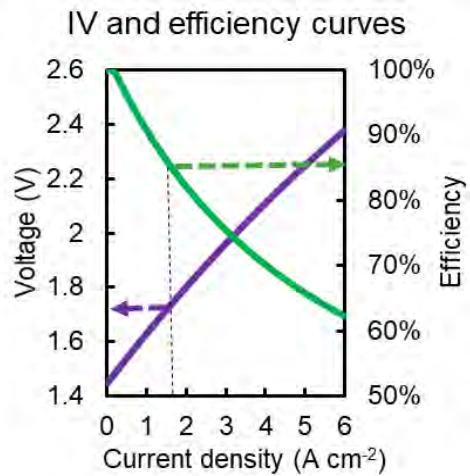
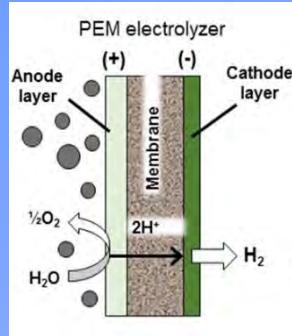


- ASCRO dynamically controls energy consumption by operating across a range of feed salinity, allowing it to shift over a wide range of pump feed flows and pressures.
- Enabling a dynamic power consumption, it can improve the integration of solar by responding to power fluctuations without compromising permeate production.

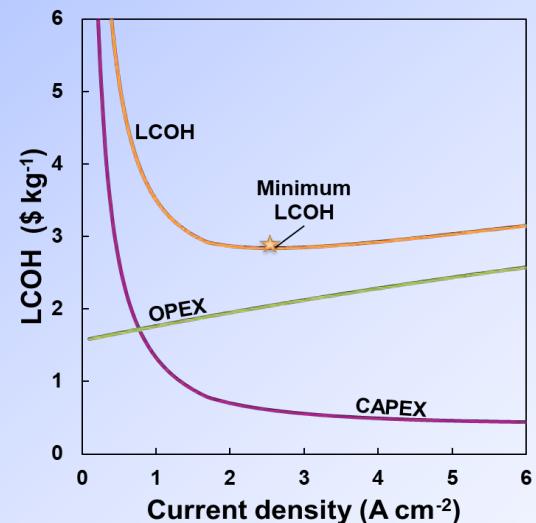
Atia A., Fthenakis V.M., Active-salinity-control reverse osmosis desalination as a flexible load resource, Desalination, 468, 114062, 2019  
Winner US-Israel Desalination Design Challenge, 2017-2018

# Water Electrolysis with Variable Energy

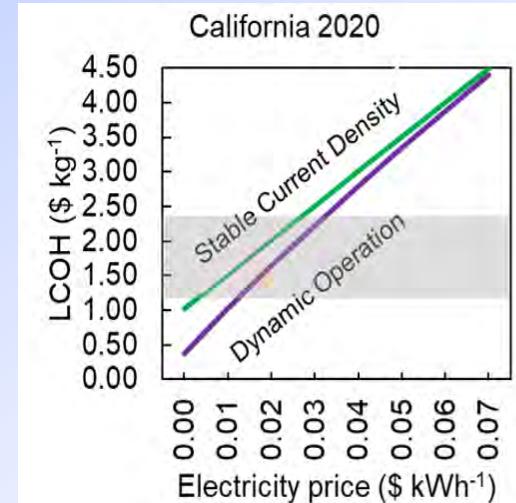
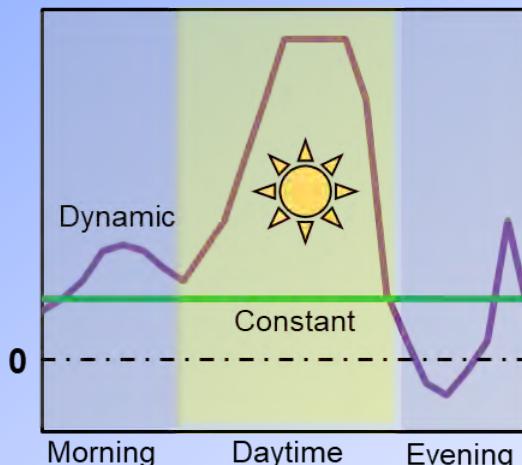
## Efficiency vs. Current Density in PEM electrolyzers



Levelized Cost of H<sub>2</sub> (LCOH)



Current density & H<sub>2</sub> production



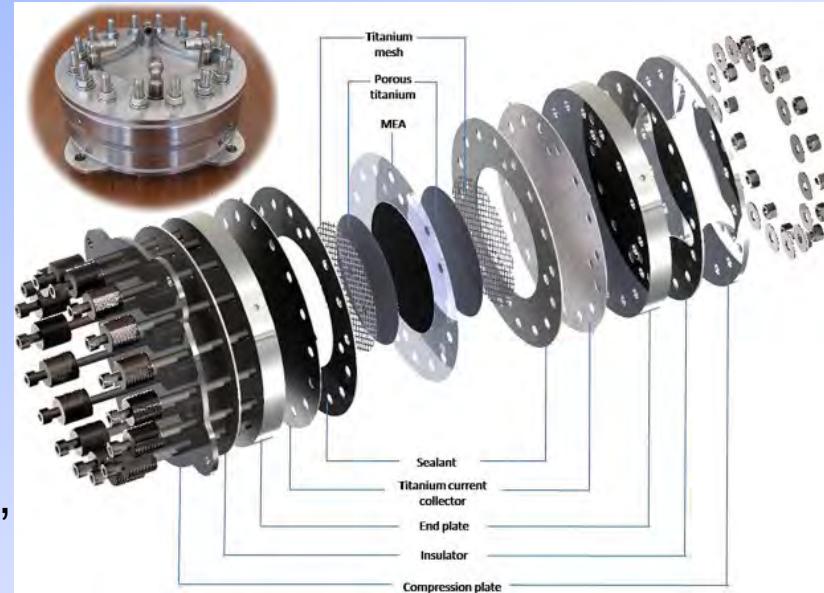
H<sub>2</sub> from SMR;  
NG \$3-10/MBtu

-Ginsberg G., Venkatraman M., Esposito D., Fthenakis V., Minimizing the Cost of Green Hydrogen Production through Dynamic Polymer Electrolyte Membrane Electrolyzer Operation, *Cell Reports Physical Science*, 3(6), 100935, 2022

-Ginsberg M., Esposito D., Fthenakis V., Designing Off-Grid Green Hydrogen Plants Using Dynamic Polymer Membrane Electrolyzers to Minimize the Cost of Hydrogen Production, *Cell Reports Physical Science*, 4(10), 101625, 2023

# Impact of High and Variable Current Density on Degradation and Useful Life

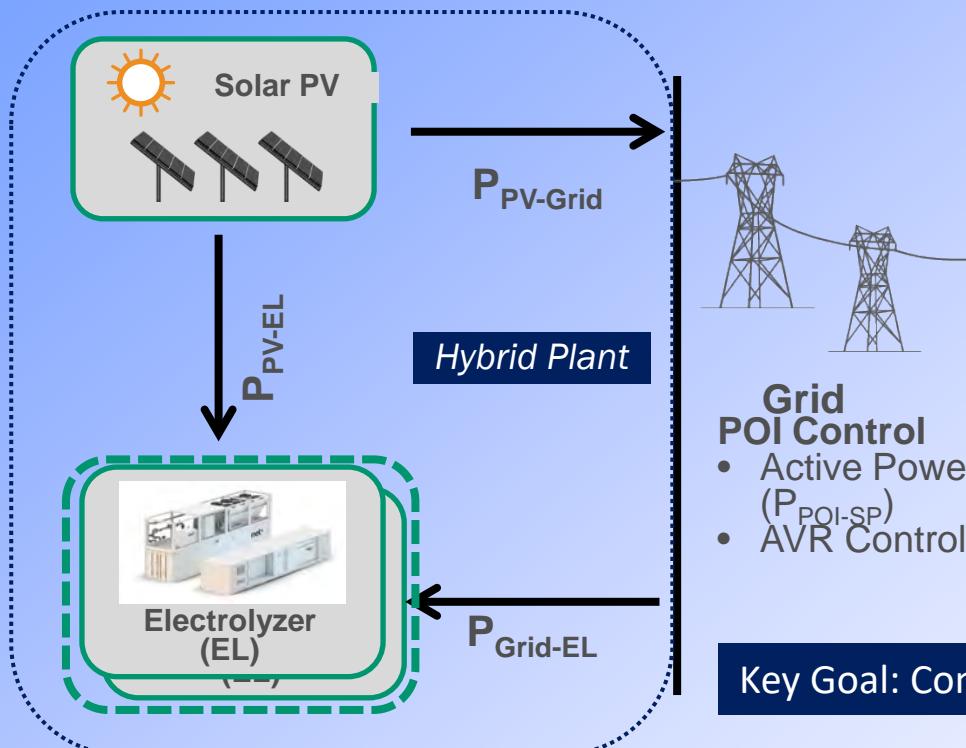
- High cell voltages result in the formation of **low conductivity oxides** on the surface of the titanium current collector, resulting in larger ohmic drops during operation.
- **Membrane thinning** - gradual chemical attack of the membrane accompanied by release of fluoride ions in the case of perfluorosulfonic acid (PFSA)-based membranes such as Nafion.
- **Hotspots and gas crossover** or permeation, which becomes a safety concern below 20  $\mu\text{m}$ .
- **Accumulation of oxygen gas bubbles** in the pore bodies of the porous transport layer, which increases mass transport overpotentials.
- Higher production rate may lead to **large localized pressures**, as H<sub>2</sub> must escape through small channels in the gas diffusion electrode in order to be released.



## Mitigation strategies

- Nanostructured and conductive antimony-doped tin oxide reduce Ir loadings
- Protective Pt coatings over PTLs and bipolar plates minimize formation of the resistive TiO<sub>2</sub>
- Superhydrophilic surface treatment of a sintered titanium PTL prevent runaway voltage

# Power Flow in a PV+Electrolyzer Hybrid Plant (Simplified)



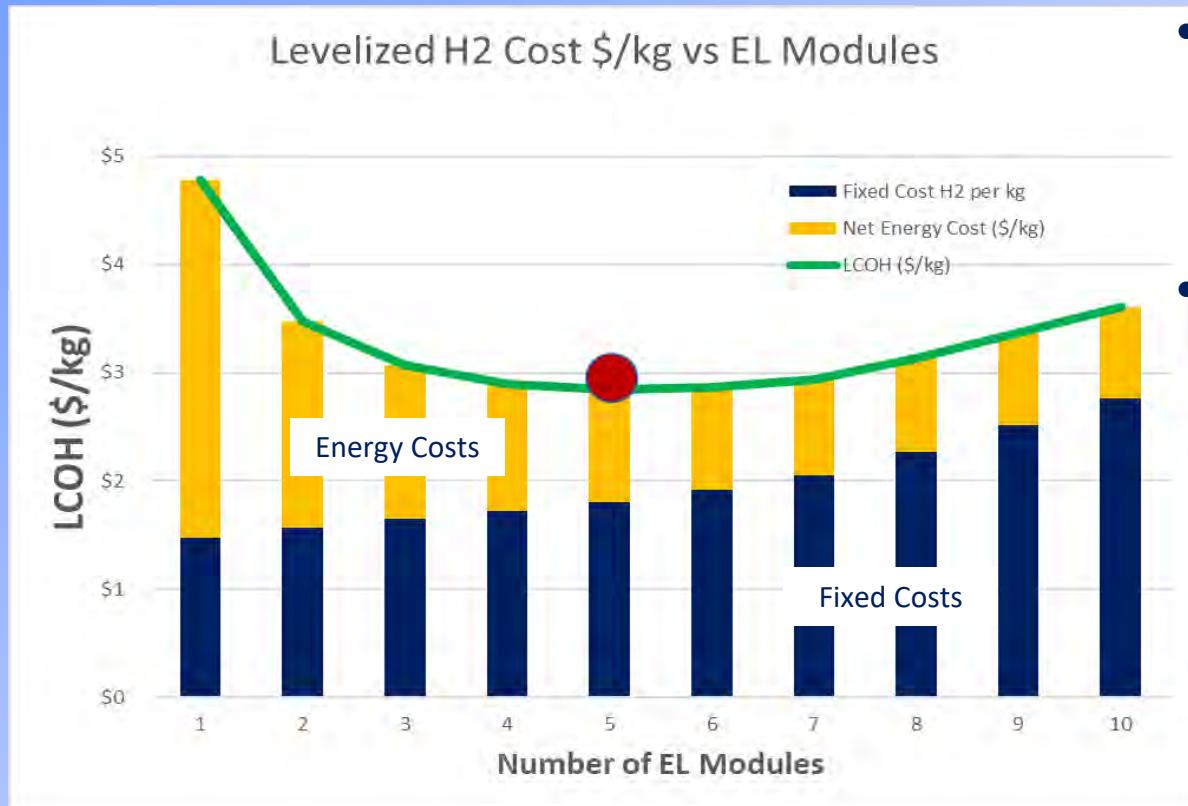
**Grid POI Control**  
• Active Power ( $P_{POI-SP}$ )  
• AVR Control



**Key Goal:** Configure Hybrid Plant to Reduce LCOH

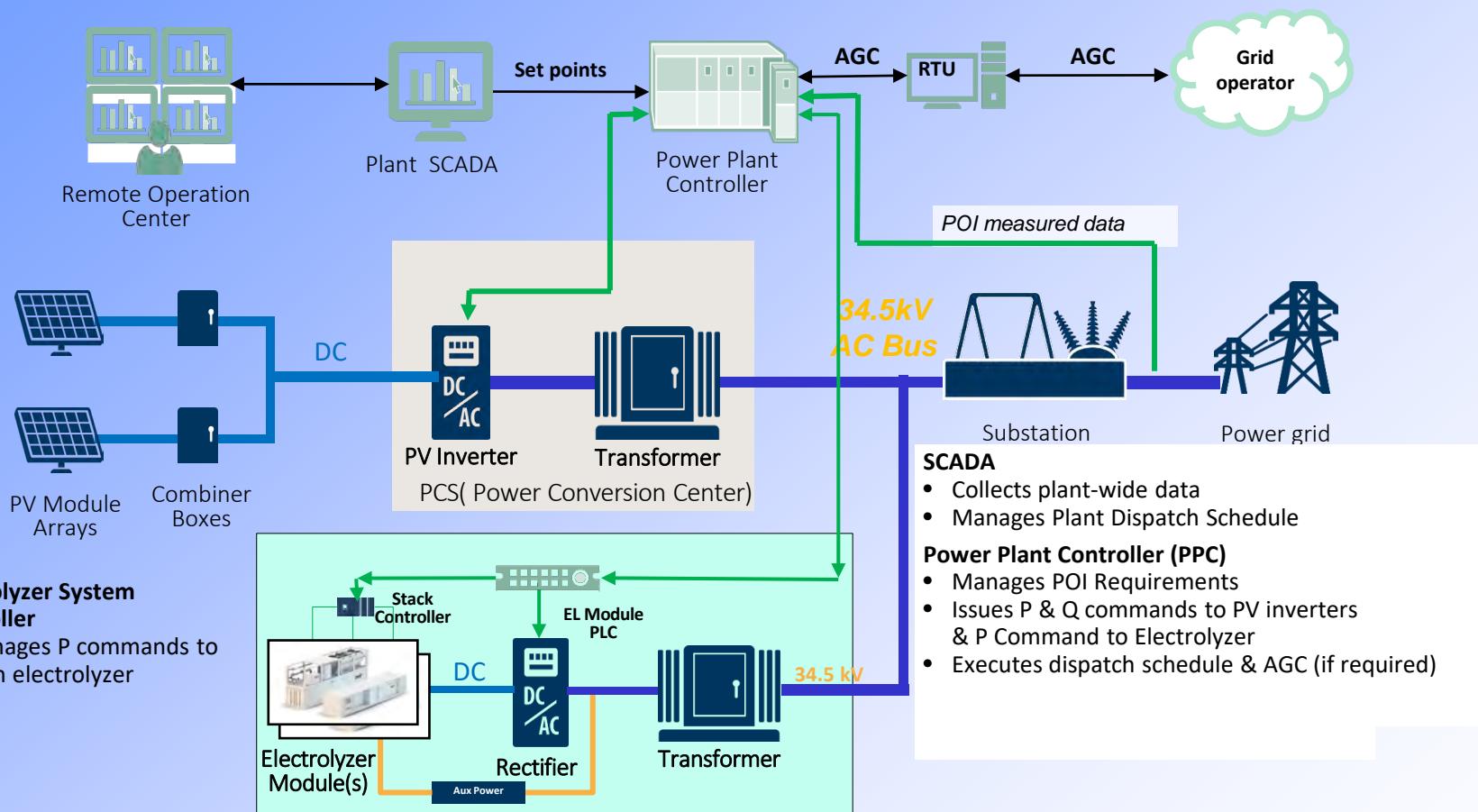
LCOH: Levelized Cost of Hydrogen

# Key Goal: Optimize Hybrid Plant Design to Reduce Levelized Cost of Hydrogen (LCOH)



- Increasing EL capacity more fully utilizes the PV electricity ...reducing energy costs
- However, increasing EL capacity increases fixed capital while decreasing their capacity factor

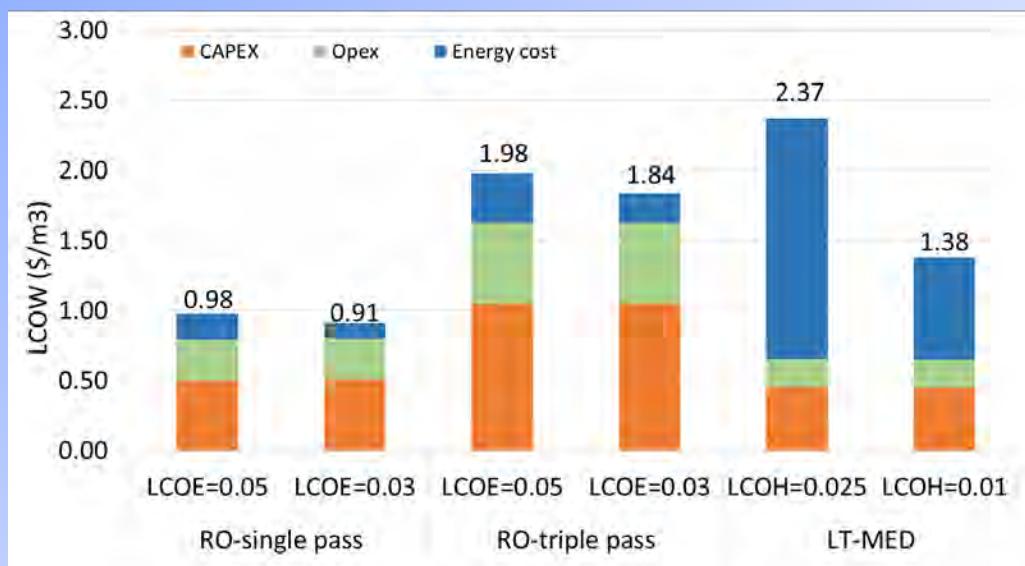
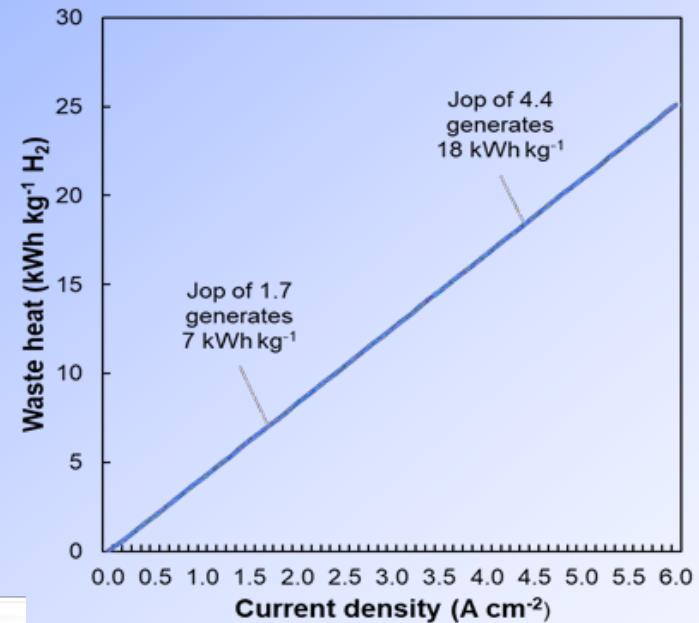
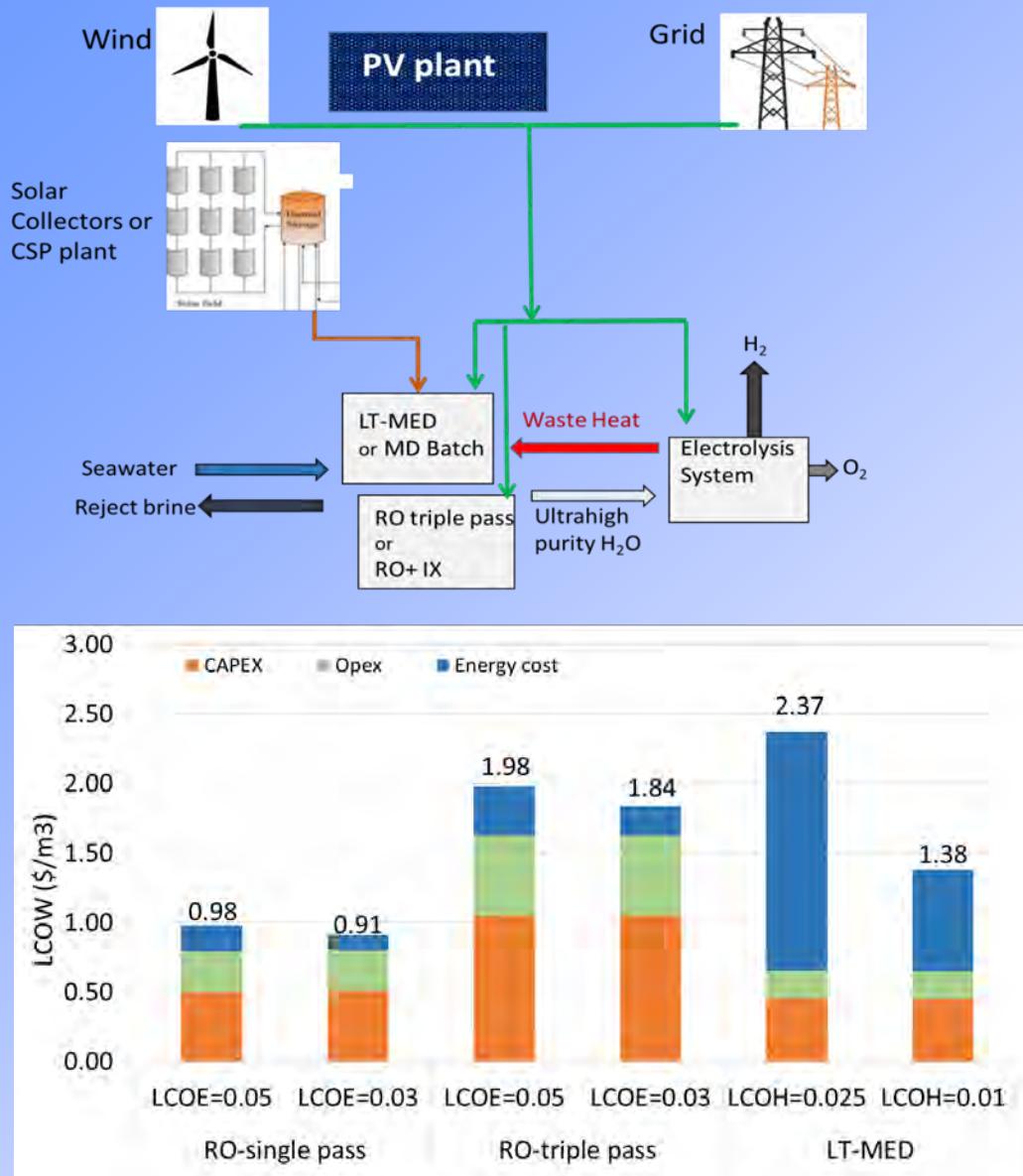
# Plant Controls & SCADA System Architecture



## Electrolyzer System Controller

- Manages P commands to each electrolyzer

# Integrated Solar, Desalination & Hydrogen



# Closing Remarks

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- *The PV industry evolves on all sustainability dimensions.*
- *Active dissemination of research results and comprehensive defense against attacks from vested interests is essential to maintain the support of the public and governments.*
- *Recycling end-of-life systems becomes an important aspect of sustainability and needs to be optimized to help rather than hinder the affordability of PV systems.*
- *Low cost solar energy creates new opportunities while addressing humanity's big problems.*
- *The solar variability challenge is addressed with process hybridization, advanced modeling and controls offering flexibility and value earning grid services.*

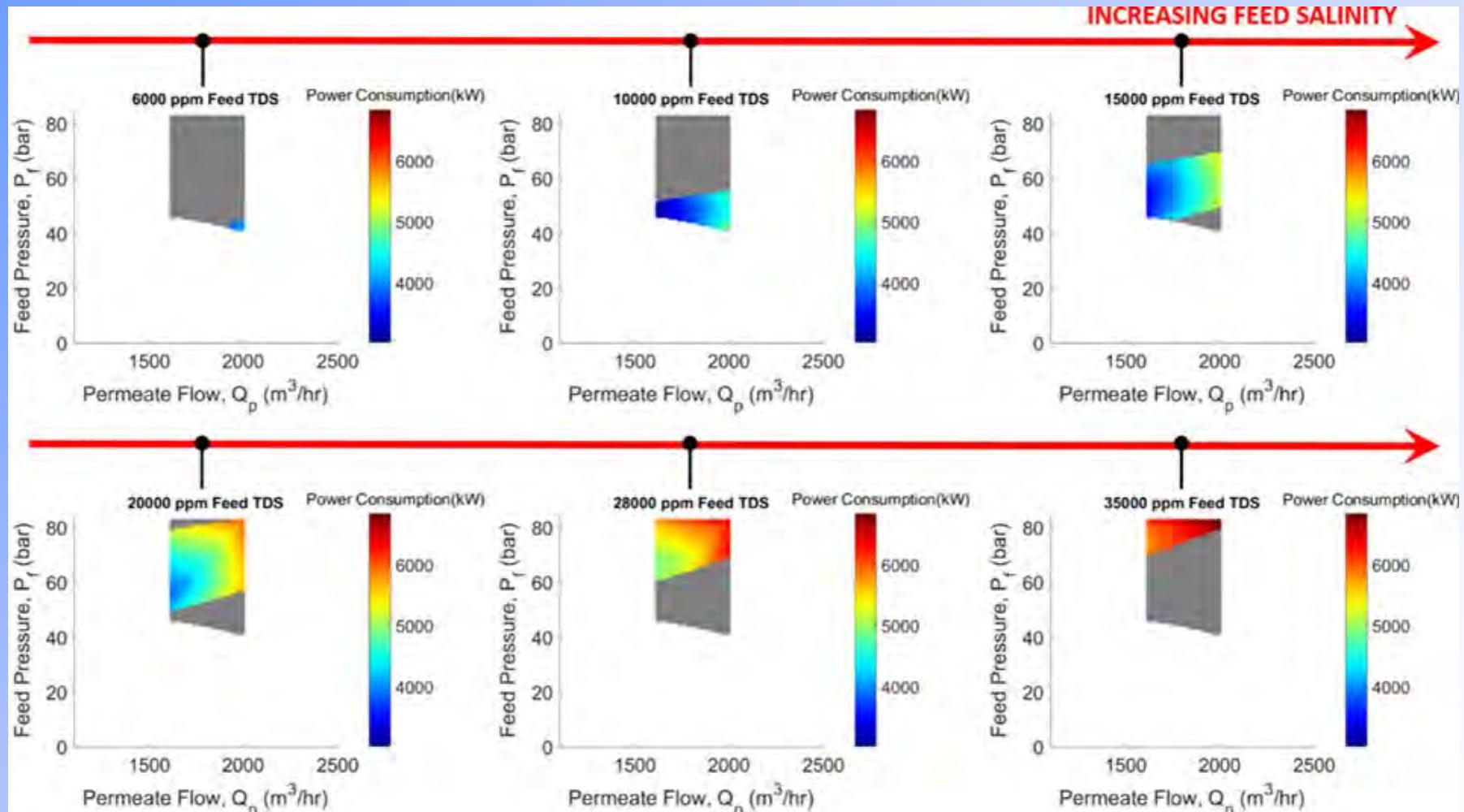


[www.clca.columbia.edu](http://www.clca.columbia.edu)  
email: [vmf5@columbia.edu](mailto:vmf5@columbia.edu)

# Auxiliary Slides

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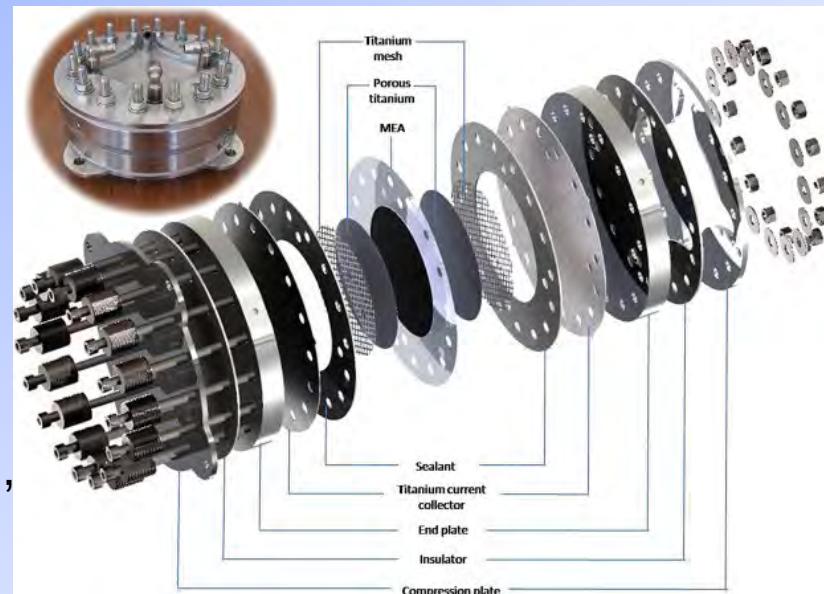
# Active-salinity-control reverse osmosis desalination as a flexible load resource



The operational envelope of the ASCRO system shifts with an increase in feed salinity. Increasing salinity from 6000 to 35000 ppm corresponds to an increase in feed pressure from 40 to 80 bar with corresponding power consumption increasing from ~3500 to 8000 kW, while the freshwater production is maintained in the range of 1500-2000  $\text{m}^3/\text{hr}$ .

# Impact of High and Variable Current Density on Degradation and Useful Life

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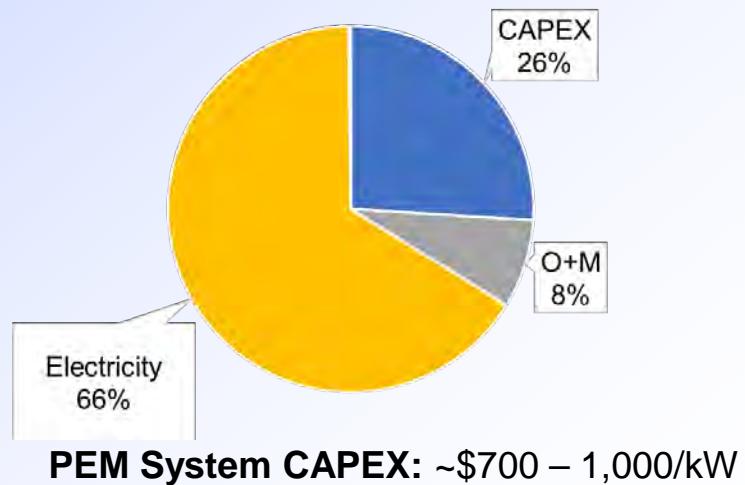
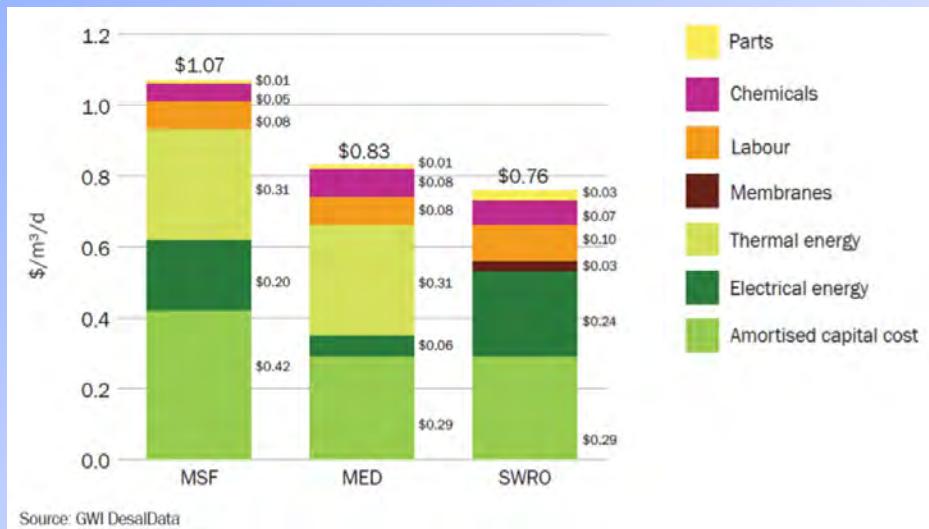
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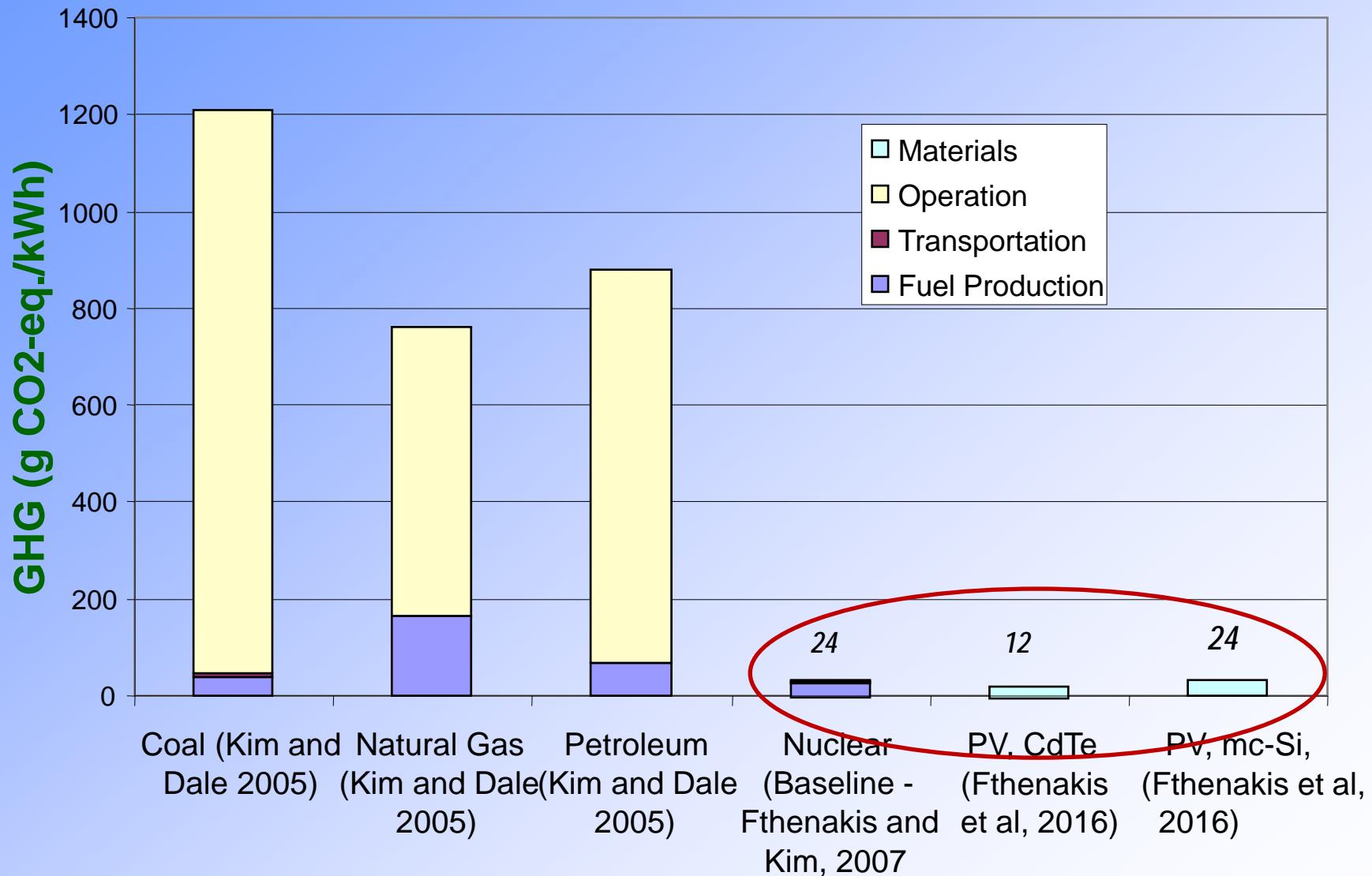
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# GHG Emissions from Life Cycle of Electricity Production: Comparisons



Fthenakis, California Energy Commission, *Nuclear Issues Workshop*, June 2007

Fthenakis & Kim, Life Cycle Emissions..., *Energy Policy*, 35, 2549, 2007

Fthenakis & Kim, *ES&T*, 42, 2168, 2008; update 2016